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Chapter Comm 61

ADMINISTRATION AND ENFORCEMENT

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Note: Chapters Comm 50 to 64 and Appendices A and B as they existed on June 30, 2002 were repealed and new chapters Comm 61 to 65 and Appendix A and B were created effective July 1, 2002.

Subchapter I — Scope and Application

Comm 61.01 Purpose of code. Pursuant to various statutory provisions under subch. I of ch. 101, Stats., the purpose of this code is to protect the health, safety and welfare of the public and employees by establishing minimum standards for the design, construction, maintenance and inspection of public buildings, including multifamily dwellings, and places of employment.

History: CR 00–179; cr. Register December 2001 No. 552, eff. 7–1–02.

Comm 61.02 Scope. (1) Except as provided in subs. (2) and (3), this code applies to all public buildings and places of employment.

Note: Under s. 101.01 (11), Stats., “place of employment” includes every place, whether indoors or out or underground and the premises appurtenant thereto where either temporarily or permanently any industry, trade or business is carried on, or where any process or operation, directly or indirectly related to any industry, trade or business, is carried on, and where any person is, directly or indirectly, employed by another for direct or indirect gain or profit, but does not include any place where persons are employed in private domestic service which does not involve the use of mechanical power or in farming. “Farming” includes those activities specified in s. 102.04 (3), and also includes the transportation of farm products, supplies or equipment directly to the farm by the operator of said farm or employees for use thereon, if such activities are directly or indirectly for the purpose of producing commodities for market, or as an accessory to such production. When used with relation to building codes, “place of employment” does not include an adult family home, as defined in s. 50.01 (1), or, except for the purposes of s. 101.11, a previously constructed building used as a community-based residential facility, as defined in s. 50.01 (1g), which serves 20 or fewer residents who are not related to the operator or administrator.

Under s. 101.01 (12), Stats., “public building” means any structure, including exterior parts of such building, such as a porch, exterior platform or steps providing means of ingress or egress, used in whole or in part as a place of resort, assemblage, lodging, trade, traffic, occupancy, or use by the public or by 3 or more tenants. When used in relation to building codes, “public building” does not include a previously constructed building used as a community-based residential facility as defined in s. 50.01 (1g) which serves 20 or fewer residents who are not related to the operator or administrator or an adult family home, as defined in s. 50.01 (1).

(2) This code does not apply to buildings or situations listed under the exclusions in ss. 101.01 (11) and 101.01 (12), Stats., or under the exemptions in s. 101.05, Stats.

Note: Section 102.04 (3), Stats., as referenced in s. 101.01 (11), Stats., reads as follows: “As used in this chapter ‘farming’ means the operation of farm premises owned or rented by the operator. ‘Farm premises’ means areas used for operations herein set forth, but does not include other areas, greenhouses or other similar structures unless used principally for the production of food and farm plants. ‘Farmer’ means any person engaged in farming as defined. Operation of farm premises shall be deemed to be the planting and cultivating of the soil thereof; the raising and harvesting of agricultural, horticultural or arboricultural crops thereon; the raising, breeding, tending, training and management of livestock, bees, poultry, fur-bearing animals, wildlife or aquatic life, or their products, thereon; the processing, drying, packing, packaging, freezing, grading, storing, delivering to storage, to market or to a carrier for transportation to market, distributing directly to consumers or marketing

any of the above-named commodities, substantially all of which have been planted or produced thereon; the clearing of such premises and the salvaging of timber and management and use of wood lots thereon, but not including logging, lumbering or wood cutting operations unless conducted as an accessory to other farming operations; the managing, conserving, improving and maintaining of such premises or the tools, equipment and improvements thereon and the exchange of labor, services or the exchange of use of equipment with other farmers in pursuing such activities. The operation for not to exceed 30 days during any calendar year, by any person deriving the person’s principal income from farming, of farm machinery in performing farming services for other farmers for a consideration other than exchange of labor shall be deemed farming. Operation of such premises shall be deemed to include also any other activities commonly considered to be farming whether conducted on or off such premises by the farm operator.”

Note: Under s. 50.01 (1), Stats., as referenced in s. 101.01 (12), Stats., “adult family home” means one of the following:

(a) A private residence to which all of the following apply:

1. Care and maintenance above the level of room and board but not including nursing care are provided in the private residence by the care provider whose primary domicile is this residence for 3 or 4 adults, or more adults if all of the adults are siblings, each of whom has a developmental disability, as defined in s. 51.01 (5), or, if the residence is licensed as a foster home, care and maintenance are provided to children, the combined total of adults and children so served being no more than 4, or more adults or children if all of the adults or all of the children are siblings, or, if the residence is licensed as a treatment foster home, care and maintenance are provided to children, the combined total of adults and children so served being no more than 4.

2. The private residence was licensed under s. 48.62 as a foster home or treatment foster home for the care of the adults specified in subd. 1. at least 12 months before any of the adults attained 18 years of age.

(b) A place where 3 or 4 adults who are not related to the operator reside and receive care, treatment or services that are above the level of room and board and that may include up to 7 hours per week of nursing care per resident. “Adult family home” does not include a place that is specified in sub. (1g) (a) to (d), (f) or (g).

Under s. 50.01 (1g), Stats., as referenced in s. 101.01 (12), Stats., “community-based residential facility” means a place where 5 or more adults who are not related to the operator or administrator and who do not require care above intermediate level nursing care reside and receive care, treatment or services that are above the level of room and board but that include no more than 3 hours of nursing care per week per resident. “Community-based residential facility” does not include any of the following:

(a) A convent or facility owned or operated by members of a religious order exclusively for the reception and care or treatment of members of that order.

(b) A facility or private home that provides care, treatment and services only for victims of domestic abuse, as defined in s. 46.95 (1) (a), and their children.

(c) A shelter facility as defined under s. 560.9808 (1) (d).

(d) A place that provides lodging for individuals and in which all of the following conditions are met:

1. Each lodged individual is able to exit the place under emergency conditions without the assistance of another individual.

2. No lodged individual receives from the owner, manager or operator of the place or the owner’s, manager’s or operator’s agent or employee any of the following:

a. Personal care, supervision or treatment, or management, control or supervision of prescription medications.

b. Care or services other than board, information, referral, advocacy or job guidance; location and coordination of social services by an agency that is not affiliated with the owner, manager or operator, for which arrangements were made for an individual before he or she lodged in the place; or, in the case of an emergency, arrangement for the provision of health care or social services by an agency that is not affiliated with the owner, manager or operator.

(e) An adult family home.

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(f) A residential care apartment complex.

(g) A residential facility in the village of Union Grove that was authorized to operate without a license under a final judgment entered by a court before January 1, 1982, and that continues to comply with the judgment notwithstanding the expiration of the judgment.

Note: Section 101.05, Stats., reads as follows:

"Exempt buildings and projects. (1) No building code adopted by the department under this chapter shall affect buildings located on research or laboratory farms of public universities or other state institutions and used primarily for housing livestock or other agricultural purposes.

"(2) A bed and breakfast establishment, as defined under s. 254.61 (1), is not subject to building codes adopted by the department under this subchapter.

"(3) No standard, rule, code or regulation of the department under this subchapter applies to construction undertaken by the state for the purpose of renovation of the state capitol building.

"(4) No standard, rule, order, code or regulation adopted, promulgated, enforced or administered by the department under this chapter applies to a rural school building if all of the following are satisfied:

"(a) The school building consists of one classroom.

"(b) The school building is used as a school that is operated by and for members of a bona fide religious denomination in accordance with the teachings and beliefs of the denomination.

"(c) The teachings and beliefs of the bona fide religious denomination that operates the school prohibit the use of certain products, devices or designs that are necessary to comply with a standard, rule, order, code or regulation adopted, promulgated, enforced or administered by the department under this chapter."

Under s. 254.16 (1) Stats., as referenced in 101.05, Stats., "bed and breakfast establishment" means any place of lodging that:

(a) Provides 8 or fewer rooms for rent to no more than a total of 20 tourists or transients;

(b) Provides no meals other than breakfast and provides the breakfast only to renters of the place;

(c) Is the owner's personal residence;

(d) Is occupied by the owner at the time of rental;

(e) Was originally built and occupied as a single-family residence, or, prior to use as a place of lodging, was converted to use and occupied as a single-family residence; and

(f) Has had completed, before May 11, 1990, any structural additions to the dimensions of the original structure, including by renovation, except that this limit does not apply to any of the following:

1. A structural addition, including a renovation, made to a structure after May 11, 1990, within the dimensions of the original structure.

2. A structural addition, made to a structure that was originally constructed at least 50 years before an initial or renewal application for a permit under s. 254.64 (1) (b) is made and for which no use other than as a bed and breakfast establishment is proposed. The structural addition under this subdivision shall comply with the rules under s. 101.63 (1) and (1m).

(3) This code does not apply to all of the following types of buildings, structures or situations:

(a) A temporary building or structure used exclusively for construction purposes, not exceeding 2 stories in height, and not used as living quarters.

(b) 1. Buildings or structures located on Indian reservation land that are held either in trust by the United States, or in fee by the tribe or a tribal member.

2. Buildings or structures which are located on off-reservation Indian land that is held in trust by the United States – and which are held either in trust by the United States, or in fee by the tribe or a tribal member.

(c) Buildings and portions of buildings that are exempted by federal statutes or treaties.

(d) Portions of buildings leased to the federal government provided all of the following conditions are met:

1. A statement is recorded with the register of deeds that describes the steps necessary for compliance to this code if the space is converted to a nonexempt use.

2. The statement recorded with the register of deeds is recorded in a manner that will permit the existence of the statement to be determined by reference to the property where the building is located.

3. The owner of the building submits a copy of the recorded document to the department or its authorized representative.

(e) Buildings and structures that are on a farm premises and used exclusively for farming purposes, provided any use of the building or structure by the public consists only of consumers directly receiving farm commodities, substantially all of which have been planted or produced on the farm premises. In this

application, "substantially all" means at least 90 percent of the commodities were planted or produced on the farm premises.

(f) A one- or 2-family dwelling used as a foster home, treatment foster home, or group home, or as a child caring institution having a capacity for 8 or fewer children, all as defined in s. 48.02, Stats.

Note: The definitions in s. 48.02, Stats., limit foster homes to no more than 4 children unless all the children are siblings, limit treatment foster homes to no more than 4 children, and limit group homes to no more than 8 children. Where permitted by the department of health and family services, a group home or a child caring institution having a capacity for 8 or fewer children may be located in a one- and 2-family dwelling as a community living arrangement, as defined in s. 46.03 (22), Stats.

(g) A one- or 2-family dwelling in which a public or private day care center for 8 or fewer children is located.

(h) That portion of or space within a one- or 2-family dwelling in which a home occupation is located.

(4) In this section, "home occupation" means any business, profession, trade or employment conducted in a person's dwelling unit, that may involve the person's immediate family or household and a maximum of one other unrelated person, but does not involve any of the following:

(a) Explosives, fireworks or repair of motor vehicles.

(b) More than 25% of the habitable floor area of the dwelling unit.

(5) This code also applies to any existing building that is converted to a community-based residential facility for 9 to 20 residents.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 04-016: cr. (5) Register December 2004 No. 588, eff. 1-1-05; **CR 06-120: r. and recr. (3) (b), am. (3) (d) 1. and 2., Register February 2008 No. 626, eff. 3-1-08.**

Comm 61.03 Application. (1) STANDARDS. (a) The design and construction of public buildings and places of employment shall comply with s. Comm 61.05, except as provided in this code.

(b) The codes and standards that are referenced in this chapter, and any additional codes and standards which are subsequently referenced in those codes and standards, shall apply to the prescribed extent of each such reference, except as modified by this chapter.

(c) The requirements in IBC Appendix C may be applied to certain agricultural buildings, as specified in s. Comm 62.3600 (2), in lieu of corresponding, otherwise applicable requirements of this code.

(2) RETROACTIVITY. A rule of this code does not apply retroactively to public buildings and places of employment existing prior to the effective date of the rule unless specifically stated in the rule.

(3) CONFLICTS. (a) Where any rule written by the department differs from a requirement within a document referenced in this code, the rule written by the department shall govern.

(b) Where rules of the department specify conflicting requirements, types of materials or methods of construction, the most restrictive rule shall govern, except as provided in pars. (a) and (c).

Note: If the most restrictive of two or more conflicting requirements is not readily apparent, a determination of which is more restrictive can be obtained from the department.

(c) Where a rule prescribes a general requirement and another rule prescribes a specific or more detailed requirement regarding the same subject, the specific or more detailed requirement shall govern, except as provided in par. (a).

(4) DEPARTMENT AUTHORITY. Any departmental interpretation of the requirements in this chapter or in the codes and standards that are adopted in this chapter shall supersede any differing interpretation by either a lower level jurisdiction or an issuer of the adopted code or standard.

(5) LOCAL ORDINANCES. (a) 1. Except as provided in par. (b), pursuant to s. 101.02 (7), Stats., a city, village, town or local board of health may enact and enforce additional or more restrictive

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standards for public buildings and places of employment, provided the standards do not conflict with this code.

2. Nothing in this code affects the authority of a municipality to enact and enforce standards relative to land use, zoning or regulations under ss. 59.69, 60.61, 60.62, 61.35 and 62.23 (7), Stats.

(b) 1. Pursuant to s. 101.02 (7m), Stats., a city, village, town or county may not enact and enforce additional or more restrictive standards for multifamily dwellings, except as provided under s. 101.975, Stats., and that do not conflict with this code.

2. Any municipality exercising or intending to exercise jurisdiction under this code may apply to the department for a variance permitting the municipality to adopt an ordinance pertaining to multifamily dwellings not in conformance with this code. The department shall review and make a determination on a municipal request under this section within 60 business days of receipt of the request.

3. a. The department may grant a municipal variance only where all of the conditions in subds. 3. b. and c. are demonstrated.

b. The municipality demonstrates that the variance is necessary to protect the health, safety, and welfare of individuals within the municipality because of specific climate or soil conditions generally existing within the municipality.

c. The municipality demonstrates that the granting of the variance, when viewed both individually and in conjunction with other variances requested by the municipality, does not impair the statewide uniformity of this code.

d. Prior to making a determination on a municipal variance, the department shall solicit within the municipality and consider the statements of any interested persons as to whether the variance should be granted.

e. This subdivision shall be strictly construed in accordance with the goal of promoting statewide uniformity.

4. Pursuant s. 101.121, Stats., a city, village, town or county may not enact or enforce additional or more restrictive standards regarding issues addressed under this code that would apply to alteration or change of occupancy for a historic building.

(6) ALTERNATIVES. Nothing in this code is intended to prohibit or discourage the design and utilization of new building products, systems, components, or alternate practices, provided written approval from the department is obtained first.

Note: Subchapter V contains requirements for approval of building products and alternate standards.

(7) NEW BUILDINGS AND STRUCTURES. Buildings, structures and additions to buildings, structures and components, to be constructed or erected shall be designed, constructed and maintained in accordance with the rules of this code as the rules exist on one of the following:

(a) Pursuant to s. Comm 61.30, the date plans for the building, structure or addition are approved by the department or authorized representative.

(b) The date the local building permit is issued, if plan submission and approval is not required under s. Comm 61.30.

(c) The date construction is initiated, where pars. (a) and (b) do not apply.

(8) ALTERATIONS. Those portions, elements, systems or components of existing buildings and structures to be altered or modified, where the alteration or the modification affects a building element or component relating to subject matters regulated by this code, shall be designed, constructed and maintained in accordance with the rules of this code as the rules exist on one of the following:

(a) Pursuant to s. Comm 61.30, the date plans for the alteration or modification are approved by the department or authorized representative.

(b) The date the local building permit is issued, if plan submission and approval is not required under s. Comm 61.30.

(c) The date the alteration is initiated, where pars. (a) and (b) do not apply.

(9) REPLACEMENTS. Those building systems or components of existing buildings and structures to be replaced, where the replacement involves a building element or component relating to subject matters regulated by this code shall conform and be maintained in accordance with the rules of this code as the rules exist on one of the following:

(a) Pursuant to s. Comm 61.30, the date plans for the replacement are approved by the department or authorized representative.

(b) The date the local building permit is issued, if plan submission and approval is not required under s. Comm 61.30.

(c) The date the replacement is initiated, where pars. (a) and (b) do not apply.

(10) REPAIRS. Those portions, elements, systems or components of existing buildings and structures repaired shall conform and be maintained in accordance with the rules of this code as the rules exist on one of the following:

(a) The date plans for that portion, element, system or component was approved by the department or authorized representative.

(b) The date the local building permit was issued for that portion, element, system or component, if plan submission and approval was not required.

(c) The date construction was initiated for that portion, element, system or component, where pars. (a) and (b) do not apply.

(d) The date repair is initiated.

(11) CHANGE OF OCCUPANCY OR USE. Except as provided in sub. (12), no change may be made in the use or occupancy of any building or structure, or any space within a building or structure, that would place the building, structure or space either in a different division of the same group of occupancies or in a different group of occupancies, unless the building, structure or space complies with this code's requirements for the new division or group of occupancies, as these requirements exist on one of the following dates:

(a) Pursuant to s. Comm 61.30, the date when plans for the change in occupancy or use are approved by the department or authorized representative.

(b) The date a local building permit is issued, if plan submittal and approval is not required under s. Comm 61.30.

(c) The date the construction is initiated, where pars. (a) and (b) do not apply.

(d) The date an occupancy permit is issued, where pars. (a) and (c) do not apply.

(12) TEMPORARY USE. A municipal fire or building code official may permit a building or structure to be used temporarily by the public, subject to all of the following provisions:

(a) The official shall determine the time frame within which the temporary use is permitted, based on the extent hazards are created by the temporary use. This time frame may not exceed 180 days, except the official may grant extensions for demonstrated cause.

(b) Except as provided in par. (c), buildings or spaces considered for temporary use shall conform to the requirements of this code as necessary to ensure the public safety, health and general welfare.

(c) The official may require additional safety requirements for a temporary use as a tradeoff for any safety provisions that may be lacking.

(d) The official may terminate the approval for a temporary use at any time and order immediate discontinuance of the use or complete evacuation of the building or space.

(13) EXISTING BUILDINGS AND STRUCTURES. (a) Unless otherwise specifically stated in this code, an existing building or struc-

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ture, and every element, system, or component of an existing building or structure shall be maintained to conform with the building code provisions that applied when the building, structure, element, system, or component was constructed, or altered except when required by subsequent editions of the building code.

(b) Existing bleachers, grandstands and folding and telescopic seating shall comply with ICC 300-02.

(14) INTERNATIONAL FIRE CODE. The IFC, as referenced by the codes adopted under s. Comm 61.05, does not apply except as follows:

(a) Design and construction-related requirements shall apply that are addressed in IFC section 102.6; IFC chapters 2 to 4; IFC sections 501 to 502 and 504 to 510; IFC sections 601 to 605 and 607 to 609; IFC chapters 7 and 8; IFC sections 901.1 to 901.4.2, 901.4.4 to 909.18.9, and 909.20 to 913; and IFC chapters 10, 12 to 21, 23 to 29, 31 to 33, 36, 37, and 39 to 44.

(b) Occupant loads addressed in IFC section 1003.2.2.10 shall apply but shall be established by the owner rather than by the code official.

(c) Construction-related inspections and reports shall apply that are addressed in IFC chapters 2 to 8; IFC sections 901 to 909.18.9 and 909.20 to 913; and IFC chapters 10, 12 to 21, 23 to 29, 31, 32, 33, 36, 37, and 39 to 44 but may be performed or compiled by any qualified agency, rather than by a special inspector.

(d) All requirements that specify submittal and approval of either construction documents or acceptance tests and records, are applicable only at a local level, where required by a local code official.

(e) All requirements that specify obtaining a permit, are applicable only at a local level, where required by a local ordinance.

(f) Use and operation provisions shall apply which are a contingency of design and construction-related requirements and which are addressed in IFC chapters 2 to 4; IFC sections 501 and 502 and 504 to 510; IFC sections 601 to 605 and 607 to 609; IFC chapters 7 and 8; IFC sections 901.1 to 901.4.2, 901.4.4 to 909.18.9, and 909.20 to 913; and IFC chapters 10, 12 to 21, 23 to 29, 31 to 33, 36, 37, and 39 to 44.

Note: The department and other state agencies may have additional rules that affect the design, construction, maintenance and use of public buildings and places of employment, including chs. Comm 5, Licenses, Certifications, and Registrations; Comm 7, Explosives and Fireworks; Comm 10, Flammable and Combustible Liquids; Comm 14, Fire Prevention; Comm 16, Electrical; Comm 18, Elevators, Escalators and Lift Devices; Comm 40, Gas Systems; Comm 41, Boilers and Pressure Vessels; Comm 43, Anhydrous Ammonia; Comm 45, Mechanical Refrigeration; Comm 75 to 79, Buildings Constructed Prior to 1914; Comm 81 to 87, Plumbing; Comm 90, Public Swimming Pools; and Comm 91, Sanitation. The department's Safety and Buildings Division administers all of these listed codes except ch. Comm 10, which is administered by the department's Environmental and Regulatory Services Division.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 01-139: am. (6) (c), (7) (c), (13) (a) 1. and 6. Register June 2002 No. 558, eff. 7-1-02; CR 04-016: am. (3), (5), (6) (intro.), (7) (intro.), (8) (intro.), (9) (intro.), (10) (b), (13) (a) 1. and 6., cr. (10) (a) 4. and (12) (b), renum. (12) to be (12) (a) and am., Register December 2004 No. 588, eff. 1-1-05; CR 05-113: cr. (4) (b) 6. Register December 2006 No. 612, eff. 4-1-07; CR 06-120: r. and recr., Register February 2008 No. 626, eff. 3-1-08.

Comm 61.04 Definitions. In this code:

(1) "Authorized representative" means any certified municipality or county as specified in s. Comm 61.60, and any appointed agent as specified in s. Comm 61.61.

(2) "Department" means the department of commerce.

(3) "Dwelling unit" has the meaning given in s. 101.61 (1), Stats., for the purpose of determining whether this code applies to a residential occupancy. For all other purposes, the meaning is as given in IBC section 202, IECC section 202, and IMC section 202.

Note: Section 101.61 (1), Stats., reads in part: "'Dwelling unit' means a structure or that part of a structure which is used or intended to be used as a home, residence or sleeping place by one person or by 2 or more persons maintaining a common household, to the exclusion of all others."

(4) "HVAC" means heating, ventilating, and air conditioning.

(5) "IBC" and "International Building Code" mean the *International Building Code*®, as adopted under s. Comm 61.05 and modified in this code.

(6) "ICC Electrical Code" means ch. Comm 16.

(7) "IEBC" and "International Existing Building Code" mean the 2006 edition of the *International Existing Building Code*®, as adopted under s. Comm 61.05 and modified in this code.

(8) "IECC" and "International Energy Conservation Code" mean the *International Energy Conservation Code*®, as adopted under s. Comm 61.05 and modified in this code.

(9) "IFC" and "International Fire Code" mean the *International Fire Code*®.

(10) "IFGC" and "International Fuel Gas Code" mean the *International Fuel Gas Code*®, as adopted under s. Comm 61.05 and modified in this code.

(11) "IMC" and "International Mechanical Code" mean the *International Mechanical Code*®, as adopted under s. Comm 61.05 and modified in this code.

(12) "IPC" and "International Plumbing Code" mean chs. Comm 81 to 87.

(13) "IPSC" and "International Private Sewage Code" mean chs. Comm 81 to 87.

(14) "Multifamily dwelling" has the meaning given in s. 101.971 (2), Stats.

Note: Section 101.971 (2), Stats., reads as follows: "'Multifamily dwelling' means an apartment building, rowhouse, town house, condominium or manufactured building, as defined in s. 101.71 (6), that does not exceed 60 feet in height or 6 stories and that consists of 3 or more attached dwelling units the initial construction of which is begun on or after January 1, 1993. 'Multifamily dwelling' does not include a facility licensed under ch. 50."

(15) "This code" means chs. Comm 60 to 66, which is the Wisconsin Commercial Building Code.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 04-016: (4) renum. from Comm 62.0202 (1) (j), am. (1), renum. (3) and (4) to be (5) and (6), cr. (3) Register December 2004 No. 588, eff. 1-1-05; CR 05-113: am. (6) Register December 2006 No. 612, eff. 4-1-07; CR 06-120: renum. (4) to (6) to be (14), (4) and (15) and am. (15), cr. (7), renum. Comm 62.0202 (1) (c), (d) and (e) to (j) to be Comm 61.04 (5), (6) and (8) to (13) and am. (5) and (8) to (11), Register February 2008 No. 626, eff. 3-1-08.

Comm 61.05 Adoption of the International Codes.

(1) IBC. The *International Building Code*® – 2006, subject to the modifications specified in this chapter and ch. Comm 62 is hereby incorporated by reference into this code.

(2) IECC. The *International Energy Conservation Code*® – 2006, subject to the modifications specified in this chapter and in ch. Comm 63 is hereby incorporated by reference into this code.

(3) IMC. The *International Mechanical Code*® – 2006, subject to the modifications specified in this chapter and in ch. Comm 64 is hereby incorporated by reference into this code.

(4) IFGC. The *International Fuel Gas Code*® – 2006, subject to the modifications specified in this chapter and in ch. Comm 65 is hereby incorporated by reference into this code.

(5) IEBC. The *International Existing Building Code*® – 2006, subject to the modifications specified in this chapter and ch. Comm 66, is hereby incorporated by reference into this code.

Note: A copy of the *International Building Code*®, *International Energy Conservation Code*®, *International Mechanical Code*®, *International Fuel Gas Code*®, and *International Existing Building Code*® is on file in the offices of the department and the legislative reference bureau. Copies of the International Codes may be purchased from the International Code Council®, 4051 West Flossmoor Road, Country Club Hills, IL 60478-5795, (708) 799-2300, Website www.iccsafe.org.

Note: The references in chs. Comm 62 to 66 to individual ICC code sections typically are to a particular paragraph or set of paragraphs within a referenced ICC section, and are not intended to affect any subsequent subdivisions of the specified section unless stated otherwise. For example, the directive in s. Comm 62.0703 to substitute certain language for IBC section 703.2 is not intended to mean that IBC sections 703.2.1 through 703.2.3 are also being changed.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 01-109: cr. (5) Register June 2002 No. 558, eff. 7-1-02; CR 06-120: am. (1) to (4), r. and recr. (5), Register February 2008 No. 626, eff. 3-1-08; corrections made under s. 13.92 (4) (b) 7., Stats., Register February 2008 No. 626.

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Comm 61.06 Fees. Fees for petitions for variance, product approvals, notice registrations, plan examination and approvals, and for inspections of buildings, and structures shall be submitted as specified in ch. Comm 2. Fees shall be submitted at the time the application for approval is submitted. No plan examinations, approvals or inspections may be made until the fees are received.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Subchapter II — Responsibilities, Appeals, Petitions and Penalties

Comm 61.115 Construction site soil erosion control. **History:** CR 01-139: cr. Register June 2002 No. 558, eff. 7-1-02; renum. from s. Comm 50.115 under s. 13.93 (2m) (b) 1., Stats., Register June 2002 No. 558; CR 04-016: am. (1) (intro.) and (2) Register December 2004 No. 588, eff. 1-1-05; CR 05-113: r. Register December 2006 No. 612, eff. 4-1-07.

Comm 61.20 Responsibilities. (1) **OWNER.** Compliance with this code does not relieve the owner of a public building or place of employment from compliance with the administrative rules established by other state jurisdictions.

Note: Pursuant to s. 101.11 (2) (a), Stats., no employer or owner, or other person shall hereafter construct or occupy or maintain any place of employment, or public building, that is not safe, nor prepare plans which shall fail to provide for making the same safe.

Note: Section 101.12 (3) (h), Stats., prohibits local issuance of permits or licenses for construction or use of public buildings or places of employment until required drawings and calculations have been examined and approved by the department.

Note: Section 145.195 (1), Stats., prohibits local issuance of a building permit for construction of any structure requiring connection to a private domestic sewage treatment and disposal system unless a system satisfying all applicable regulations already exists to serve the proposed structure or all permits necessary to install such a system have been obtained.

(2) **DESIGN.** (a) Pursuant to ch. 443, Stats., a public building, structure or place of employment shall be designed by an architect or an engineer, except as provided under ss. 443.14 and 443.15, Stats.

(b) Pursuant to ch. 443, Stats., a component or a system, including an electrical system, a fire protection system, a heating ventilating and air conditioning system, or a plumbing system, for a public building, structure or place of employment shall be designed by an architect, engineer or a designer of engineering systems, except as provided under ss. 443.14 and 443.15, Stats.

Note: Sections 443.14 and 443.15 read:

443.14 Exempt persons. The following persons, while practicing within the scope of their respective exemptions, shall be exempt from this chapter:

(1) An employee of a person holding a certificate of registration in this state who is engaged in the practice of architecture or professional engineering and an employee of a person temporarily exempted from registration, if the practice does not include responsible charge of architecture or professional engineering practice.

(2) Officers and employees of the federal government while engaged within this state in the practice of architecture, landscape architecture or professional engineering for the federal government.

(3) A public service company and its regular employees acting in its behalf where the professional engineering services rendered are in connection with its facilities which are subject to regulation, supervision and control by a commission of this state or of the federal government.

(4) Any person who practices architecture or professional engineering, exclusively as a regular employee of a private company or corporation, by rendering to the company or corporation architectural or professional engineering services in connection with its operations, so long as the person is thus actually and exclusively employed and no longer, if the company or corporation has at least one architect or professional engineer who is registered under this chapter in responsible charge of the company's or corporation's architectural or professional engineering work in this state.

(5) A person engaged in the manufacture of a product or unit, including laboratory research affiliates of the person, where the services performed are the design, assembly, manufacture, sale or installation of that product or unit. "Product or unit" does not include any building.

(6) Notwithstanding any other provision of this chapter, contractors, subcontractors or construction material or equipment suppliers are not required to register under this chapter to perform or undertake those activities which historically and customarily have been performed by them in their respective trades and specialties, including, but not limited to, the preparation and use of drawings, specifications or layouts within a construction firm or in construction operations, superintending of construction, installation and alteration of equipment, cost estimating, consultation with architects, professional engineers or owners concerning materials, equipment, methods and techniques, and investigations or consultation with respect to construction sites, provided all such activities are performed solely with respect to the performance of their work on buildings or with respect to supplies or materials furnished

by them for buildings or structures or their appurtenances which are, or which are to be, erected, enlarged or materially altered in accordance with plans and specifications prepared by architects or professional engineers, or by persons exempt under subs. (1) to (5) while practicing within the scope of their exemption.

(7) This chapter does not require manufacturers or their material or equipment suppliers to register under this chapter in order to enable them to perform engineering in the design, assembly, manufacture, sale or installation of their respective products.

(8) The following persons doing surveying work are exempt from the provisions of this chapter:

(a) An employee of a land surveyor registered in this state or authorized to practice under a permit, while working under the supervision of the employer. Such exempt employee shall not be in responsible charge of land surveying.

(b) Officers and employees of the federal government while engaged in land surveying for the federal government.

(c) Employees of this state while engaged in land surveying for the state.

(d) Employees of public utilities regulated by the public service commission in land surveying for such utilities.

(9) A license shall not be required for an owner to survey his or her own land for purposes other than for sale.

(10) Any person employed by a county or this state who is engaged in the planning, design, installation or regulation of land and water conservation activities under ch. 92 or s. 281.65 and who is certified under s. 92.18.

(11) Any land surveyor registered under s. 443.06 who is engaged in the planning, design, installation or regulation of land and water conservation activities under ch. 92 or s. 281.65.

(12m) A well driller, as defined in s. 280.01 (7), who is engaged in well drilling, as defined in s. 280.01 (8).

(13) A professional engineer who, while engaged in the practice of professional engineering in accordance with this chapter, collects, investigates, interprets or evaluates data relating to soil, rock, groundwater, surface water, gases or other earth conditions, or uses that data for analysis, consultation, planning, design or construction.

(14) A person who, while engaged in the practice of professional geology, hydrology or soil science as defined in s. 470.01 (2), (3) or (4), practices professional engineering, if the acts that involve the practice of professional engineering are also part of the practice of professional geology, hydrology or soil science.

443.15 Exempt buildings. (1) Nothing in this chapter prevents any person from advertising and performing services, including consultation, investigation, evaluation, in connection with and making plans and specifications for, or supervising the erection, enlargement or alterations of any of the following buildings:

(a) Dwellings for single families, and outbuildings in connection with single-family dwellings, including, but not limited to, barns and private garages.

(b) Apartment buildings used exclusively as the residence of not more than 2 families.

(c) Buildings used exclusively for agricultural purposes.

(d) Temporary buildings or sheds used exclusively for construction purposes, not exceeding 2 stories in height, and not used for living quarters.

(2) Nothing in this chapter prevents any person, firm or corporation from making plans and specifications for or supervising the erection, enlargement or alteration of any new building containing less than 50,000 cubic feet total volume or addition to a building which by reason of such addition results in a building containing less than 50,000 cubic feet total volume or structural alteration to a building containing less than 50,000 cubic feet total volume. Nothing in this chapter prevents any person, firm or corporation from making repairs or interior alterations to buildings which do not affect health or safety.

(3) Any multiple family building having a common roof and party walls shall be deemed a single building for purposes of this section.

(4) This section does not apply to inspection and service work done by employees of insurance rating bureaus, insurance service bureaus, insurance companies or insurance agents.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.21 Appeals. (1) **APPEAL OF DEPARTMENT ORDER.** Pursuant to s. 101.02 (6) (e), Stats., any person who owns or occupies a property that is affected by an order of the department may petition the department for a hearing on the reasonableness of the order.

(2) **APPEAL OF LOCAL ORDER.** Pursuant to s. 101.02 (7) (b), Stats., any person affected by a local order that is in conflict with an order of the department may petition the department for a hearing.

Note: Section 101.01 (8), Stats., defines "local order" as any ordinance, order, rule or determination of any common council, board of alderpersons, board of trustees or the village board, of any village or city, or the board of health of any municipality, or an order or direction of any official of such municipality, upon any matter over which the department has jurisdiction.

Section 101.02 (7) (c) reads: "Upon receipt of such petition the department shall order a hearing thereon, to consider and determine the issues raised by such appeal, such hearing to be held in the village, city or municipality where the local order appealed from was made. Notice of the time and place of such hearing shall be given to the petitioner and such other persons as the department may find directly interested in such decision, including the clerk of the municipality or town from which such appeal comes. If upon such investigation it shall be found that the local order appealed from is unreasonable and in conflict with the order of the department, the department may modify its order and shall substitute for the local order appealed from such order as shall be reasonable and legal in the premises, and thereafter the said local order shall, in such particulars, be void and of no effect."

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(3) **CONTESTED CASE HEARING.** In addition to any other right provided by law, any interested person may file a written request for a contested case hearing, as specified in s. 227.42, Stats.

(4) **PETITION OF ADMINISTRATIVE RULE.** Pursuant to s. 227.12, Stats., any municipality, corporation or any 5 or more persons having an interest in an administrative rule may petition the department requesting the adoption, amendment or repeal of the rule.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.22 Petition for variance. The department shall consider and may grant a variance to a provision of this code in accordance with ch. Comm 3. The petition for variance shall include, where applicable, a position statement from the fire department having jurisdiction.

Note: Chapter Comm 3 requires the submittal of a petition for variance form (SBD-9890) and a fee, and that an equivalency is established in the petition for variance which meets the intent of the rule being petitioned. Chapter Comm 3 also requires the department to process regular petitions within 30 business days and priority petitions within 10 business days. The SBD-9890 form is available from the Department's Website at www.commerce.wi.gov, through links to Safety and Buildings Division forms.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.23 Penalties. Penalties for violations shall be assessed in accordance with ss. 101.02 (12) and (13) (a), and 101.978, Stats.

Note: Section 101.02 (13) (a), Stats., indicates penalties will be assessed against any employer, employee, owner or other person who fails or refuses to perform any duty lawfully enjoined, within the time prescribed by the department, for which no penalty has been specifically provided, or who fails, neglects or refuses to comply with any lawful order made by the department, or any judgment or decree made by any court in connection with ss. 101.01 to 101.25. For each such violation, failure or refusal, such employee, owner or other person must forfeit and pay into the state treasury a sum not less than \$10 nor more than \$100 for each violation.

Note: Section 101.02 (12), Stats., indicates that every day during which any person, persons, corporation or any officer, agent or employee thereof, fails to observe and comply with an order of the department will constitute a separate and distinct violation of such order.

Note: Section 101.978, Stats., reads, "Any person who violates this subchapter or any rules promulgated under this subchapter shall forfeit not less than \$25 nor more than \$500 for each offense. Each day of continued violation constitutes a separate offense."

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Subchapter III — Plan Review and Related Functions

Comm 61.30 Plan review and approval. (1) TYPES OF BUILDINGS. (a) Except as provided in par. (b), the construction of, the alteration of or the addition to a public building or a place of employment may not commence unless plans for the project have been submitted to and approved by department or its authorized representative in accordance with s. Comm 61.31.

(b) 1. Plans for community-based residential facilities serving 5 to 8 unrelated adults and the types of public buildings and places of employment and components thereof delineated in Table 61.30-1 do not need to be submitted and approved by the department or authorized representative.

Note: The exemption under par. (b) for not having to submit and obtain prior approval from the department for specific building projects does not waive the obligation for these type of projects to conform to the standards of this code.

Note: The exemption under par. (b) for not having to submit and obtain prior approval from the department for specific building projects does not prohibit a municipality from requiring the submission, review and approval of plans by the municipality nor does it supercede the necessity of obtaining local building permits prior to the commencement of the project.

Table 61.30-1
Buildings Exempt from Plan Review

Building Type or Occupancy	Building Description
Assembly Group A-2, A-3 Business Group B Factory Group F Mercantile Group M Storage Group S Utility and Miscellaneous Group U	Containing less than 25,000 cubic feet in volume

2. Plans for the types of public buildings and places of employment and components thereof delineated in Table 61.30-2 do not need to be submitted and approved by the department or authorized representative provided all of the following conditions are met:

a. The building or the component thereof is designed by a registered individual under ch. 443, Stats.

b. The project is supervised by an individual in accordance with s. Comm 61.40.

3. a. Where the exemption in subd. 2. is elected, a notice shall be filed with the department or its authorized representative prior to commencement of the project, that identifies the building location, the name and address of the building owner, and the name and Wisconsin registration number for the designer and supervising professional.

b. Where the exemption in subd. 2. is elected, the architect, engineer, designer, or owner shall keep at the building site one set of construction documents for the project. The construction documents shall be open to inspection by the department, its authorized representative or the municipality.

Table 61.30-2

Buildings Exempt from Plan Review if Registered

Building Type or Occupancy	Building Description
Assembly Group A-2, A-3 Business Group B Factory Group F Mercantile Group M Storage Group S Utility and Miscellaneous Group U	Containing 25,000 to less than 50,000 cubic feet in volume
Assembly Group A-1, A-4, A-5 Educational Group E High Hazard Group H Residential Group R	Containing less than 25,000 cubic feet in volume

(2) **TYPES OF STRUCTURES.** Plans for all of the following types of structures shall be submitted and approved by the department or authorized representative prior to commencement of the project:

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(a) Assembly seating facilities to be located within a public building or place of employment.

(b) Assembly seating facilities more than 5 rows in height and not located within a public building or place of employment.

(c) Public mausoleum structures.

Note: Section 157.061 (9), Stats., reads as follows: “ ‘Mausoleum’ ” means a building, structure or part of a building or structure that is used or intended to be used for the burial of human remains.”

(d) Crematoriums.

(3) TYPES OF BUILDING COMPONENTS. If the construction of, the alteration of or the addition to a public building or a place of employment involves a type of building component or system delineated in Table 61.30–3, the plans under sub. (1) (a) shall include, or separate plans for the component or system, shall be submitted and approved by the department or authorized representative prior to installation of the component.

(4) EXCLUSION FOR MINOR ALTERATIONS. This section does not apply for minor alterations where the building official agrees the nature of the work is such that review and approval of construction documents is not necessary to achieve compliance with this code.

Table 61.30–3

Building Components and Systems

Building Component or System	Building Type or Occupancy
Premanufactured and Pre-engineered structural components	Public buildings or places of employment
Heating, ventilating and air conditioning system	Public buildings or places of employment
Fire protection system	Atria public building or places of employment
	Public buildings exceeding 60 feet in height
	Educational Group E
	Institutional Group I–1, I–3
	Mercantile Group M buildings that combine retail areas with rack storage and have floor areas exceeding 50,000 square feet
	Residential Group R–1, R–2
	State-owned buildings except hospitals and nursing homes

History: CR 00–179: cr. Register December 2001 No. 552, eff. 7–1–02; CR 01–139: cr. (2) (c), r. and recr. Table 61.30–3 Register June 2002 No. 558, eff. 7–1–02; CR 04–016: am. (1) (b) 1., 2. b. and Table 61.30–3, cr. (4) Register December 2004 No. 588, eff. 1–1–05; **CR 06–120: am. Table 1 and 2, cr. (2) (d), Register February 2008 No. 626, eff. 3–1–08.**

Comm 61.31 Plans. (1) SIGNING AND SEALING. (a) Except as provided in par. (b), construction documents submitted to the department or its authorized representative for review shall be prepared, signed and sealed in accordance with ch. 443, Stats., and s. A–E 2.02.

(b) Sprinkler construction documents that are required by s. Comm 61.34 (1) to be at an installation site shall comply with one of the following:

1. Be signed and sealed in accordance with s. A–E 2.02 by an architect, engineer or fire protection systems designer who is registered by the department of regulation and licensing.

2. Be signed, including license number, and dated by an automatic fire sprinkler contractor who is responsible for the installation of the sprinklers and who is licensed by the department of commerce.

Note: Pursuant to s. A–E 2.02 (4) and (5) read:

“A–E 2.02 (4) Each sheet of plans, drawings, documents, specifications and reports for architectural, landscape architectural, professional geological, professional engineering, design or land surveying practice shall be signed, sealed and dated by the registrant or permit holder who prepared, or directed and controlled preparation of, the written material, except as specified in sub. (5).”

“(5) If more than one sheet is bound together in a volume, the registrant or permit holder who prepared or directed and controlled the preparation of the volume, may sign, seal and date only the title or index sheet if the signed sheet identifies clearly all other sheets comprising the bound volume and if any other sheets which are prepared by or under the direction and control of another registrant or permit holder are signed, sealed and dated by the other registrant or permit holder.”

(2) CONTENTS AND INFORMATION. (a) 1. Construction documents submitted to the department or its authorized representative for review shall be dimensioned and drawn to scale.

2. The scale used for the construction documents shall be indicated on the documents.

(b) 1. Except as provided in subd. 2., at least 4 sets of construction documents shall be submitted to the department or authorized representative for review.

2. a. At least one set of construction specifications shall be submitted to the department or authorized representative for review.

b. One complete set of plans may be submitted, provided it is accompanied with 3 copies of the cover sheet for the complete set, and provided all 4 cover sheets comply with sub. (1) (a).

(c) All construction documents submitted to the department or authorized representative for review shall be permanent copies of the original documents and the copies shall be bound into sets in a manner that enables the documents to be reviewed without removing the binding.

(d) Construction documents submitted to the department or its authorized representative for review shall be of sufficient clarity, character and detail to show how the proposed design will conform to this code.

(e) 1. Construction documents shall be accompanied by sufficient calculations or information to substantiate that the documents conform to this code.

2. When requested by the department or its authorized representative, additional data pertaining to the design, construction, materials and equipment shall be submitted to the department or the authorized representative to substantiate conformance to this code.

(3) APPLICATION FOR APPROVAL. (a) A plan review application form shall be included with the construction documents and information submitted to the department for examination and approval. Pursuant to s. Comm 2.07 (3), the department shall review and make a determination on an application for plan review under this chapter within 15 business days.

Note: The department forms required in this chapter are available from the Department’s Website at www.commerce.wi.gov, through links to Safety and Buildings Division forms.

Note: Under s. 145.195, Stats., “No county, city, town or village may issue a building permit for construction of any structure requiring connection to a private domestic sewage treatment and disposal system unless a system satisfying all applicable regulations already exists to serve the proposed structure or all permits necessary to install such a system have been obtained.” See ch. Comm 83 for applicable regulations.

(b) If, upon examination, the department determines that the construction documents or application for approval do not substantially conform to this code, the application for conditional approval will be denied, in writing.

(c) If, upon examination, the department determines that the construction documents and the application for approval substantially conform to this code, a conditional approval, in writing, will be granted and the plans will be stamped conditionally approved. All conditions stated in the conditional approval shall be complied with before or during construction.

Note: The plan examination and approval by the department does not constitute an approval to proceed with construction prior to obtaining any permits or approvals that are required by a local unit of government.

(4) REVISIONS TO APPROVED PLANS. (a) 1. All proposed revisions and modifications which involve rules under this code and which are made to construction documents that have previously been granted approval by the department or its authorized repre-

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sentative, shall be submitted for review to the office that granted the approval.

2. All revisions and modifications to the plans shall be approved in writing by the department or its authorized representative prior to the work involved in the revision or modification being carried out.

(b) A revision or modification to a plan, drawing or specification shall be signed and sealed in accordance with s. Comm 61.31 (1).

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 01-139: renum. (3) (intro.) to (b) to be (3) (a) to (c) Register June 2002 No. 558, eff. 7-1-02; CR 04-016: renum. (2) (b) 2. to be (2) (b) 2. a., cr. (2) (b) 2. b. Register December 2004 No. 588, eff. 1-1-05; CR 06-119: am. (3) (a) Register July 2007 No. 619, eff. 8-1-07.

Comm 61.32 Permission to start construction.

(1) A building owner may request and the department or its authorized representative may grant permission to start construction for the footings and foundations upon submission of construction documents under s. Comm 61.31.

(2) A building owner who has been granted permission to start construction of the footings and foundations may proceed at the owner's own risk without assurance that a conditional approval for the building will be granted.

(3) The department shall review and make a determination on an application for permission to start construction of the footings and foundations within 3 business days of receipt of the application and all forms, fees, construction documents and information required to complete the review.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.33 Evidence of plan approval. Where plan approval is required by this code, one set of plans bearing the stamp of conditional approval and a copy of the specifications shall be kept at the building site. The plans and specifications shall be open to inspection by the department or its authorized representative.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.34 Sprinkler documents. (1) PLANS. (a) 1.

Except as provided in subd. 2. or when fire protection systems are required to be submitted under s. Comm 61.30, where automatic fire sprinkler systems are to be installed or altered, sprinkler construction documents shall be present at the job site and made available, upon request, to the department, its authorized representative or local governmental agency exercising jurisdiction.

2. a. When a project involves the alteration or addition of 20 or fewer sprinkler heads to an existing automatic fire sprinkler system, sprinkler construction documents shall not be required to be present at the job site or made available, unless required by local ordinance.

b. When sprinkler plans and specifications are not provided for a project involving the alteration or addition of 20 or fewer sprinkler heads to an existing automatic fire sprinkler system, the automatic fire sprinkler contractor responsible for the work shall provide a written description of the type and scope of the work. The description shall be included with the material and test certificate, if required. The description shall be made available, upon request, to the department, its authorized representative or local governmental agency exercising jurisdiction.

(b) Where automatic fire sprinkler plans are required by local ordinance to be reviewed and approved by a local governmental agency, the sprinkler plans at the installation site shall bear evidence of that approval.

(2) **CONTRACTOR'S MATERIAL AND TEST CERTIFICATES.** (a) Where automatic fire sprinkler systems have been installed or altered, completed contractor's material and test certificates shall be made available, upon request, to the department, its authorized representative or local governmental agency exercising jurisdiction.

(b) A sprinkler material and test certificate shall provide at least the information as enumerated in appropriate NFPA standard, NFPA 13 or NFPA 13R.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.35 Revocation of approval. The department may revoke any approval, issued under this code, for any false statements or misrepresentation of facts on which the approval was based.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.36 Expiration of plan approval and extension of plan approval. (1) EXPIRATION OF PLAN APPROVAL. (a) Building shell.

Except as provided in par. (f) and sub. (2) (b), plan approval by the department or its authorized representative for new buildings and building additions shall expire 2 years after the approval date indicated on the approved building plans if the building shell is not closed in within those 2 years.

(b) *Occupancy.* Except as provided in sub. (2), plan approval by the department or its authorized representative for new buildings and building additions shall expire 3 years after the approval date indicated on the approved building plans if the building is not ready for occupancy within those 3 years.

(c) *Alterations.* Except as provided in sub. (2), plan approval by the department or its authorized representative for interior building alterations shall expire one year after the approval date indicated on the approved building plans if the alteration work is not completed within that year.

(d) *HVAC construction only.* Except as provided in sub. (2), plan approval by the department or its authorized representative for heating, ventilating, or air conditioning construction that does not include any associated building construction shall expire one year after the approval date indicated on the approved plans if the building or building area affected by the plans is not ready for occupancy within that year.

(e) *Fire protection systems only.* Except as provided in sub. (2), plan approval by the department or its authorized representative for a fire protection system that does not include any associated building construction shall expire 2 years after the approval date indicated on the approved plans if the building or building area affected by the plans is not ready for occupancy within those 2 years.

(f) *Mausoleums.* Plan approval by the department or its authorized representative for mausoleums within the scope of s. 440.92 (2) (e), Stats., shall expire 3 years after the date indicated on the approved building plans of the building shell if not closed within those 3 years.

(2) **EXTENSION OF PLAN APPROVAL.** (a) Except as provided in par. (b), upon request and payment of the fee specified in ch. Comm 2, the expiration dates in sub. (1) (b) to (f) may be extended provided the request is submitted prior to expiration of the original approval.

Note: According to s. 66.0413, Stats., the local governmental body or building inspector may order the razing of buildings or portions thereof, where there has been a cessation of normal construction for more than 2 years.

(b) Upon request to the secretary, the expiration dates in sub. (1) (a) and (b) may be extended at the discretion of the secretary for a one-time, 2-year period provided all of the following:

1. The approved plan is for multifamily dwelling containing less than 11 dwelling units.

2. The original plan was submitted for department review prior to January 1, 2011.

3. The request is submitted prior to expiration of the original approval.

Note: According to s. 66.0413, Stats., the local governmental body or building inspector may order the razing of buildings or portions thereof, where there has been a cessation of normal construction for more than 2 years.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 06-120: renum. (2) to be (2) (a) and am., cr. (2) (b), Register February 2008 No. 626, eff. 3-1-08.

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Comm 61.37 Department limitation. A conditional approval of a plan by the department may not be construed as an assumption of any responsibility on the part of the department for the design or construction of the project.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02.

Comm 61.38 Construction documents for fire apparatus access.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 06-120: r., Register February 2008 No. 626, eff. 3-1-08.

Comm 61.39 Registration of cross connection control assemblies. Cross connection control assemblies to be installed in water-based fire protection systems shall be registered with the department in accordance with ch. Comm 82.

History: CR 02-002: cr. Register April 2003 No. 568, eff. 5-1-03; CR 04-016: am. Register December 2004 No. 588, eff. 1-1-05.

Subchapter IV — Supervision and Inspections

Comm 61.40 Supervision. (1) GENERAL. (a) Except as provided in par. (b), the proposed construction of a project within the scope of this code shall be supervised by a Wisconsin registered architect or engineer, except that a Wisconsin registered designer may supervise the installation of heating, ventilating and air conditioning systems, fire protection systems and illumination systems. The person responsible for supervision shall also be responsible for the construction and installation being in substantial compliance with the approved plans and specifications. If the supervising architect, engineer or designer is confronted with a nonconformance with the code during or at the end of construction, that party, together with the designing architect, engineer or designer shall effect compliance or shall notify the department of the noncompliance.

(b) 1. Except as provided in s. Comm 61.30 (1) (b) 2. b., a project does not require supervision by a Wisconsin registered architect or engineer, if the project qualifies under one of the following conditions:

a. The building contains less than 50,000 cubic feet total volume.

b. An addition to an existing building does not cause the entire building to contain or exceed a volume of 50,000 cubic feet.

2. For the purposes of this paragraph, the utilization of fire walls to divide up a building does not create separate buildings.

(2) DUTIES. Supervision of construction is a professional service, as distinguished from superintending of construction by a contractor, and means the performance, or the supervision thereof, of reasonable on-the-site observations to determine that the construction is in substantial compliance with the approved plans and specifications.

(3) NAME OF SUPERVISING ARCHITECT, ENGINEER OR DESIGNER. Prior to the start of construction, the owner of the building or structure shall designate in writing to the authority that issued plan approval the name and Wisconsin registration number of the architect, engineer or designer retained to supervise construction of the building or structure.

(4) COMPLIANCE STATEMENT. Prior to initial occupancy of a new building or addition, and prior to final occupancy of an alteration of an existing building, the supervising architect, engineer or designer shall file a written statement with the authority that issued plan approval certifying that, to the best of his or her knowledge and belief, construction of the portion to be occupied has been performed in substantial compliance with the approved plans and specifications. This statement shall be provided on a form prescribed by the department.

Note: The department forms required in this chapter are available from the Department's Web site at www.commerce.wi.gov, through links to Safety and Buildings Division forms.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 01-139: am. (1) (b) 1. a. and b. Register June 2002 No. 558, eff. 7-1-02; CR 04-016: renum. from Comm 61.50 Register December 2004 No. 588, eff. 1-1-05.

Comm 61.41 Inspections. (1) ON-SITE. On-site inspections shall be conducted by an authorized representative of the department to determine whether or not the construction or installations conform to the conditionally approved plans, the conditional approval letter, and this code.

Note: Under s. 101.14 (2) (b) and (c), Stats., fire department chiefs are responsible for periodically inspecting "every public building and place of employment to determine and cause to be eliminated any fire hazard or any violation of any law relating to fire hazards or to the prevention of fires." Under s. 101.14 (1) (a) and (b) and (2) (a), Stats., the department and any deputy, including deputies who are chiefs of fire departments, may require correction of "any violation of any law or order [such as the orders in chs. Comm 60 to 66] relating to the fire hazard or the prevention of fire." See ch. Comm 14 for further requirements relating to fire inspections and fire prevention.

(2) IN-PLANT. (a) *General.* Manufacturers of manufactured buildings shall contract with the department or an independent inspection agency to conduct in-plant inspections to assure that the manufactured buildings are in compliance with the plans approved by the department. All inspections shall be performed by a certified commercial building inspector.

(b) *Wisconsin insignia for manufactured buildings.* Pursuant to s. 101.75, Stats., a Wisconsin insignia shall be installed on a manufactured dwelling unit approved by the department and inspected at the manufacturing plant. Each Wisconsin insignia shall be assigned and affixed to a specific manufactured multifamily dwelling, in the manner approved by the department, before the dwelling is shipped from the manufacturing plant. The serial number shall be located on the manufacturer's data plate.

(c) *Manufacturer's responsibilities.* 1. 'Insignia records.' The manufacturer shall keep permanent records regarding the handling of all Wisconsin insignias indicating the number of Wisconsin insignias which have been affixed to manufactured buildings, building components, or groups of components; which Wisconsin insignias have been applied to which manufactured building or building component; and the disposition of any damaged or rejected Wisconsin insignias. The records shall be maintained by the manufacturer or by the independent inspection agency for at least 10 years. A copy of the records shall be sent to the department upon request.

2. 'Lost or damaged insignia.' a. If Wisconsin insignias become lost or damaged, the department shall be notified immediately in writing by the manufacturer or dealer.

b. If a Wisconsin insignia becomes damaged, the insignia shall be returned to the department with the fee specified in ch. Comm 2 to obtain a new insignia.

(d) *Insignia suspension and revocation.* 1. The department may suspend or revoke its approval if it determines that the standards for the construction or manufacture and installation of a manufactured building do not meet this code, or if such standards are not being enforced as required by this chapter.

2. Upon suspension or revocation of the approval, no further insignias may be attached to any manufactured building or type of manufactured building with respect to which the approval was suspended or revoked.

3. Upon suspension or revocation of the approval, all insignias allocated to the manufacturer shall be returned to the department no later than 30 days from the effective date of the suspension or revocation.

(3) PUBLIC MAUSOLEUM. Within 30 days after receiving written notice from a cemetery authority that the construction or conversion of a public mausoleum has been completed, the department or authorized representative shall inspect the public mausoleum and provide written notification of violations. Except as provided in s. 157.12 (2) (b), Stats., public mausoleum spaces may not be sold prior to approval by the department or its authorized representative.

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Note: Sale of public mausoleum spaces is permitted prior to departmental approval in accordance with the requirements of the department of regulation and licensing.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 01-139: renum. (2) (e) to be (3) Register June 2002 No. 558, eff. 7-1-02; CR 04-016: renum. from Comm 61.51 Register December 2004 No. 588, eff. 1-1-05.

Subchapter V — Product and Standard Review and Approval

Comm 61.50 Building product approvals. (1) VOLUNTARY APPROVAL. (a) Materials, equipment and products regulated by this code may receive a written approval from the department indicating code compliance.

(b) 1. Approval of materials, equipment, and products shall be based on sufficient data, tests and other evidence that prove the material, equipment or product is in compliance with the standards specified in this code.

2. Tests, compilation of data, and calculations shall be conducted by a qualified independent third party.

(2) ALTERNATE APPROVAL. (a) Materials, equipment, and products that meet the intent of this code and which are not approved under sub. (1) shall be permitted if approved in writing by the department.

(b) 1. Approval of materials, equipment, and products shall be based on sufficient data, tests and other evidence that prove the material, equipment or product meets the intent of the standards specified in this code.

2. Tests, compilation of data, and calculations shall be conducted by a qualified independent third party.

(3) EXPERIMENTAL APPROVAL. (a) The department may allow use of an experimental material, equipment or product for the purpose of proving compliance with the intent of this code.

(b) The department may require the submission of any information deemed necessary for review.

(c) The department may limit the number of applications it will accept for approval of experimental materials, equipment or products.

(d) Installations of a material, equipment or product under an experimental approval shall comply with all of the following:

1. Plans detailing the installation for each project where the experimental material, equipment or product is to be used shall be submitted to the department in accordance with s. Comm 61.31.

2. A copy of the experimental approval shall be attached to the submitted plans and approved plans.

3. a. A letter of consent from the owner of the project shall be attached to the submitted plans and approved plans.

b. The letter shall acknowledge that the owner has received and read a copy of the experimental approval and is in compliance with all conditions of the approval.

4. If a supervising professional is not required for the project by s. Comm 61.40, a person responsible for construction of the project shall be designated in writing by the owner.

5. The supervising professional or person designated as responsible for the construction of the project shall, upon completion of construction, certify in writing to the department that the installation is in compliance with the experimental approval, approved plans, specifications and data.

(e) 1. Any onsite inspections shall be performed by the department, or other person approved by the department, at time intervals as specified by the department, but not less than once a year. An inspection report shall be written.

2. The department may assess a fee for each inspection.

(f) Five years and 6 months after the date of the completed installation, the department shall order the removal of the experimental material, equipment or product, or issue an approval for the material, equipment or product.

(g) Paragraphs (e) and (f) do not apply to an experimental system if this code is revised to include or enable the experimental system to conform to the intent of this code.

(4) REVIEW, APPROVAL AND REVOCATION PROCESSES. (a) 1. Upon receipt of a fee and a written request, the department may issue an approval for a material, equipment or product.

2. The department shall review and make a determination on an application for approval after receipt of all forms, fees, plans and information required to complete the review.

3. For voluntary and alternate approvals, a determination shall be made within 40 business days of receipt of all required materials.

4. For an experimental approval, the determination shall be made within 6 months of receipt of all required materials.

(b) 1. The department may include specific conditions in issuing an approval, including an expiration date for the approval.

2. Violations of the conditions under which an approval is issued shall constitute a violation of this code.

(c) If the department determines that the material, equipment or product does not comply with this code or the intent of this code, or that an experimental approval will not be issued, the request for approval shall be denied in writing.

(d) If an approved material, equipment or product is modified, the approval shall be considered null and void, unless the material, equipment or product is resubmitted to the department for review and approval is granted.

(e) 1. The department may revoke or deny an approval for any false statements or misrepresentations of relevant facts or data, unacceptability of a third party that provided any information on which the approval was based, or as a result of material, equipment or product failure.

2. The department may reexamine an approved material, equipment or product and issue a revised approval at any time.

(f) The department may revoke an approval if the department determines that the material, equipment or product does not comply with this code or the intent of this code due to a change in the code or department interpretation of the code.

(g) An approval issued by the department may not be construed as an assumption of any responsibility for defects in design, construction or performance of the approved material, equipment or product nor for any damages that may result.

(h) Fees for the review of a material, equipment or product under this section and any onsite inspections shall be submitted in accordance with ch. Comm 2.

(5) UNGRADED OR USED PRODUCTS. (a) 1. Except as provided in subd. 2., ungraded or used building products may be used or reused as long as the materials possess the essential properties necessary to achieve the level of performance required by this code for the intended use.

2. Ungraded or used products may not be utilized, if specifically prohibited under a specific referenced standard.

(b) The department or the municipality enforcing this code may require tests in accordance with sub. (1) or (2). Approval for use of ungraded or used materials may be issued under this section or may be issued for a specific project under s. Comm 61.31.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 04-016: renum. from Comm 61.60 and am. (3) (d) 4. Register December 2004 No. 588, eff. 1-1-05.

Comm 61.51 Alternate standards. (1) Alternate standards that are equivalent to or more stringent than the standards referenced in this code may be used in lieu of the referenced standards when approved by the department or if written approval is issued by the department in accordance with sub. (2).

(2) (a) Upon receipt of a fee and a written request, the department may issue an approval for the use of the alternate standard.

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(b) The department shall review and make a determination on an application for approval within 40 business days of receipt of all forms, fees and documents required to complete the review.

(3) Determination of approval shall be based on an analysis of the alternate standard and the standard referenced in this code, prepared by a qualified independent third party or the organization that published the standard contained in this code.

(4) The department may include specific conditions in issuing an approval, including an expiration date for the approval. Violations of the conditions under which an approval is issued shall constitute a violation of this code.

(5) If the department determines that the alternate standard is not equivalent to or more stringent than the referenced standard, the request for approval shall be denied in writing.

(6) The department may revoke an approval for any false statements or misrepresentations of facts on which the approval was based.

(7) The department may reexamine an approved alternate standard and issue a revised approval at any time.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; reprinted to correct omission of (5) Register November 2002 No. 563; CR 04-016: renum. from Comm 61.61 Register December 2004 No. 588, eff. 1-1-05.

Subchapter VI — First Class City and Certified Municipality Approvals

Comm 61.60 Certified municipalities and counties.

(1) **GENERAL.** This section establishes the manner under which cities, villages, towns and counties may examine building plans and inspect buildings under s. 101.12 (3) (a), (am), (b) and (g), Stats.

(2) **CONDITIONS OF PARTICIPATION.** (a) Before assuming the responsibilities of examining building plans and providing inspection services, cities, villages, towns and counties shall comply with all of the following:

1. Notify the department, in writing, at least 30 days prior to the date upon which the municipality or county intends to assume the plan examination and building inspection responsibilities.

2. Employ certified commercial building inspectors to perform the plan examination and building inspection functions.

3. Forward to the department any information requested by the department relative to examination of plans and inspection of buildings.

4. Receive from the department certification to perform plan examination and building inspection.

(b) While certified, a municipality or county shall comply with all of the following:

1. Employ certified commercial building inspectors to perform the plan examination and building inspection functions.

2. Forward to the department any information requested by the department relative to examination of plans and inspection of buildings.

3. Notify the department, in writing, at least 30 days prior to the date upon which the municipality or county intends to relinquish the plan examination and building inspection responsibilities.

(c) Second class cities intending to perform the expanded plan examination and inspection specified in sub. (5) (b) shall comply with pars. (a) 1. to 4. and (b) 3., sub. (7) (b), and all of the following:

1. Employ at least one person who complies with all of the following:

- a. Is registered under ch. 443, Stats., as an architect or professional engineer.

- b. Is a certified commercial building inspector.

- c. Performs or directly supervises the plan examinations specified in sub. (5) (b).

2. Provide a monthly report to the department of all projects completed under this subsection, in an electronic-based format prescribed by the department.

(d) 1. To assume the building inspection responsibility but not the plan examination responsibility for the buildings and structures specified in sub. (5) (c), a municipality or county shall comply with pars. (a) 1. to 4. and (b) 3., except the plan examination requirements do not apply, and the department may delegate the inspection authority in a written manner other than a certification.

2. To assume the building inspection responsibility but not the plan examination responsibility for the buildings and structures that exceed the limits specified in sub. (5) (c), a municipality or county shall comply with subd. 1. and all of the following:

- a. Obtain authorization for these inspections from the department.

- b. Use an inspection process that is based on the inspection process used by the department.

- c. Retain inspection records in a manner that is accessible to the department.

- d. Forward to the department any information requested by the department relative to the inspection of buildings.

3. A municipality or county may waive its jurisdiction for the inspection of a specific project, in which case the department shall conduct the inspection.

(e) The department may revoke the certification or delegation of authority for any municipality or county where the plan examiners or inspectors do not meet the standards specified by the department or where other requirements of this section are not met.

Note: For any certified municipality or county, the department may review the competency of plan examiners on a regular basis, and review the correspondence and inspection reports, to determine if uniformity in code application decisions is being maintained, and to determine if the standards specified by the department are being met. Regular meetings and correspondence may be maintained between a certified municipality or county and the department in order to discuss and resolve any problems.

(3) **JURISDICTION.** (a) *Departmental.* 1. Nothing in this section shall prevent the department from conducting its own investigations or inspections or issuing orders relative to the administration and enforcement of this code.

2. The department shall administer and enforce this code in any municipality or county which has not assumed the responsibilities for plan examination and building inspection under sub. (2).

(b) *County.* 1. Ordinances enacted by a county under sub. (2) establishing county plan examination and building inspection functions shall apply to all municipalities within that county which have not assumed those functions pursuant to sub. (2).

2. Ordinances enacted by a county under sub. (2) establishing county plan examination and building inspection functions may not prevent or prohibit any municipality within that county from assuming those functions pursuant to sub. (2) at any time.

(4) **CERTIFICATION OF INSPECTORS.** Inspectors employed by certified municipalities and counties to administer and enforce this code under sub. (2) shall be certified by the department in accordance with ch. Comm 5 as certified commercial building inspectors.

(5) **PLAN EXAMINATION.** (a) *First class cities.* Drawings, specifications and calculations for all the types of buildings and structures specified in s. Comm 61.30, except state-owned buildings and structures, to be constructed within the limits of a first class city shall be submitted to that city, if that city has assumed the responsibilities of plan examination and building inspection in accordance with sub. (2).

(b) *Second class cities performing expanded plan examination.* Drawings, specifications and calculations for all the types of buildings and structures specified in s. Comm 61.30, except state-owned buildings and structures, to be constructed within the

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limits of a second class city shall be submitted to either the department or to that city, if that city has assumed the responsibilities of examining those plans and inspecting those buildings and structures in accordance with sub. (2) (c). Second class cities performing these examinations are not subject to the plan examination limits specified in par. (c).

Note: Second class cities may also request approval to perform other additional plan review functions under the appointed-agent process in s. Comm 61.61.

(c) *Other municipalities and counties.* Drawings, specifications and calculations for all the types of buildings and structures specified in s. Comm 61.30, except state-owned buildings and structures, to be constructed within the limits of a municipality or county that is not included in pars. (a) and (b) shall be submitted to either the department or to that municipality or county if the municipality or county has assumed the responsibilities of plan examination and building inspection in accordance with sub. (2) and if the plans are for any of the following

1. A new building or structure containing less than 50,000 cubic feet of total volume.

2. a. An addition to a building or structure where the area of the addition results in the entire building or structure containing less than 50,000 cubic feet of total volume.

b. An addition containing no more than 2,500 square feet of total floor area and no more than one floor level, provided the largest roof span does not exceed 18 feet and the exterior wall height does not exceed 12 feet.

3. An alteration of a space involving less than 100,000 cubic feet of total volume.

(d) *Project waiver.* 1. A certified municipality or county may waive its jurisdiction for the plan review of a specific project or types of projects, or components thereof, in which case plans and specifications shall be submitted to the department for review and approval.

2. The department may waive its jurisdiction for the plan review of a specific project, where agreed to by a certified municipality or county, in which case plans and specifications shall be submitted to the certified municipality or county for review and approval.

(e) *Plan submission procedures.* 1. a. A building permit application shall be included with the plan submitted to the municipality or county having jurisdiction for examination.

b. Plans for a building or structure that exceeds the limits specified in par. (c) which are submitted either to a second class city under par. (b) or to an appointed agent under s. Comm 61.51 shall include the department's plan approval application form specified in s. Comm 61.31, unless a municipally supplied form is submitted.

2. At least 2 sets of complete building plans and one copy of specifications shall be submitted to the municipality or county having jurisdiction for examination.

3. a. Building plans submitted to a municipality or county for examination shall include the information specified in subd. 3. b. and s. Comm 61.31.

b. Plans that are submitted to a municipality under par. (c) by use of the volumes specified in par. (c) 1. to 3. shall include calculations showing the total volume.

4. After plans and specifications for a project have been submitted to a municipality or county under this section, or to a department office, any subsequent submittal for the purpose of complying with this code shall be submitted to that same office, except as provided in subds. 6. to 9.

5. Except as provided in subds. 6. to 9., plans and specifications for all components of a project, including but not limited to trusses, precast concrete, laminated wood, or heating, ventilating and air conditioning, shall be submitted to the same office.

6. For an individual building in a multiple-building complex, the submitter may choose whether to submit plans and specifica-

tions to a municipality or county having jurisdiction for examination, or to any of the department's offices, even if a previous building in the complex had been reviewed by another office. A subsequent reviewing office may request of the other office complete copies of all pertinent data, including but not limited to petitions, application forms, preliminaries, staff notes and comments. The applicant may be charged a fee to offset the costs of providing these copies. If plans for some of the buildings are submitted to the department and some are submitted to the municipality or county, and then plans for the building components are submitted for all the buildings, the component submitter shall split the submission and submit the plans to the applicable offices.

7. For multiple-tenant or -owner buildings, including but not limited to shopping centers or office buildings, the plans and specifications for the initial tenant or owner in each space, and the alteration plans and specifications for changing a previously approved space may be submitted either to the municipality or county or to a department office, provided the requirements in s. Comm 61.31 (2) (d) are met.

8. Decisions as to whether plans and specifications for building additions may be submitted to offices other than where the previous approvals occurred shall be handled between the municipality or county, department and submitter on a case-by-case basis. These submittals shall comply with s. Comm 61.31 (2) (e).

9. Departmental review of plans and specifications under this subsection does not satisfy any need for municipal review of these plans and specifications for conformance with local requirements adopted under s. Comm 61.03 (4) that are in addition to or more stringent than chs. Comm 61 to 65, and 75 to 79.

(f) *Plan approval.* 1. If the municipality or county having jurisdiction determines that the plans submitted substantially conform to this code or other ordinances and regulations, an approval shall be issued in accordance with all of the following:

a. The plans shall be stamped "CONDITIONALLY APPROVED", signed and dated by a certified commercial building inspector.

b. One set of the conditionally approved plans, and all calculations and correspondence shall be retained in their original form or as readable microfilm- or electronic-based copies for at least 4 years by the municipality or county, and all other approved plans shall be returned to the submitter or their representative.

c. A notice of conditional approval shall be provided, in writing, to the submitter and the building owner stating all conditions of approval. A copy of the notice shall be provided to the department of health and family services for health care facilities, and to the department of corrections for jails and places of detention.

2. All non-code-complying and other conditions stated in the conditional approval notice shall be corrected or met before or during construction, and before occupancy of the building.

(g) *Denial of plan approval.* If the municipality or county determines that the plans submitted do not substantially conform to this code or other legal ordinances and regulations, a denial for plan approval shall be issued in accordance with all of the following:

1. The plans shall be stamped "NOT APPROVED," signed and dated by a certified commercial building inspector.

2. One set of the not-approved plans shall be retained by the municipality or county and all other plans shall be returned to the submitter or their representative.

3. A notice of the not-approved plans shall be provided in writing, to the submitter and the building owner stating the reasons for the denial.

(h) *Liability.* A conditional approval of a plan by a municipality or county may not be construed as an assumption of any responsibility on the part of the municipality, the certified commercial building inspector or the department for the design or construction of the building.

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(6) INSPECTION. Inspections shall be conducted by a municipality or county to ascertain whether or not the construction or installation for buildings and structures conforms to the conditionally approved plans, the notice of conditional approval and this code, in accordance with all of following:

(a) All inspections, for the purpose of administration and enforcement of this code, shall be performed by a certified commercial building inspector.

(b) A written report of each inspection shall be prepared. The report shall include the name of the certified commercial building inspector.

(c) A copy of each inspection report shall be furnished to the owner and plan submitter.

(d) A copy of each inspection report shall be permanently maintained in the municipal files or county files.

(e) The inspection report shall indicate all items of non-compliance noted during the inspection.

(f) If non-complying items are not corrected, orders to correct shall be issued in accordance with local ordinances.

Note: Certified municipalities are authorized to perform the inspections specified in s. Comm 61.41.

(7) FEES. (a) Municipalities and counties having jurisdiction of plan examination and building inspection may set by ordinance the fees for plan examination and building inspection services.

(b) A second class city that is certified to perform the expanded plan examination specified in sub. (5) (b) shall submit to the department the fees specified in s. Comm 2.31 (1) (g).

Note: A list of municipalities and counties providing plan examination and building inspection under this section is available from the Department's Website at www.commerce.wi.gov, through links to Safety and Buildings Division programs.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 04-016: renum. from Comm 61.70 and am. (5) (e) 1. b. Register December 2004 No. 588, eff. 1-1-05; CR 06-120: r. (2) (a) 2. to 4., renum. (2) (a) 5. to 7. to be 2. to 4., Register February 2008 No. 626, eff. 3-1-08; corrections in (2) (c) (intro.) and (d) 1. made under s. 13.92 (4) (b) 7., Stats., Register February 2008 No. 626.

Comm 61.61 Appointed agents. (1) GENERAL. This section establishes the manner under which a city, village, town or county may examine building plans and inspect buildings as an appointed agent for the department relative to s. 101.02 (5) (b), Stats.

(2) CONDITIONS OF PARTICIPATION. (a) Before assuming any of the department's plan examination or building inspection responsibilities that are not listed in s. Comm 61.60 (5), the applicant shall comply with all of the following:

1. Submit a written request to the department, at least 30 days prior to the date upon which the applicant desires to assume agent responsibilities for plan examination or building inspection.

2. Include in the request a description of the desired responsibilities, such as plan examination for buildings that are not within the applicant's jurisdiction, or plan examination for building additions or alterations that are beyond the limits specified in s. Comm 61.60 (5) (c).

3. Include in the request a description of the qualifications the applicant has for assuming the desired responsibilities.

4. Receive from the department a written statement prescribing the responsibilities that are to be assumed.

(b) While appointed, an agent shall comply with all of the following:

1. Apply the corresponding requirements in s. Comm 61.60 (5) (d) to (h) and (6).

2. Submit to the department the fees specified in s. Comm 2.31 (1) (h).

3. Provide a monthly report to the department of all projects completed under this section, in an electronic-based format prescribed by the department.

4. Notify the department, in writing, at least 30 days prior to the date upon which the appointed agent intends to relinquish the responsibilities assumed under this section.

(3) REVOCATION. The department may revoke the appointment of an agent where the plan examiners or inspectors of the agent do not meet the standards specified by the department, or where other requirements of this section are not met.

Note: A list of municipalities and counties providing plan examination and building inspection under this section is available from the Department's Website at www.commerce.wi.gov, through links to Safety and Buildings Division programs.

History: CR 00-179: cr. Register December 2001 No. 552, eff. 7-1-02; CR 04-016: renum. from Comm 61.71 and am. (2) (a) (intro.), 2., and (b) 1. Register December 2004 No. 588, eff. 1-1-05.

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The background of the cover is a high-contrast, black and white aerial photograph of a city at night. The city lights are visible as a dense pattern of small white dots. A prominent, bright, curved line, likely the horizon or a satellite orbit, arcs across the middle of the image. In the lower right, the year "2006" is printed in large, white, sans-serif numerals.

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PREFACE

Introduction

Internationally, code officials recognize the need for a modern, up-to-date energy conservation code addressing the design of energy-efficient building envelopes and installation of energy efficient mechanical, lighting and power systems through requirements emphasizing performance. The *International Energy Conservation Code*[®], in this 2006 edition, is designed to meet these needs through model code regulations that will result in the optimal utilization of fossil fuel and nondepletable resources in all communities, large and small.

This comprehensive energy conservation code establishes minimum regulations for energy efficient buildings using prescriptive and performance-related provisions. It is founded on broad-based principles that make possible the use of new materials and new energy efficient designs. This 2006 edition is fully compatible with all the *International Codes*[®] (I-Codes[®]) published by the International Code Council (ICC)[®], including: the *International Building Code*[®], *ICC Electrical Code*[®], *International Existing Building Code*[®], *International Fire Code*[®], *International Fuel Gas Code*[®], *International Mechanical Code*[®], *ICC Performance Code*[®], *International Plumbing Code*[®], *International Private Sewage Disposal Code*[®], *International Property Maintenance Code*[®], *International Residential Code*[®], *International Wildland-Urban Interface Code*[™] and *International Zoning Code*[®].

The *International Energy Conservation Code* provisions provide many benefits, among which is the model code development process that offers an international forum for energy professionals to discuss performance and prescriptive code requirements. This forum provides an excellent arena to debate proposed revisions. This model code also encourages international consistency in the application of provisions.

Development

The first edition of the *International Energy Conservation Code* (1998) was based on the 1995 edition of the *Model Energy Code* promulgated by the Council of American Building Officials (CABO) and included changes approved through the CABO Code Development Procedures through 1997. CABO assigned all rights and responsibilities to the International Code Council and its three statutory members at that time, including Building Officials and Code Administrators International, Inc. (BOCA), International Conference of Building Officials (ICBO) and Southern Building Code Congress International (SBCCI). This 2006 edition presents the code as originally issued, with changes reflected in the 2003 edition and further changes approved through the ICC Code Development Process through 2005. A new edition such as this is promulgated every three years.

This code is founded on principles intended to establish provisions consistent with the scope of an energy conservation code that adequately conserves energy; provisions that do not unnecessarily increase construction costs; provisions that do not restrict the use of new materials, products or methods of construction; and provisions that do not give preferential treatment to particular types or classes of materials, products or methods of construction.

Adoption

The *International Energy Conservation Code* is available for adoption and use by jurisdictions internationally. Its use within a governmental jurisdiction is intended to be accomplished through adoption by reference in accordance with proceedings establishing the jurisdiction's laws. At the time of adoption, jurisdictions should insert the appropriate information in provisions requiring specific local information, such as the name of the adopting jurisdiction. These locations are shown in bracketed words in small capital letters in the code and in the sample ordinance. The sample adoption ordinance on page v addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

Maintenance

The *International Energy Conservation Code* is kept up to date through the review of proposed changes submitted by code enforcing officials, industry representatives, design professionals and other interested parties. Proposed changes are carefully considered through an open code development process in which all interested and affected parties may participate.

The contents of this work are subject to change both through the Code Development Cycles and the governmental body that enacts the code into law. For more information regarding the code development process, contact the Code and Standard Development Department of the International Code Council.

While the development procedure of the *International Energy Conservation Code* assures the highest degree of care, ICC, its members and those participating in the development of this code do not accept any liability resulting from compliance or noncompliance with the provisions because ICC and its members do not have the power or authority to police or enforce compliance with the contents of this code. Only the governmental body that enacts the code into law has such authority.

Letter Designations in Front of Section Numbers

In each code development cycle, proposed changes to this code are considered at the Code Development Hearing by the International Energy Conservation Code Development Committee, whose action constitutes a recommendation to the voting membership for final action on the proposed change. Proposed changes to a code section whose number begins with a letter in brackets are considered by a different code development committee. For instance, proposed changes to code sections which have the letter [EB] in front (e.g., [EB] 101.2.2.1), are considered by the International Existing Building Code Development Committee at the Code Development Hearing. Where this designation is applicable to the entire content of a main section of the code, the designation appears at the main section number and title and is not repeated at every subsection in that section.

The content of sections in this code which begin with a letter designation is maintained by another code development committee in accordance with the following:

- [B] = International Building Code Development Committee;
- [EB] = International Existing Building Code Development Committee; and
- [M] = International Mechanical Code Development Committee.

Marginal Markings

Solid vertical lines in the margins within the body of the code indicate a technical change from the requirements of the 2003 edition. Deletion indicators in the form of an arrow (→) are provided in the margin where an entire section, paragraph, exception or table has been deleted or an item in a list of items or a table has been deleted.

ORDINANCE

The International Codes are designed and promulgated to be adopted by reference by ordinance. Jurisdictions wishing to adopt the 2006 *International Energy Conservation Code* as an enforceable regulation governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems should ensure that certain factual information is included in the adopting ordinance at the time adoption is being considered by the appropriate governmental body. The following sample adoption ordinance addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

SAMPLE ORDINANCE FOR ADOPTION OF THE *INTERNATIONAL ENERGY CONSERVATION CODE* ORDINANCE NO. _____

An ordinance of the [JURISDICTION] adopting the 2006 edition of the *International Energy Conservation Code*, regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems in the [JURISDICTION]; providing for the issuance of permits and collection of fees therefor; repealing Ordinance No. _____ of the [JURISDICTION] and all other ordinances and parts of the ordinances in conflict therewith.

The [GOVERNING BODY] of the [JURISDICTION] does ordain as follows:

Section 1. That a certain document, three (3) copies of which are on file in the office of the [TITLE OF JURISDICTION'S KEEPER OF RECORDS] of [NAME OF JURISDICTION], being marked and designated as the *International Energy Conservation Code*, 2006 edition, as published by the International Code Council, be and is hereby adopted as the Energy Conservation Code of the [JURISDICTION], in the State of [STATE NAME] for regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems as herein provided; providing for the issuance of permits and collection of fees therefor; and each and all of the regulations, provisions, penalties, conditions and terms of said Energy Conservation Code on file in the office of the [JURISDICTION] are hereby referred to, adopted, and made a part hereof, as if fully set out in this ordinance, with the additions, insertions, deletions and changes, if any, prescribed in Section 2 of this ordinance.

Section 2. The following sections are hereby revised:

Section 101.1. Insert: [NAME OF JURISDICTION].

Section 3. That Ordinance No. _____ of [JURISDICTION] entitled [FILL IN HERE THE COMPLETE TITLE OF THE ORDINANCE OR ORDINANCES IN EFFECT AT THE PRESENT TIME SO THAT THEY WILL BE REPEALED BY DEFINITE MENTION] and all other ordinances or parts of ordinances in conflict herewith are hereby repealed.

Section 4. That if any section, subsection, sentence, clause or phrase of this ordinance is, for any reason, held to be unconstitutional, such decision shall not affect the validity of the remaining portions of this ordinance. The [GOVERNING BODY] hereby declares that it would have passed this ordinance, and each section, subsection, clause or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses and phrases be declared unconstitutional.

Section 5. That nothing in this ordinance or in the Energy Conservation Code hereby adopted shall be construed to affect any suit or proceeding impending in any court, or any rights acquired, or liability incurred, or any cause or causes of action acquired or existing, under any act or ordinance hereby repealed as cited in Section 3 of this ordinance; nor shall any just or legal right or remedy of any character be lost, impaired or affected by this ordinance.

Section 6. That the [JURISDICTION'S KEEPER OF RECORDS] is hereby ordered and directed to cause this ordinance to be published. (An additional provision may be required to direct the number of times the ordinance is to be published and to specify that it is to be in a newspaper in general circulation. Posting may also be required.)

Section 7. That this ordinance and the rules, regulations, provisions, requirements, orders and matters established and adopted hereby shall take effect and be in full force and effect [TIME PERIOD] from and after the date of its final passage and adoption.

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CHAPTER 1

ADMINISTRATION

This chapter has been revised in its entirety; there will be no marginal markings.

SECTION 101

SCOPE AND GENERAL REQUIREMENTS

101.1 Title. This code shall be known as the *International Energy Conservation Code* of [NAME OF JURISDICTION], and shall be cited as such. It is referred to herein as “this code.”

101.2 Scope. This code applies to residential and commercial buildings.

101.3 Intent. This code shall regulate the design and construction of buildings for the effective use of energy. This code is intended to provide flexibility to permit the use of innovative approaches and techniques to achieve the effective use of energy. This code is not intended to abridge safety, health or environmental requirements contained in other applicable codes or ordinances.

101.4 Applicability.

101.4.1 Existing buildings. Except as specified in this chapter, this code shall not be used to require the removal, alteration or abandonment of, nor prevent the continued use and maintenance of, an existing building or building system lawfully in existence at the time of adoption of this code.

101.4.2 Historic buildings. Any building or structure that is listed in the State or National Register of Historic Places; designated as a historic property under local or state designation law or survey; certified as a contributing resource with a National Register listed or locally designated historic district; or with an opinion or certification that the property is eligible to be listed on the National or State Registers of Historic Places either individually or as a contributing building to a historic district by the State Historic Preservation Officer or the Keeper of the National Register of Historic Places, are exempt from this code.

101.4.3 Additions, alterations, renovations or repairs. Additions, alterations, renovations or repairs to an existing building, building system or portion thereof shall conform to the provisions of this code as they relate to new construction without requiring the unaltered portion(s) of the existing building or building system to comply with this code. Additions, alterations, renovations, or repairs shall not create an unsafe or hazardous condition or overload existing building systems.

Exception: The following need not comply provided the energy use of the building is not increased:

1. Storm windows installed over existing fenestration.
2. Glass only replacements in an existing sash and frame.
3. Existing ceiling, wall or floor cavities exposed during construction provided that these cavities are filled with insulation.

4. Construction where the existing roof, wall or floor cavity is not exposed.

101.4.4 Change in occupancy. Buildings undergoing a change in occupancy that would result in an increase in demand for either fossil fuel or electrical energy shall comply with this code.

101.4.5 Mixed occupancy. Where a building includes both residential and commercial occupancies, each occupancy shall be separately considered and meet the applicable provisions of Chapter 4 for residential and Chapter 5 for commercial.

101.5 Compliance. Residential buildings shall meet the provisions of Chapter 4. Commercial buildings shall meet the provisions of Chapter 5.

101.5.1 Compliance materials. The code official shall be permitted to approve specific computer software, worksheets, compliance manuals and other similar materials that meet the intent of this code.

101.5.2 Low energy buildings. The following buildings, or portions thereof, separated from the remainder of the building by building thermal envelope assemblies complying with this code shall be exempt from the building thermal envelope provisions of this code:

1. Those with a peak design rate of energy usage less than $3.4 \text{ Btu/h}\cdot\text{ft}^2$ (10.7 W/m^2) or 1.0 watt/ft^2 (10.7 W/m^2) of floor area for space conditioning purposes.
2. Those that do not contain conditioned space.

SECTION 102

MATERIALS, SYSTEMS AND EQUIPMENT

102.1 Identification. Materials, systems and equipment shall be identified in a manner that will allow a determination of compliance with the applicable provisions of this code.

102.1.1 Building thermal envelope insulation. An *R*-value identification mark shall be applied by the manufacturer to each piece of building thermal envelope insulation 12 inches (305 mm) or greater in width. Alternately, the insulation installers shall provide a certification listing the type, manufacturer and *R*-value of insulation installed in each element of the building thermal envelope. For blown or sprayed insulation (fiberglass and cellulose), the initial installed thickness, settled thickness, settled *R*-value, installed density, coverage area and number of bags installed shall be listed on the certification. For sprayed polyurethane foam (SPF) insulation, the installed thickness of the areas covered and *R*-value of installed thickness shall be listed on the certification. The insulation installer shall sign, date and post the certification in a conspicuous location on the job site.

102.1.1.1 Blown or sprayed roof/ceiling insulation.

The thickness of blown in or sprayed roof/ceiling insulation (fiberglass or cellulose) shall be written in inches (mm) on markers that are installed at least one for every 300 square feet (28 m²) throughout the attic space. The markers shall be affixed to the trusses or joists and marked with the minimum initial installed thickness with numbers a minimum of 1 inch (25 mm) in height. Each marker shall face the attic access opening. Spray polyurethane foam thickness and installed *R*-value shall be listed on certification provided by the insulation installer.

102.1.2 Insulation mark installation. Insulating materials shall be installed such that the manufacturer's *R*-value mark is readily observable upon inspection.

102.1.3 Fenestration product rating. *U*-factors of fenestration products (windows, doors and skylights) shall be determined in accordance with NFRC 100 by an accredited, independent laboratory, and labeled and certified by the manufacturer. Products lacking such a labeled *U*-factor shall be assigned a default *U*-factor from Table 102.1.3(1) or 102.1.3(2). The solar heat gain coefficient (SHGC) of glazed fenestration products (windows, glazed doors and skylights) shall be determined in accordance with NFRC 200 by an accredited, independent laboratory, and labeled and certified by the manufacturer. Products lacking such a labeled SHGC shall be assigned a default SHGC from Table 102.1.3(3).

**TABLE 102.1.3(1)
DEFAULT GLAZED FENESTRATION U-FACTOR**

FRAME TYPE	SINGLE PANE	DOUBLE PANE	SKYLIGHT	
			Single	Double
Metal	1.20	0.80	2.00	1.30
Metal with Thermal Break	1.10	0.65	1.90	1.10
Nonmetal or Metal Clad	0.95	0.55	1.75	1.05
Glazed Block	0.60			

**TABLE 102.1.3(2)
DEFAULT DOOR U-FACTORS**

DOOR TYPE	U-FACTOR
Uninsulated Metal	1.20
Insulated Metal	0.60
Wood	0.50
Insulated, nonmetal edge, max 45% glazing, any glazing double pane	0.35

**TABLE 102.1.3(3)
DEFAULT GLAZED FENESTRATION SHGC**

SINGLE GLAZED		DOUBLE GLAZED		GLAZED BLOCK
Clear	Tinted	Clear	Tinted	
0.8	0.7	0.7	0.6	0.6

102.2 Installation. All materials, systems and equipment shall be installed in accordance with the manufacturer's installation instructions and the *International Building Code*.

102.2.1 Protection of exposed foundation insulation.

Insulation applied to the exterior of basement walls, crawl-space walls and the perimeter of slab-on-grade floors shall have a rigid, opaque and weather-resistant protective covering to prevent the degradation of the insulation's thermal performance. The protective covering shall cover the exposed exterior insulation and extend a minimum of 6 inches (153 mm) below grade.

102.3 Maintenance information. Maintenance instructions shall be furnished for equipment and systems that require preventive maintenance. Required regular maintenance actions shall be clearly stated and incorporated on a readily accessible label. The label shall include the title or publication number for the operation and maintenance manual for that particular model and type of product.

SECTION 103 ALTERNATE MATERIALS—METHOD OF CONSTRUCTION, DESIGN OR INSULATING SYSTEMS

103.1 General. This code is not intended to prevent the use of any material, method of construction, design or insulating system not specifically prescribed herein, provided that such construction, design or insulating system has been approved by the code official as meeting the intent of this code.

103.1.1 Above code programs. The code official or other authority having jurisdiction shall be permitted to deem a national, state or local energy efficiency program to exceed the energy efficiency required by this code. Buildings approved in writing by such an energy efficiency program shall be considered in compliance with this code.

SECTION 104 CONSTRUCTION DOCUMENTS

104.1 General. Construction documents and other supporting data shall be submitted in one or more sets with each application for a permit. The code official is authorized to require necessary construction documents to be prepared by a registered design professional.

Exception: The code official is authorized to waive the requirements for construction documents or other supporting data if the code official determines they are not necessary to confirm compliance with this code.

104.2 Information on construction documents. Construction documents shall be drawn to scale upon suitable material. Electronic media documents are permitted to be submitted when approved by the code official. Construction documents shall be of sufficient clarity to indicate the location, nature and extent of the work proposed, and show in sufficient detail pertinent data and features of the building, systems and equipment as herein governed. Details shall include, but are not limited to, insulation materials and their *R*-values; fenestration *U*-factors and SHGCs; system and equipment efficiencies, types, sizes

and controls; duct sealing, insulation and location; and air sealing details.

SECTION 105 INSPECTIONS

105.1 General. Construction or work for which a permit is required shall be subject to inspection by the code official.

105.2 Required approvals. No work shall be done on any part of the building beyond the point indicated in each successive inspection without first obtaining the written approval of the code official. No construction shall be concealed without being inspected and approved.

105.3 Final inspection. The building shall have a final inspection and not be occupied until approved.

105.4 Reinspection. A building shall be reinspected when determined necessary by the code official.

SECTION 106 VALIDITY

106.1 General. If a portion of this code is held to be illegal or void, such a decision shall not affect the validity of the remainder of this code.

SECTION 107 REFERENCED STANDARDS

107.1 General. The standards, and portions thereof, referred to in this code and listed in Chapter 6 shall be considered part of the requirements of this code to the extent of such reference.

107.2 Conflicting requirements. Where the provisions of this code and the referenced standards conflict, the provisions of this code shall take precedence.

CHAPTER 2

DEFINITIONS

This chapter has been revised in its entirety; there will be no marginal markings.

SECTION 201 GENERAL

201.1 Scope. Unless stated otherwise, the following words and terms in this code shall have the meanings indicated in this chapter.

201.2 Interchangeability. Words used in the present tense include the future; words in the masculine gender include the feminine and neuter; the singular number includes the plural and the plural includes the singular.

201.3 Terms defined in other codes. Terms that are not defined in this code but are defined in the *International Building Code*, *ICC Electrical Code*, *International Fire Code*, *International Fuel Gas Code*, *International Mechanical Code*, *International Plumbing Code*, or the *International Residential Code* shall have the meanings ascribed to them in those codes.

201.4 Terms not defined. Terms not defined by this chapter shall have ordinarily accepted meanings such as the context implies.

SECTION 202 GENERAL DEFINITIONS

ABOVE GRADE WALL. A wall more than 50 percent above grade and enclosing conditioned space. This includes between-floor spandrels, peripheral edges of floors, roof and basement knee walls, dormer walls, gable end walls, walls enclosing a mansard roof, and skylight shafts.

ACCESSIBLE. Admitting close approach as a result of not being guarded by locked doors, elevation or other effective means (see "Readily accessible").

ADDITION. An extension or increase in the conditioned space floor area or height of a building or structure.

ALTERATION. Any construction or renovation to an existing structure other than repair or addition that requires a permit. Also, a change in a mechanical system that involves an extension, addition or change to the arrangement, type or purpose of the original installation that requires a permit.

APPROVED. Approval by the code official as a result of investigation and tests conducted by him or her, or by reason of accepted principles or tests by nationally recognized organizations.

AUTOMATIC. Self-acting, operating by its own mechanism when actuated by some impersonal influence, as, for example, a change in current strength, pressure, temperature or mechanical configuration (see "Manual").

BASEMENT WALL. A wall 50 percent or more below grade and enclosing conditioned space.

BUILDING. Any structure used or intended for supporting or sheltering any use or occupancy.

BUILDING THERMAL ENVELOPE. The basement walls, exterior walls, floor, roof, and any other building element that enclose conditioned space. This boundary also includes the boundary between conditioned space and any exempt or unconditioned space.

CODE OFFICIAL. The officer or other designated authority charged with the administration and enforcement of this code, or a duly authorized representative.

COMMERCIAL BUILDING. For this code, all buildings that are not included in the definition of "Residential buildings."

CONDITIONED FLOOR AREA. The horizontal projection of the floors associated with the conditioned space.

CONDITIONED SPACE. An area or room within a building being heated or cooled, containing uninsulated ducts, or with a fixed opening directly into an adjacent conditioned space.

CRAWL SPACE WALL. The opaque portion of a wall that encloses a crawl space and is partially or totally below grade.

CURTAIN WALL. Fenestration products used to create an external nonload-bearing wall that is designed to separate the exterior and interior environments.

DUCT. A tube or conduit utilized for conveying air. The air passages of self-contained systems are not to be construed as air ducts.

DUCT SYSTEM. A continuous passageway for the transmission of air that, in addition to ducts, includes duct fittings, dampers, plenums, fans and accessory air-handling equipment and appliances.

DWELLING UNIT. A single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking and sanitation.

ECONOMIZER, AIR. A duct and damper arrangement and automatic control system that allows a cooling system to supply outside air to reduce or eliminate the need for mechanical cooling during mild or cold weather.

ECONOMIZER, WATER. A system where the supply air of a cooling system is cooled indirectly with water that is itself cooled by heat or mass transfer to the environment without the use of mechanical cooling.

ENERGY ANALYSIS. A method for estimating the annual energy use of the proposed design and standard reference design based on estimates of energy use.

ENERGY COST. The total estimated annual cost for purchased energy for the building functions regulated by this code, including applicable demand charges.

ENERGY RECOVERY VENTILATION SYSTEM. Systems that employ air-to-air heat exchangers to recover energy

DEFINITIONS

from exhaust air for the purpose of preheating, precooling, humidifying or dehumidifying outdoor ventilation air prior to supplying the air to a space, either directly or as part of an HVAC system.

ENERGY SIMULATION TOOL. An approved software program or calculation-based methodology that projects the annual energy use of a building.

ENTRANCE DOOR. Fenestration products used for ingress, egress and access in nonresidential buildings, including, but not limited to, exterior entrances that utilize latching hardware, automatic closers and contain over 50 percent glass specifically designed to withstand heavy use and possibly abuse.

EXTERIOR WALL. Walls including both above grade walls and basement walls.

FENESTRATION. Skylights, roof windows, vertical windows (fixed or moveable), opaque doors, glazed doors, glazed block, and combination opaque/glazed doors. Fenestration includes products with glass and non-glass glazing materials.

HEAT TRAP. An arrangement of piping and fittings, such as elbows, or a commercially available heat trap that prevents thermosyphoning of hot water during standby periods.

HEATED SLAB. Slab-on-grade construction in which the heating elements, hydronic tubing, or hot air distribution system is in contact with, or placed within or under the slab.

HUMIDISTAT. A regulatory device, actuated by changes in humidity, used for automatic control of relative humidity.

INFILTRATION. The uncontrolled inward air leakage into a building caused by the pressure effects of wind or the effect of differences in the indoor and outdoor air density or both.

INSULATING SHEATHING. An insulating board with a core material having a minimum *R*-value of *R*-2.

LABELED. Devices, equipment, or materials to which have been affixed a label, seal, symbol or other identifying mark of a nationally recognized testing laboratory, inspection agency or other organization concerned with product evaluation that maintains periodic inspection of the production of the above-labeled items that attests to compliance with a specific standard.

LISTED. Equipment, appliances, assemblies or materials included in a list published by an approved testing laboratory, inspection agency or other organization concerned with product evaluation that maintains periodic inspection of production of listed equipment, appliances, assemblies or material, and whose listing states either that the equipment, appliances, assemblies, or material meets nationally recognized standards or has been tested and found suitable for use in a specified manner.

LOW-VOLTAGE LIGHTING. Lighting equipment powered through a transformer such as a cable conductor, a rail conductor and track lighting.

MANUAL. Capable of being operated by personal intervention (see "Automatic").

PROPOSED DESIGN. A description of the proposed building used to estimate annual energy use for determining compliance based on total building performance.

READILY ACCESSIBLE. Capable of being reached quickly for operation, renewal or inspection without requiring those to whom ready access is requisite to climb over or remove obstacles or to resort to portable ladders or access equipment (see "Accessible").

REPAIR. The reconstruction or renewal of any part of an existing building.

RESIDENTIAL BUILDING. For this code, includes R-3 buildings, as well as R-2 and R-4 buildings three stories or less in height above grade.

R-VALUE (THERMAL RESISTANCE). The inverse of the time rate of heat flow through a body from one of its bounding surfaces to the other surface for a unit temperature difference between the two surfaces, under steady state conditions, per unit area ($h \cdot \text{ft}^2 \cdot ^\circ\text{F}/\text{Btu}$) [$(\text{m}^2 \cdot \text{K})/\text{W}$].

ROOF ASSEMBLY. A system designed to provide weather protection and resistance to design loads. The system consists of a roof covering and roof deck or a single component serving as both the roof covering and the roof deck. A roof assembly includes the roof covering, underlayment, roof deck, insulation, vapor retarder and interior finish.

SCREW LAMP HOLDERS. A lamp base that requires a screw-in-type lamp, such as a compact-fluorescent, incandescent, or tungsten-halogen bulb.

SERVICE WATER HEATING. Supply of hot water for purposes other than comfort heating.

SKYLIGHT. Glass or other transparent or translucent glazing material installed at a slope of 15 degrees (0.26 rad) or more from vertical. Glazing material in skylights, including unit skylights, solariums, sunrooms, roofs and sloped walls is included in this definition.

SLEEPING UNIT. A room or space in which people sleep, which can also include permanent provisions for living, eating, and either sanitation or kitchen facilities but not both. Such rooms and spaces that are also part of a dwelling unit are not sleeping units.

SOLAR HEAT GAIN COEFFICIENT (SHGC). The ratio of the solar heat gain entering the space through the fenestration assembly to the incident solar radiation. Solar heat gain includes directly transmitted solar heat and absorbed solar radiation which is then reradiated, conducted or convected into the space.

STANDARD REFERENCE DESIGN. A version of the proposed design that meets the minimum requirements of this code and is used to determine the maximum annual energy use requirement for compliance based on total building performance.

STOREFRONT. A nonresidential system of doors and windows mulled as a composite fenestration structure that has been designed to resist heavy use and possible abuse and provide a high level of resistance to wind load and impact from wind borne debris. Storefront systems include, but are not limited to, exterior fenestration systems that span from the floor level or above to the ceiling of the same story on commercial buildings.

SUNROOM. A one-story structure attached to a dwelling with a glazing area in excess of 40 percent of the gross area of the structure's exterior walls and roof.

THERMAL ISOLATION. Physical and space conditioning separation from conditioned space(s). The conditioned space(s) shall be controlled as separate zones for heating and cooling or conditioned by separate equipment.

THERMOSTAT. An automatic control device used to maintain temperature at a fixed or adjustable set point.

U-FACTOR (THERMAL TRANSMITTANCE). The coefficient of heat transmission (air to air) through a building component or assembly, equal to the time rate of heat flow per unit area and unit temperature difference between the warm side and cold side air films ($\text{Btu/h} \cdot \text{ft}^2 \cdot ^\circ\text{F}$) [$\text{W}/(\text{m}^2 \cdot \text{K})$].

VAPOR RETARDER. A vapor resistant material, membrane or covering such as foil, plastic sheeting, or insulation facing having a permeance rating of 1 perm ($5.7 \times 10^{-11} \text{ kg/Pa} \cdot \text{s} \cdot \text{m}^{-2}$) or less when tested in accordance with the dessicant method using Procedure A of ASTM E 96. Vapor retarders limit the amount of moisture vapor that passes through a material or wall assembly.

VENTILATION. The natural or mechanical process of supplying conditioned or unconditioned air to, or removing such air from, any space.

VENTILATION AIR. That portion of supply air that comes from outside (outdoors) plus any recirculated air that has been treated to maintain the desired quality of air within a designated space.

ZONE. A space or group of spaces within a building with heating or cooling requirements that are sufficiently similar so that desired conditions can be maintained throughout using a single controlling device.

CHAPTER 3

CLIMATE ZONES

This chapter has been revised in its entirety; there will be no marginal markings.

SECTION 301

CLIMATE ZONES

301.1 General. Climate zones from Figure 301.1 or Table 301.1 shall be used in determining the applicable requirements from Chapters 4 and 5. Locations not in Table 301.1 (outside the US) shall be assigned a climate zone based on Section 301.3.

301.2 Warm humid counties. Warm humid counties are listed in Table 301.2.

301.3 International climate zones. The climate zone for any location outside the United States shall be determined by applying Table 301.3(1) and then Table 301.3(2).

301.3.1 Warm humid criteria. “Warm humid” locations shall be defined as locations where either of the following conditions occurs:

1. 67°F (19.4°C) or higher wet-bulb temperature for 3,000 or more hours during the warmest six consecutive months of the year;
2. 73°F (22.8°C) or higher wet-bulb temperature for 1,500 or more hours during the warmest six consecutive months of the year.

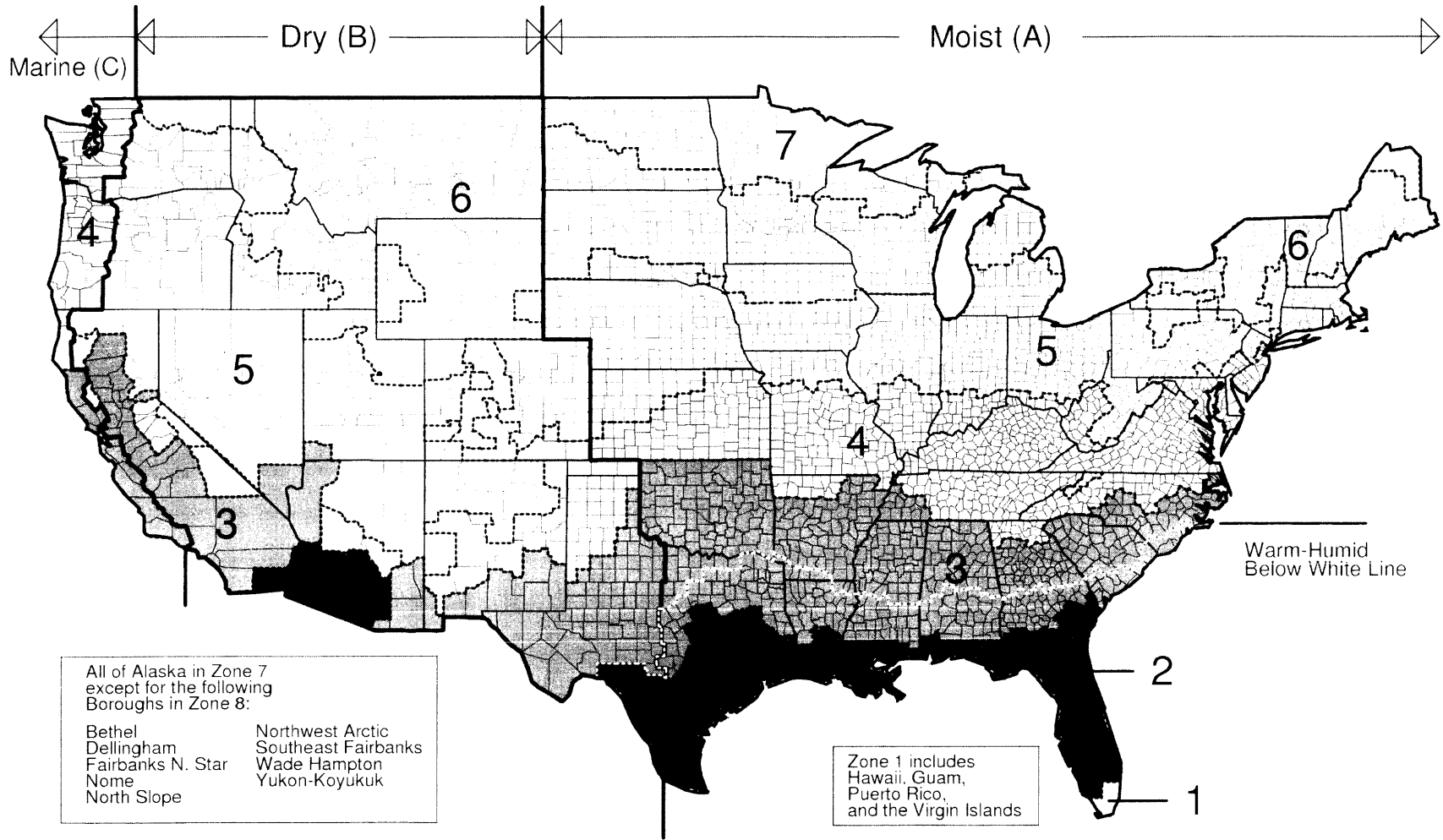


FIGURE 301.1
CLIMATE ZONES

TABLE 301.1
CLIMATE ZONES BY STATE, COUNTY AND TERRITORIES

ALABAMA	Zone 3 Dry except	Dolores	Colquitt	Canyon
Zone 3 except	Zone 2	Eagle	Cook	Cassia
Zone 2	Imperial	Moffat	Decatur	Clearwater
Baldwin	Zone 3 Marine	Ouray	Echols	Elmore
Mobile	Alameda	Rio Blanco	Effingham	Gem
	Marin	Saguache	Evans	Gooding
	Mendocino	San Miguel	Glynn	Idaho
ALASKA	Monterey	Zone 7	Grady	Jerome
Zone 7 except	Napa	Clear Creek	Jeff Davis	Kootenai
Zone 8	San Benito	Grand	Lanier	Latah
Bethel	San Francisco	Gunnison	Liberty	Lewis
Dellingham	San Luis Obispo	Hinsdale	Long	Lincoln
Fairbanks	San Mateo	Jackson	Lowndes	Minidoka
Nome	Santa Barbara	Lake	McIntosh	Nez Perce
North Slope	Santa Clara	Mineral	Miller	Owyhee
North Star	Santa Cruz	Park	Mitchell	Payette
Northwest Arctic	Sonoma	Pitkin	Pierce	Power
Southeast Fairbanks	Ventura	Rio Grande	Seminole	Shoshone
Wade Hampton	Zone 4 Dry	Routt	Tattnall	Twin Falls
Yukon-Koyukuk	Amador	San Juan	Thomas	Washington
	Calaveras	Summit	Toombs	
	El Dorado		Ware	ILLINOIS
ARIZONA	Inyo	CONNECTICUT	Wayne	Zone 5 except
Zone 3 except	Lake	Zone 5	Zone 4	Zone 4
Zone 2	Mariposa	Delaware	Banks	Alexander
La Paz	Trinity	Zone 4	Catoosa	Bond
Maricopa	Tuolumne		Chattooga	Christian
Pima	Zone 4 Marine		Dade	Clay
Pinal	Del Norte	DIST OF	Dawson	Clinton
Yuma	Humboldt	COLUMBIA	Fannin	Crawford
Zone 4	Zone 5	Zone 4	Floyd	Edwards
Gila	Lassen		Franklin	Effingham
Yavapai	Modoc	FLORIDA	Gilmer	Fayette
Zone 5	Nevada	Zone 2 except	Gordon	Franklin
Apache	Plumas	Zone 1	Habersham	Gallatin
Coconino	Sierra	Broward	Hall	Hamilton
Navajo	Siskiyou	Dade	Lumpkin	Hardin
	Zone 6	Monroe	Murray	Jackson
ARKANSAS	Alpine		Pickens	Jasper
Zone 3 except	Mono	GEORGIA	Rabun	Jefferson
Zone 4		Zone 3 except	Stephens	Johnson
Baxter	COLORADO	Zone 2	Towns	Lawrence
Benton	Zone 5 except	Appling	Union	Macoupin
Boone	Zone 4	Atkinson	Walker	Madison
Carroll	Baca	Bacon	White	Marion
Fulton	Las Animas	Baker	Whitfield	Massac
Izard	Otero	Berrien		Monroe
Madison	Zone 6	Brantley	HAWAII	Montgomery
Marion	Alamosa	Brooks	Zone 1 Moist	Perry
Newton	Archuleta	Bryan		Pope
Searcy	Chaffee	Camden	IDAHO	Pulaski
Stone	Conejos	Charlton	Zone 6 except	Randolph
Washington	Costilla	Chatham	Zone 5	Richland
CALIFORNIA	Custer	Clinch	Ada	Saline
			Benewah	Shelby

(continued)

TABLE 301.1—continued
CLIMATE ZONES BY STATE, COUNTY AND TERRITORIES

St Clair	Clay	Sherman	MICHIGAN	Zone 7
Union	Clayton	Smith	Zone 5 except	Aitkin
Wabash	Delaware	Thomas	Zone 6	Becker
Washington	Dickinson	Trego	Alcona	Beltrami
Wayne	Emmet	Wallace	Alger	Carlton
White	Fayette	Wichita	Alpena	Cass
Williamson	Floyd		Antrim	Clay
	Franklin		Arenac	Clearwater
INDIANA	Grundy	KENTUCKY	Benzie	Cook
Zone 5 except	Hancock	Zone 4	Charlevoix	Crow Wing
Zone 4	Hardin	LOUISIANA	Cheboygan	Grant
Brown	Howard	Zone 2 except	Clare	Hubbard
Clark	Humboldt	Zone 3	Crawford	Itasca
Crawford	Ida	Bienville	Delta	Kanabec
Daviess	Kossuth	Bossier	Dickinson	Kittson
Dearborn	Lyon	Caddo	Emmet	Koochiching
Dubois	Mitchell	Caldwell	Gladwin	Lake Of The Woods
Floyd	O'Brien	Catahoula	Grand Traverse	Mahnomen
Gibson	Osceola	Claiborne	Huron	Marshall
Greene	Palo Alto	Concordia	Iosco	Mille Lacs
Harrison	Plymouth	De Soto	Isabella	Norman
Jackson	Pocahontas	East Carroll	Kalkaska	Otter Tail
Jefferson	Sac	Franklin	Lake	Pennington
Jennings	Sioux	Grant	Leelanau	Pine
Knox	Webster	Jackson	Manistee	Polk
Lawrence	Winnebago	La Salle	Marquette	Red Lake
Martin	Winneshiek	Lincoln	Mason	Roseau
Monroe	Worth	Madison	Mecosta	St Louis
Ohio	Wright	Morehouse	Menominee	Wadena
Orange		Natchitoches	Missaukee	Wilkin
Perry	KANSAS	Ouachita	Montmorency	
Pike	Zone 4 except	Red River	Newaygo	MISSISSIPPI
Posey	Zone 5	Richland	Oceana	Zone 3 except
Ripley	Cheyenne	Sabine	Ogemaw	Zone 2
Scott	Cloud	Tensas	Osceola	Hancock
Spencer	Decatur	Union	Oscoda	Harrison
Sullivan	Ellis	Vernon	Otsego	Jackson
Switzerland	Gove	Webster	Presque Isle	Pearl River
Vanderburgh	Graham	West Carroll	Roscommon	Stone
Warrick	Greeley	Winn	Sanilac	
Washington	Hamilton	MAINE	Wexford	MISSOURI
	Jewell	Zone 6 except	Zone 7	Zone 4 except
IOWA	Lane	Zone 7	Baraga	Zone 5
Zone 5 except	Logan	Aroostook	Chippewa	Adair
Zone 6	Mitchell		Gogebic	Andrew
Allamakee	Ness	MARYLAND	Houghton	Atchison
Black Hawk	Norton	Zone 4 except	Iron	Buchanan
Bremer	Osborne	Zone 5	Keweenaw	Caldwell
Buchanan	Phillips	Garrett	Luce	Chariton
Buena Vista	Rawlins		Mackinac	Clark
Butler	Republic	MASSACHUSETTS	Ontonagon	Clinton
Calhoun	Rooks	Zone 5	Schoolcraft	Daviess
Cerro Gordo	Scott		MINNESOTA	De Kalb
Cherokee	Sheridan		Zone 6 except	Gentry
Chickasaw				Grundy

(continued)

TABLE 301.1—continued
CLIMATE ZONES BY STATE, COUNTY AND TERRITORIES

Harrison	Zone 3	Sullivan	Wilkes	Cimarron
Holt	Chaves	Tompkins	Yadkin	Texas
Knox	Dona Ana	Ulster	Zone 5	OREGON
Lewis	Eddy	Warren	Alleghany	Zone 4 Marine except
Linn	Hidalgo	Wyoming	Ashe	Zone 5 Dry
Livingston	Lea	NORTH CAROLINA	Avery	Baker
Macon	Luna	Zone 3 except	Mitchell	Crook
Marion	Otero	Zone 4	Watauga	Deschutes
Mercer	Zone 5	Alamance	Yancey	Gilliam
Nodaway	Catron	Alexander	NORTH DAKOTA	Grant
Pike	Colfax	Bertie	Zone 7 except	Harney
Putnam	Harding	Buncombe	Zone 6	Hood River
Ralls	Los Alamos	Burke	Adams	Jefferson
Schuyler	McKinley	Caldwell	Billings	Klamath
Scotland	Mora	Caswell	Bowman	Lake
Shelby	Rio Arriba	Catawba	Burleigh	Malheur
Sullivan	San Juan	Chatham	Dickey	Morrow
Worth	San Miguel	Cherokee	Dunn	Sherman
MONTANA	Sandoval	Clay	Emmons	Umatilla
Zone 6	Santa Fe	Cleveland	Golden Valley	Union
NEBRASKA	Taos	Davie	Grant	Wallowa
Zone 5	Torrance	Durham	Hettinger	Wasco
NEVADA	NEW YORK	Forsyth	La Moure	Wheeler
Zone 5 except	Zone 5 except	Franklin	Logan	PENNSYLVANIA
Zone 3	Zone 4	Gates	McIntosh	Zone 5 except
Clark	Bronx	Graham	McKenzie	Zone 4
NEW HAMPSHIRE	Kings	Granville	Mercer	Bucks
Zone 6 except	Nassau	Guilford	Morton	Chester
Zone 5	New York	Halifax	Oliver	Delaware
Cheshire	Queens	Harnett	Ransom	Montgomery
Hillsborough	Richmond	Haywood	Richland	Philadelphia
Rockingham	Suffolk	Henderson	Sargent	York
Strafford	Westchester	Hertford	Sioux	Zone 6
NEW JERSEY	Zone 6	Iredell	Slope	Cameron
Zone 4 except	Allegany	Jackson	Stark	Clearfield
Zone 5	Broome	Lee	OHIO	Elk
Bergen	Cattaraugus	Lincoln	Zone 5 except	McKean
Hunterdon	Chenango	Macon	Zone 4	Potter
Mercer	Clinton	Madison	Adams	Susquehanna
Morris	Delaware	McDowell	Brown	Tioga
Passaic	Essex	Nash	Clermont	Wayne
Somerset	Franklin	Northampton	Gallia	RHODE ISLAND
Sussex	Fulton	Orange	Hamilton	Zone 5
Warren	Hamilton	Person	Lawrence	SOUTH CAROLINA
NEW MEXICO	Herkimer	Polk	Pike	Zone 3
Zone 4 except	Jefferson	Rockingham	Scioto	SOUTH DAKOTA
	Lewis	Rutherford	Washington	Zone 6 except
	Madison	Stokes		Zone 5
	Montgomery	Surry		Bennett
	Oneida	Swain		
	Otsego	Transylvania		
	Schoharie	Vance		
	Schuyler	Wake		
	St Lawrence	Warren		
	Steuben			

(continued)

TABLE 301.1—continued
CLIMATE ZONES BY STATE, COUNTY AND TERRITORIES

Bon Homme	Coke	Terrell	Red River	Carbon
Charles Mix	Coleman	Terry	Rockwall	Daggett
Clay	Collingsworth	Throckmorton	Rusk	Duchesne
Douglas	Concho	Tom Green	Sabine	Morgan
Gregory	Cottle	Upton	San Augustine	Rich
Hutchinson	Crane	Ward	San Saba	Summit
Jackson	Crockett	Wheeler	Shelby	Uintah
Mellette	Crosby	Wilbarger	Smith	Wasatch
Todd	Culberson	Winkler	Somervell	
Tripp	Dawson	Zone 3 Moist	Stephens	VERMONT
Union	Dickens	Archer	Tarrant	Zone 6
Yankton	Ector	Blanco	Titus	
	El Paso	Bowie	Upshur	VIRGINIA
TENNESSEE	Fisher	Brown	Van Zandt	Zone 4
Zone 4 except	Foard	Burnet	Wichita	
Zone 3	Gaines	Camp	Wise	WASHINGTON
Chester	Garza	Cass	Wood	Zone 4 Marine except
Crockett	Glasscock	Clay	Young	Zone 5 Dry
Dyer	Hall	Collin	Zone 4	Adams
Fayette	Hardeman	Comanche	Armstrong	Asotin
Hardeman	Haskell	Cooke	Bailey	Benton
Hardin	Hemphill	Dallas	Briscoe	Chelan
Haywood	Howard	Delta	Carson	Columbia
Henderson	Hudspeth	Denton	Castro	Douglas
Lake	Irion	Eastland	Cochran	Franklin
Lauderdale	Jeff Davis	Ellis	Dallam	Garfield
Madison	Jones	Erath	Deaf Smith	Grant
McNairy	Kent	Fannin	Donley	Kittitas
Shelby	Kerr	Franklin	Floyd	Klickitat
Tipton	Kimble	Gillespie	Gray	Lincoln
TEXAS	King	Grayson	Hale	Skamania
Zone 2 Moist except	Knox	Gregg	Hansford	Spokane
Zone 2 Dry	Loving	Hamilton	Hartley	Walla Walla
Bandera	Lubbock	Harrison	Hockley	Whitman
Dimmit	Lynn	Henderson	Hutchinson	Yakima
Edwards	Martin	Hood	Lamb	Zone 6 Dry
Frio	Mason	Hopkins	Lipscomb	Ferry
Kinney	Mcculloch	Hunt	Moore	Okanogan
La Salle	Menard	Jack	Ochiltree	Pend Oreille
Maverick	Midland	Johnson	Oldham	Stevens
Medina	Mitchell	Kaufman	Parmer	
Real	Motley	Kendall	Potter	
Uvalde	Nolan	Lamar	Randall	WEST VIRGINIA
Val Verde	Pecos	Lampasas	Roberts	Zone 5 except
Webb	Presidio	Llano	Sherman	Zone 4
Zapata	Reagan	Marion	Swisher	Berkeley
Zavala	Reeves	Mills	Yoakum	Boone
Zone 3 Dry	Runnels	Montague		Braxton
Andrews	Schleicher	Morris	UTAH	Cabell
Baylor	Scurry	Nacogdoches	Zone 5 except	Calhoun
Borden	Shackelford	Navarro	Zone 3	Clay
Brewster	Sterling	Palo Pinto	Washington	Gilmer
Callahan	Stonewall	Panola	Zone 6	Jackson
Childress	Sutton	Parker	Box Elder	Jefferson
	Taylor	Rains	Cache	Kanawha

(continued)

TABLE 301.1—continued
CLIMATE ZONES BY STATE, COUNTY AND TERRITORIES

Lincoln	Taylor
Logan	Vilas
Mason	Washburn
McDowell	WYOMING
Mercer	Zone 6 except
Mingo	Zone 5
Monroe	Goshen
Morgan	Platte
Pleasants	Zone 7
Putnam	Lincoln
Ritchie	Sublette
Roane	Teto
Tyler	
Wayne	
Wirt	TERRITORIES
Wood	AMERICAN
Wyoming	SAMOA
WISCONSIN	Zone 1 Moist
Zone 6 except	
Zone 7	GUAM
Ashland	Zone 1 Moist
Bayfield	
Burnett	NORTHERN
Douglas	MARIANAS
Florence	Zone 1 Moist
Forest	
Iron	PUERTO RICO
Langlade	Zone 1 Moist
Lincoln	
Oneida	U.S. VIRGIN
Price	ISLANDS
Sawyer	Zone 1 Moist

TABLE 301.2
WARM HUMID COUNTIES AND TERRITORIES

ALABAMA	Candler	Claiborne	NORTH CAROLINA	Lamar
Autauga	Chattahoochee	Concordia	Brunswick	Lampasas
Baldwin	Clay	De Soto	Carteret	Llano
Barbour	Coffee	Franklin	Columbus	Marion
Bullock	Crisp	Grant	New Hanover	Mills
Butler	Dodge	Jackson	Onslow	Morris
Choctaw	Dooly	La Salle	Pender	Nacogdoches
Clarke	Dougherty	Lincoln		Navarro
Coffee	Early	Madison	SOUTH CAROLINA	Palo Pinto
Conecuh	Emanuel	Natchitoches	Allendale	Panola
Covington	Houston	Ouachita	Bamberg	Parker
Crenshaw	Irwin	Red River	Barnwell	Rains
Dale	Jenkins	Richland	Beaufort	Red River
Dallas	Johnson	Sabine	Berkeley	Rockwall
Elmore	Laurens	Tensas	Charleston	Rusk
Escambia	Lee	Union	Colleton	Sabine
Geneva	Macon	Vernon	Dorchester	San Augustine
Henry	Marion	Webster	Georgetown	San Saba
Houston	Montgomery	Winn	Hampton	Shelby
Lowndes	Peach		Horry	Smith
Macon	Pulaski	MISSISSIPPI	Jasper	Somervell
Marengo	Quitman	All in Zone 2 Plus	TEXAS	Tarrant
Mobile	Randolph	Adams	All in Zone 2 Plus	Titus
Monroe	Schley	Amite	Blanco	Upshur
Montgomery	Screven	Claiborne	Bowie	Van Zandt
Perry	Stewart	Copiah	Brown	Wood
Pike	Sumter	Covington	Burnet	
Russell	Taylor	Forrest	Camp	TERRITORIES
Washington	Telfair	Franklin	Cass	AMERICAN
Wilcox	Terrell	George	Collin	SAMOA
	Tift	Greene	Comanche	All
ARKANSAS	Treutlen	Hinds	Dallas	
Columbia	Turner	Jefferson	Delta	GUAM
Hempstead	Twiggs	Jefferson Davis	Denton	All
Lafayette	Webster	Jones	Ellis	
Little River	Wheeler	Lamar	Erath	NORTHERN
Miller	Wilcox	Lawrence	Franklin	MARIANAS
Sevier	Worth	Lincoln	Gillespie	All
Union		Marion	Gregg	
FLORIDA	HAWAII	Perry	Hamilton	PUERTO RICO
All	All	Pike	Harrison	All
	LOUISIANA	Rankin	Henderson	
GEORGIA	All in Zone 2 Plus	Simpson	Hood	U.S. VIRGIN
All in Zone 2 Plus	Bienville	Smith	Hopkins	ISLANDS
Ben Hill	Bossier	Walthall	Hunt	All
Bleckley	Caddo	Warren	Johnson	
Bulloch	Caldwell	Wayne	Kaufman	
Calhoun	Catahoula	Wilkinson	Kendall	

TABLE 301.3(1)
INTERNATIONAL CLIMATE ZONE DEFINITIONS

MAJOR CLIMATE TYPE DEFINITIONS	
Marine (C) Definition - Locations meeting all four criteria: <ol style="list-style-type: none"> 1. Mean temperature of coldest month between -3°C (27°F) and 18°C (65°F) 2. Warmest month mean < 22°C (72°F) 3. At least four months with mean temperatures over 10°C (50°F) 4. Dry season in summer. The month with the heaviest precipitation in the cold season has at least three times as much precipitation as the month with the least precipitation in the rest of the year. The cold season is October through March in the Northern Hemisphere and April through September in the Southern Hemisphere. 	
Dry (B) Definition - Locations meeting the following criteria: Not Marine and $P_{in} < 0.44 \times (TF - 19.5)$ $[P_{cm} < 2.0 \times (TC + 7) \text{ in SI units}]$ where: P_{in} = Annual precipitation in inches (cm) T = Annual mean temperature in °F (°C)	
Moist (A) Definition - Locations that are not Marine and not Dry.	

For SI: °C = [(°F)-32]/1.8; 1 inch = 2.54 cm.

TABLE 301.3(2)
INTERNATIONAL CLIMATE ZONE DEFINITIONS

ZONE NUMBER	THERMAL CRITERIA	
	IP Units	SI Units
1	9000 < CDD50°F	5000 < CDD10°C
2	6300 < CDD50°F ≤ 9000	3500 < CDD10°C ≤ 5000
3A and 3B	4500 < CDD50°F ≤ 6300 AND HDD65°F ≤ 5400	2500 < CDD10°C ≤ 3500 AND HDD18°C ≤ 3000
4A and 4B	CDD50°F ≤ 4500 AND HDD65°F ≤ 5400	CDD10°C ≤ 2500 AND HDD18°C ≤ 3000
3C	HDD65°F ≤ 3600	HDD18°C ≤ 2000
4C	3600 < HDD65°F ≤ 5400	2000 < HDD18°C ≤ 3000
5	5400 < HDD65°F ≤ 7200	3000 < HDD18°C ≤ 4000
6	7200 < HDD65°F ≤ 9000	4000 < HDD18°C ≤ 5000
7	9000 < HDD65°F ≤ 12600	5000 < HDD18°C ≤ 7000
8	12600 < HDD65°F	7000 < HDD18 °C

For SI: °C = [(°F)-32]/1.8

SECTION 302 DESIGN CONDITIONS

302.1 Interior design conditions. The interior design temperatures used for heating and cooling load calculations shall be a maximum of 72°F (22°C) for heating and minimum of 75°F (24°C) for cooling.

CHAPTER 4

RESIDENTIAL ENERGY EFFICIENCY

This chapter has been revised in its entirety; there will be no marginal markings.

SECTION 401 GENERAL

401.1 Scope. This chapter applies to residential buildings.

401.2 Compliance. Projects shall comply with Sections 401, 402.4, 402.5, 402.6 and 403 (referred to as the mandatory provisions) and either:

1. Sections 402.1 through 402.3 (prescriptive); or
2. Section 404 (performance).

401.3 Certificate. A permanent certificate shall be posted on or in the electrical distribution panel. The certificate shall be completed by the builder or registered design professional. The certificate shall list the predominant *R*-values of insulation installed in or on ceiling/roof, walls, foundation (slab, basement wall, crawlspace wall and/or floor) and ducts outside conditioned spaces; *U*-factors for fenestration; and the solar heat gain coefficient (SHGC) of fenestration. Where there is more than one value for each component, the certificate shall list the value covering the largest area. The certificate shall list the type and efficiency of heating, cooling and service water heating equipment.

SECTION 402 BUILDING THERMAL ENVELOPE

402.1 General. (Prescriptive).

402.1.1 Insulation and fenestration criteria. The building thermal envelope shall meet the requirements of Table 402.1.1 based on the climate zone specified in Chapter 3.

402.1.2 *R*-value computation. Insulation material used in layers, such as framing cavity insulation and insulating sheathing, shall be summed to compute the component *R*-value. The manufacturer's settled *R*-value shall be used for blown insulation. Computed *R*-values shall not include an *R*-value for other building materials or air films.

402.1.3 *U*-factor alternative. An assembly with a *U*-factor equal to or less than that specified in Table 402.1.3 shall be permitted as an alternative to the *R*-value in Table 402.1.1.

Exception: For mass walls not meeting the criterion for insulation location in Section 402.2.3, the *U*-factor shall be permitted to be:

1. *U*-factor of 0.17 in Climate Zone 1.
2. *U*-factor of 0.14 in Climate Zone 2.
3. *U*-factor of 0.12 in Climate Zone 3.

TABLE 402.1.1
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT^a

CLIMATE ZONE	FENESTRATION <i>U</i> -FACTOR	SKYLIGHT ^b <i>U</i> -FACTOR	GLAZED FENESTRATION SHGC	CEILING <i>R</i> -VALUE	WOOD FRAME WALL <i>R</i> -VALUE	MASS WALL <i>R</i> -VALUE	FLOOR <i>R</i> -VALUE	BASEMENT ^c WALL <i>R</i> -VALUE	SLAB ^d <i>R</i> -VALUE & DEPTH	CRAWL SPACE ^e WALL <i>R</i> -VALUE
1	1.20	0.75	0.40	30	13	3	13	0	0	0
2	0.75	0.75	0.40	30	13	4	13	0	0	0
3	0.65	0.65	0.40 ^c	30	13	5	19	0	0	5 / 13
4 except Marine	0.40	0.60	NR	38	13	5	19	10 / 13	10, 2 ft	10 / 13
5 and Marine 4	0.35	0.60	NR	38	19 or 13+5 ^g	13	30 ^f	10 / 13	10, 2 ft	10 / 13
6	0.35	0.60	NR	49	19 or 13+5 ^g	15	30 ^f	10 / 13	10, 4 ft	10 / 13
7 and 8	0.35	0.60	NR	49	21	19	30 ^f	10 / 13	10, 4 ft	10 / 13

For SI: 1 foot = 304.8 mm.

a. *R*-values are minimums. *U*-factors and SHGC are maximums. *R*-19 shall be permitted to be compressed into a 2 × 6 cavity.

b. The fenestration *U*-factor column excludes skylights. The SHGC column applies to all glazed fenestration.

c. The first *R*-value applies to continuous insulation, the second to framing cavity insulation; either insulation meets the requirement.

d. *R*-5 shall be added to the required slab edge *R*-values for heated slabs.

e. There are no SHGC requirements in the Marine zone.

f. Or insulation sufficient to fill the framing cavity, *R*-19 minimum.

g. "13+5" means *R*-13 cavity insulation plus *R*-5 insulated sheathing. If structural sheathing covers 25 percent or less of the exterior, insulating sheathing is not required where structural sheathing is used. If structural sheathing covers more than 25 percent of exterior, structural sheathing shall be supplemented with insulated sheathing of at least *R*-2.

TABLE 402.1.3
EQUIVALENT *U*-FACTORS^a

CLIMATE ZONE	FENESTRATION <i>U</i> -FACTOR	SKYLIGHT <i>U</i> -FACTOR	CEILING <i>U</i> -FACTOR	FRAME WALL <i>U</i> -FACTOR	MASS WALL <i>U</i> -FACTOR	FLOOR <i>U</i> -FACTOR	BASEMENT WALL <i>U</i> -FACTOR	CRAWL SPACE WALL <i>U</i> -FACTOR
1	1.2	0.75	0.035	0.082	0.197	0.064	0.360	0.477
2	0.75	0.75	0.035	0.082	0.165	0.064	0.360	0.477
3	0.65	0.65	0.035	0.082	0.141	0.047	0.360	0.136
4 except Marine	0.40	0.60	0.030	0.082	0.141	0.047	0.059	0.065
5 and Marine 4	0.35	0.60	0.030	0.060	0.082	0.033	0.059	0.065
6	0.35	0.60	0.026	0.060	0.06	0.033	0.059	0.065
7 and 8	0.35	0.60	0.026	0.057	0.057	0.033	0.059	0.065

a. Nonfenestration *U*-factors shall be obtained from measurement, calculation or an approved source.

402.1.4 Total UA alternative. If the total building thermal envelope UA (sum of *U*-factor times assembly area) is less than or equal to the total UA resulting from using the *U*-factors in Table 402.1.3 (multiplied by the same assembly area as in the proposed building), the building shall be considered in compliance with Table 402.1.1. The UA calculation shall be done using a method consistent with the ASHRAE *Handbook of Fundamentals* and shall include the thermal bridging effects of framing materials. The SHGC requirements shall be met in addition to UA compliance.

402.2 Specific insulation requirements. (Prescriptive).

402.2.1 Ceilings with attic spaces. When Section 402.1.1 would require R-38 in the ceiling, R-30 shall be deemed to satisfy the requirement for R-38 wherever the full height of uncompressed R-30 insulation extends over the wall top plate at the eaves. Similarly R-38 shall be deemed to satisfy the requirement for R-49 wherever the full height of uncompressed R-38 insulation extends over the wall top plate at the eaves.

402.2.2 Ceilings without attic spaces. Where Section 402.1.1 would require insulation levels above R-30 and the design of the roof/ceiling assembly does not allow sufficient space for the required insulation, the minimum required insulation for such roof/ceiling assemblies shall be R-30. This reduction of insulation from the requirements of Section 402.1.1 shall be limited to 500 square feet (46 m²) of ceiling area.

402.2.3 Mass walls. Mass walls for the purposes of this Chapter shall be considered walls of concrete block, concrete, insulated concrete form (ICF), masonry cavity, brick (other than brick veneer), earth (adobe, compressed earth block, rammed earth) and solid timber/logs. The provisions of Section 402.1.1 for mass walls shall be applicable when at least 50 percent of the required insulation *R*-value is on the exterior of, or integral to, the wall. Walls that do not meet this criterion for insulation placement shall meet the wood frame wall insulation requirements of Section 402.1.1.

Exception: For walls that do not meet the criterion for insulation placement, the minimum added insulation *R*-value shall be permitted to be:

1. *R*-value of 4 in Climate Zone 1.
2. *R*-value of 6 in Climate Zone 2.
3. *R*-value of 8 in Climate Zone 3.

402.2.4 Steel-frame ceilings, walls and floors. Steel-frame ceilings, walls and floors shall meet the insulation requirements of Table 402.2.4 or shall meet the *U*-factor requirements in Table 402.1.3. The calculation of the *U*-factor for a steel-frame envelope assembly shall use a series-parallel path calculation method.

TABLE 402.2.4
STEEL-FRAME CEILING, WALL AND FLOOR INSULATION
(*R*-VALUE)

WOOD FRAME <i>R</i> -VALUE REQUIREMENT	COLD-FORMED STEEL EQUIVALENT <i>R</i> -VALUE ^a
Steel Truss Ceilings^b	
R-30	R - 38 or R - 30 + 3 or R - 26 + 5
R-38	R - 49 or R - 38 + 3
R-49	R-38+5
Steel Joist Ceilings^b	
R-30	R - 38 in 2×4 or 2×6 or 2×8 R - 49 in any framing
R-38	R - 49 in 2×4 or 2×6 or 2×8 or 2×10
Steel Framed Wall	
R-13	R - 13 + 5 or R - 15 + 4 or R - 21 + 3
R-19	R - 13 + 9 or R - 19 + 8 or R - 25 + 7
R-21	R - 13 + 10 or R - 19 + 9 or R - 25 + 8
Steel Joist Floor	
R-13	R - 19 in 2×6 R - 19 + 6 in 2×8 or 2×10
R-19	R - 19 + 6 in 2×6 R - 19 + 12 in 2×8 or 2×10

a. Cavity insulation *R*-value is listed first, followed by continuous insulation *R*-value.

b. Insulation exceeding the height of the framing shall cover the framing.

402.2.5 Floors. Floor insulation shall be installed to maintain permanent contact with the underside of the subfloor decking.

402.2.6 Basement walls. Walls associated with conditioned basements shall be insulated from the top of the basement wall down to 10 feet (3048 mm) below grade or to the basement floor, whichever is less. Walls associated with unconditioned basements shall meet this requirement unless the floor overhead is insulated in accordance with Sections 402.1.1 and 402.2.5.

402.2.7 Slab-on-grade floors. Slab-on-grade floors with a floor surface less than 12 inches (305 mm) below grade shall be insulated in accordance with Table 402.1.1. The insulation shall extend downward from the top of the slab on the outside or inside of the foundation wall. Insulation located below grade shall be extended the distance provided in Table 402.1.1 by any combination of vertical insulation, insulation extending under the slab or insulation extending out from the building. Insulation extending away from the building shall be protected by pavement or by a minimum of 10 inches (254 mm) of soil. The top edge of the insulation installed between the exterior wall and the edge of the interior slab shall be permitted to be cut at a 45-degree (0.79 rad) angle away from the exterior wall. Slab-edge insulation is not required in jurisdictions designated by the code official as having a very heavy termite infestation.

402.2.8 Crawl space walls. As an alternative to insulating floors over crawl spaces, crawl space walls shall be permitted to be insulated when the crawl space is not vented to the outside. Crawl space wall insulation shall be permanently fastened to the wall and extend downward from the floor to the finished grade level and then vertically and/or horizontally for at least an additional 24 inches (610 mm). Exposed earth in unvented crawl space foundations shall be covered with a continuous vapor retarder. All joints of the vapor retarder shall overlap by 6 inches (153 mm) and be sealed or taped. The edges of the vapor retarder shall extend at least 6 inches (153 mm) up the stem wall and shall be attached to the stem wall.

402.2.9 Masonry veneer. Insulation shall not be required on the horizontal portion of the foundation that supports a masonry veneer.

402.2.10 Thermally isolated sunroom insulation. The minimum ceiling insulation *R*-values shall be *R*-19 in zones 1 through 4 and *R*-24 in zones 5 through 8. The minimum wall *R*-value shall be *R*-13 in all zones. New wall(s) separating a sunroom from conditioned space shall meet the building thermal envelope requirements.

402.3 Fenestration. (Prescriptive).

402.3.1 *U*-factor. An area-weighted average of fenestration products shall be permitted to satisfy the *U*-factor requirements.

402.3.2 Glazed fenestration SHGC. An area-weighted average of fenestration products more than 50 percent glazed shall be permitted to satisfy the SHGC requirements.

402.3.3 Glazed fenestration exemption. Up to 15 square feet (1.4 m²) of glazed fenestration per dwelling unit shall be permitted to be exempt from *U*-factor and SHGC requirements in Section 402.1.1.

402.3.4 Opaque door exemption. One opaque door assembly is exempted from the *U*-factor requirement in Section 402.1.1.

402.3.5 Thermally isolated sunroom *U*-factor. For Zones 4 through 8, the maximum fenestration *U*-factor shall be 0.50 and the maximum skylight *U*-factor shall be 0.75. New windows and doors separating the sunroom from conditioned space shall meet the building thermal envelope requirements.

402.3.6 Replacement fenestration. Where some or all of an existing fenestration unit is replaced with a new fenestration product, including sash and glazing, the replacement fenestration unit shall meet the applicable requirements for *U*-factor and SHGC in Table 402.1.1.

402.4 Air leakage. (Mandatory).

402.4.1 Building thermal envelope. The building thermal envelope shall be durably sealed to limit infiltration. The sealing methods between dissimilar materials shall allow for differential expansion and contraction. The following shall be caulked, gasketed, weatherstripped or otherwise sealed with an air barrier material, suitable film or solid material:

1. All joints, seams and penetrations.
2. Site-built windows, doors and skylights.
3. Openings between window and door assemblies and their respective jambs and framing.
4. Utility penetrations.
5. Dropped ceilings or chases adjacent to the thermal envelope.
6. Knee walls.
7. Walls and ceilings separating a garage from conditioned spaces.
8. Behind tubs and showers on exterior walls.
9. Common walls between dwelling units.
10. Other sources of infiltration.

402.4.2 Fenestration air leakage. Windows, skylights and sliding glass doors shall have an air infiltration rate of no more than 0.3 cfm per square foot (1.5 L/s/m²), and swinging doors no more than 0.5 cfm per square foot (2.6 L/s/m²), when tested according to NFRC 400 or AAMA/WDMA/CSA 101/I.S.2/A440 by an accredited, independent laboratory and listed and labeled by the manufacturer.

Exceptions: Site-built windows, skylights and doors.

402.4.3 Recessed lighting. Recessed luminaires installed in the building thermal envelope shall be sealed to limit air leakage between conditioned and unconditioned spaces by being:

1. IC-rated and labeled with enclosures that are sealed or gasketed to prevent air leakage to the ceiling cavity or unconditioned space; or

2. IC-rated and labeled as meeting ASTM E 283 when tested at 1.57 psi (75 Pa) pressure differential with no more than 2.0 cfm (0.944 L/s) of air movement from the conditioned space to the ceiling cavity; or
3. Located inside an airtight sealed box with clearances of at least 0.5 inch (12.7 mm) from combustible material and 3 inches (76 mm) from insulation.

402.5 Moisture control. (Mandatory). The building design shall not create conditions of accelerated deterioration from moisture condensation. Above-grade frame walls, floors and ceilings not ventilated to allow moisture to escape shall be provided with an approved vapor retarder. The vapor retarder shall be installed on the warm-in-winter side of the thermal insulation.

Exceptions:

1. In construction where moisture or its freezing will not damage the materials.
2. Frame walls, floors and ceilings in jurisdictions in Zones 1, 2, 3, 4A and 4B. (Crawl space floor vapor retarders are not exempted.)
3. Where other approved means to avoid condensation are provided.

402.6 Maximum fenestration U-factor and SHGC. (Mandatory). The area weighted average maximum fenestration U-factor permitted using trade offs from Section 402.1.4 or Section 404 shall be 0.48 in zones 4 and 5 and 0.40 in zones 6 through 8 for vertical fenestration, and 0.75 in zones 4 through 8 for skylights. The area weighted average maximum fenestration SHGC permitted using trade-offs from Section 404 in Zones 1 through 3 shall be 0.50.

SECTION 403 SYSTEMS (Mandatory)

403.1 Controls. At least one thermostat shall be provided for each separate heating and cooling system.

403.1.1 Heat pump supplementary heat. Heat pumps having supplementary electric-resistance heat shall have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load.

403.2 Ducts.

403.2.1 Insulation. Supply and return ducts shall be insulated to a minimum of R-8. Ducts in floor trusses shall be insulated to a minimum of R-6.

Exception: Ducts or portions thereof located completely inside the building thermal envelope.

403.2.2 Sealing. All ducts, air handlers, filter boxes, and building cavities used as ducts shall be sealed. Joints and seams shall comply with Section M1601.3.1 of the *International Residential Code*.

403.2.3 Building cavities. Building framing cavities shall not be used as supply ducts.

403.3 Mechanical system piping insulation. Mechanical system piping capable of carrying fluids above 105°F (41°C) or below 55°F (13°C) shall be insulated to a minimum of R-2.

403.4 Circulating hot water systems. All circulating service hot water piping shall be insulated to at least R-2. Circulating hot water systems shall include an automatic or readily accessible manual switch that can turn off the hot water circulating pump when the system is not in use.

403.5 Mechanical ventilation. Outdoor air intakes and exhausts shall have automatic or gravity dampers that close when the ventilation system is not operating.

403.6 Equipment sizing. Heating and cooling equipment shall be sized in accordance with Section M1401.3 of the *International Residential Code*.

SECTION 404 SIMULATED PERFORMANCE ALTERNATIVE (Performance)

404.1 Scope. This section establishes criteria for compliance using simulated energy performance analysis. Such analysis shall include heating, cooling, and service water heating energy only.

404.2 Mandatory requirements. Compliance with this Section requires that the criteria of Sections 401, 402.4, 402.5, 402.6 and 403 be met.

404.3 Performance-based compliance. Compliance based on simulated energy performance requires that a proposed residence (proposed design) be shown to have an annual energy cost that is less than or equal to the annual energy cost of the standard reference design. Energy prices shall be taken from a source approved by the code official, such as the Department of Energy, Energy Information Administration's *State Energy Price and Expenditure Report*. Code officials shall be permitted to require time-of-use pricing in energy cost calculations.

Exception: Jurisdictions that require site energy (1kWh = 3,413 Btu) rather than energy cost as the metric of comparison.

404.4 Documentation.

404.4.1 Compliance software tools. Documentation verifying that the methods and accuracy of the compliance software tools conform to the provisions of this section shall be provided to the code official.

404.4.2 Compliance report. Compliance software tools shall generate a report that documents that the proposed design has annual energy costs less than or equal to the annual energy costs of the standard reference design. The compliance documentation shall include the following information:

1. Address of the residence;
2. An inspection checklist documenting the building component characteristics of the proposed design as listed in Table 404.5.2(1). The inspection checklist shall show the estimated annual energy cost for both

the standard reference design and the proposed design;

3. Name of individual completing the compliance report; and
4. Name and version of the compliance software tool.

404.4.3 Additional documentation. The code official shall be permitted to require the following documents:

1. Documentation of the building component characteristics of the standard reference design.
2. A certification signed by the builder providing the building component characteristics of the proposed design as given in Table 404.5.2(1).

404.5 Calculation procedure.

404.5.1 General. Except as specified by this section, the standard reference design and proposed design shall be configured and analyzed using identical methods and techniques.

404.5.2 Residence specifications. The standard reference design and proposed design shall be configured and analyzed as specified by Table 404.5.2(1). Table 404.5.2(1) shall include by reference all notes contained in Table 402.1.1.

404.6 Calculation software tools.

404.6.1 Minimum capabilities. Calculation procedures used to comply with this section shall be software tools capable of calculating the annual energy consumption of all building elements that differ between the standard reference design and the proposed design and shall include the following capabilities:

1. Computer generation of the standard reference design using only the input for the proposed design. The calculation procedure shall not allow the user to directly modify the building component characteristics of the standard reference design.
2. Calculation of whole-building (as a single zone) sizing for the heating and cooling equipment in the standard reference design residence in accordance with Section M1401.3 of the *International Residential Code*.
3. Calculations that account for the effects of indoor and outdoor temperatures and part-load ratios on the performance of heating, ventilating and air conditioning equipment based on climate and equipment sizing.
4. Printed code official inspection checklist listing each of the proposed design component characteristics from Table 404.5.2(1) determined by the analysis to provide compliance, along with their respective performance ratings (e.g. *R*-Value, *U*-Factor, SHGC, HSPF, AFUE, SEER, EF, etc.).

404.6.2 Specific approval. Performance analysis tools meeting the applicable sections of 404 shall be permitted to be approved. Tools are permitted to be approved based on meeting a specified threshold for a jurisdiction. The code

official shall be permitted to approve tools for a specified application or limited scope.

404.6.3 Input values. When calculations require input values not specified by Sections 402, 403 and 404, those input values shall be taken from an approved source.

TABLE 404.5.2(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS

BUILDING COMPONENT	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Above-grade walls	Type: mass wall if proposed wall is mass; otherwise wood frame Gross area: same as proposed U-Factor: from Table 402.1.3 Solar absorptance = 0.75 Emittance = 0.90	As proposed As proposed As proposed As proposed As proposed
Basement and crawl-space walls	Type: same as proposed Gross area: same as proposed U-Factor: from Table 402.1.3 with insulation layer on interior side of walls	As proposed As proposed As proposed
Above-grade floors	Type: wood frame Gross area: same as proposed U-Factor: from Table 402.1.3	As proposed As proposed As proposed
Ceilings	Type: wood frame Gross area: same as proposed U-Factor: from Table 402.1.3	As proposed As proposed As proposed
Roofs	Type: composition shingle on wood sheathing Gross area: same as proposed Solar absorptance = 0.75 Emittance = 0.90	As proposed As proposed As proposed As proposed
Attics	Type: vented with aperture = 1 ft ² per 300 ft ² ceiling area	As proposed
Foundations	Type: same as proposed	As proposed
Doors	Area: 40 ft ² Orientation: North U-factor: same as fenestration from Table 402.1.3	As proposed As proposed As proposed
Glazing ^a	Total area ^b = (a) The proposed glazing area; where the proposed glazing area is less than 18% of the conditioned floor area (b) 18% of the conditioned floor area; where the proposed glazing area is 18% or more of the conditioned floor area Orientation: equally distributed to four cardinal compass orientations (N, E, S, & W) U-factor: from Table 402.1.3 SHGC: From Table 402.1.1 except that for climates with no requirement (NR) SHGC = 0.40 shall be used Interior shade fraction: Summer (all hours when cooling is required) = 0.70 Winter (all hours when heating is required) = 0.85 External shading: none	As proposed As proposed As proposed As proposed Same as standard reference design ^c As proposed
Skylights	None	As proposed
Thermally isolated sunrooms	None	As proposed

(continued)

TABLE 404.5.2(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS—continued

BUILDING COMPONENT	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Air exchange rate	Specific Leakage Area (SLA) ^d = 0.00036 assuming no energy recovery	For residences that are not tested, the same as the standard reference design For residences without mechanical ventilation that are tested in accordance with ASHRAE 119, Section 5.1, the measured air exchange rate ^e but not less than 0.35 ACH For residences with mechanical ventilation that are tested in accordance with ASHRAE 119, Section 5.1, the measured air exchange rate ^e combined with the mechanical ventilation rate, ^f which shall not be less than $0.01 \times CFA + 7.5 \times (N_{br}+1)$ where: <i>CFA</i> = conditioned floor area <i>N_{br}</i> = number of bedrooms
Mechanical ventilation	None, except where mechanical ventilation is specified by the proposed design, in which case: Annual vent fan energy use: $\text{kWh/yr} = 0.03942 \times CFA + 29.565 \times (N_{br}+1)$ where: <i>CFA</i> = conditioned floor area <i>N_{br}</i> = number of bedrooms	As proposed
Internal gains	$\text{IGain} = 17,900 + 23.8 \times CFA + 4104 \times N_{br}$ (Btu/day per dwelling unit)	Same as standard reference design
Internal mass	An internal mass for furniture and contents of 8 pounds per square foot of floor area	Same as standard reference design, plus any additional mass specifically designed as a thermal storage element ^g but not integral to the building envelope or structure
Structural mass	For masonry floor slabs, 80% of floor area covered by R-2 carpet and pad, and 20% of floor directly exposed to room air For masonry basement walls, as proposed, but with insulation required by Table 402.1.3 located on the interior side of the walls For other walls, for ceilings, floors, and interior walls, wood frame construction	As proposed As proposed As proposed
Heating systems ^{h,i}	Fuel type: same as proposed design Efficiencies: Electric: air-source heat pump with prevailing federal minimum efficiency Nonelectric furnaces: natural gas furnace with prevailing federal minimum efficiency Nonelectric boilers: natural gas boiler with prevailing federal minimum efficiency Capacity: sized in accordance with Section M1401.3 of the <i>International Residential Code</i>	As proposed As proposed As proposed As proposed
Cooling systems ^{h,j}	Fuel type: Electric Efficiency: in accordance with prevailing federal minimum standards Capacity: sized in accordance with Section M1401.3 of the <i>International Residential Code</i>	As proposed As proposed As proposed

(continued)

TABLE 404.5.2(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS—continued

Service Water Heating ^{h, k}	Fuel type: same as proposed design Efficiency: in accordance with prevailing Federal minimum standards Use: $\text{gal/day} = 30 + 10 \times N_{hr}$ Tank temperature: 120°F	As proposed As proposed Same as standard reference Same as standard reference
Thermal distribution systems	A thermal distribution system efficiency (DSE) of 0.80 shall be applied to both the heating and cooling system efficiencies	Same as standard reference design, except as specified by Table 404.5.2(2)
Thermostat	Type: manual, cooling temperature set point = 78°F; heating temperature set point = 68°F	Same as standard reference design

For SI: 1 square foot = 0.93 m²; 1 British thermal unit = 1055 J; 1 pound per square foot = 4.88 kg/m²; 1 gallon (U.S.) = 3.785 L; °C = (°F-32)/1.8.

- a. Glazing shall be defined as sunlight-transmitting fenestration, including the area of sash, curbing or other framing elements, that enclose conditioned space. Glazing includes the area of sunlight-transmitting fenestration assemblies in walls bounding conditioned basements. For doors where the sunlight-transmitting opening is less than 50% of the door area, the glazing area is the sunlight transmitting opening area. For all other doors, the glazing area is the rough frame opening area for the door including the door and the frame.
- b. For residences with conditioned basements, R-2 and R-4 residences and townhouses, the following formula shall be used to determine glazing area:
- $$AF = A_s \times FA \times F$$
- where:
- AF = Total glazing area.
- A_s = Standard reference design total glazing area.
- FA = (Above-grade thermal boundary gross wall area)/(above-grade boundary wall area + 0.5 x below-grade boundary wall area).
- F = (Above-grade thermal boundary wall area)/(above-grade thermal boundary wall area + common wall area) or 0.56, whichever is greater.
- and where:
- Thermal boundary wall is any wall that separates conditioned space from unconditioned space or ambient conditions.
- Above-grade thermal boundary wall is any thermal boundary wall component not in contact with soil.
- Below-grade boundary wall is any thermal boundary wall in soil contact.
- Common wall area is the area of walls shared with an adjoining dwelling unit.
- c. For fenestrations facing within 15 degrees (0.26 rad) of true south that are directly coupled to thermal storage mass, the winter interior shade fraction shall be permitted to be increased to 0.95 in the proposed design.
- d. Where Leakage Area (L) is defined in accordance with Section 5.1 of ASHRAE 119 and where:
- $$SLA = L/CFA$$
- where L and CFA are in the same units.
- e. Tested envelope leakage shall be determined and documented by an independent party approved by the code official. Hourly calculations as specified in the 2001 ASHRAE *Handbook of Fundamentals*, Chapter 26, page 26.21, Equation 40 (Sherman-Grimsrud model) or the equivalent shall be used to determine the energy loads resulting from infiltration.
- f. The combined air exchange rate for infiltration and mechanical ventilation shall be determined in accordance with Equation 43 of 2001 ASHRAE *Handbook of Fundamentals* page 26.24 and the "Whole-house Ventilation" provisions of 2001 ASHRAE *Handbook of Fundamentals*, page 26.19 for intermittent mechanical ventilation.
- g. Thermal Storage Element shall mean a component not part of the floors, walls or ceilings that is part of a passive solar system, and that provides thermal storage such as enclosed water columns, rock beds, or phase-change containers. A thermal storage element must be in the same room as fenestration that faces within 15 degrees (0.26 rad) of true south, or must be connected to such a room with pipes or ducts that allow the element to be actively charged.
- h. For a proposed design with multiple heating, cooling or water heating systems using different fuel types, the applicable standard reference design system capacities and fuel types shall be weighted in accordance with their respective loads as calculated by accepted engineering practice for each equipment and fuel type present.
- i. For a proposed design without a proposed heating system, a heating system with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and proposed design. For electric heating systems, the prevailing federal minimum efficiency air-source heat pump shall be used for the standard reference design.
- j. For a proposed design home without a proposed cooling system, an electric air conditioner with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and the proposed design.
- k. For a proposed design with a nonstorage-type water heater, a 40-gallon storage-type water heater with the prevailing federal minimum Energy Factor for the same fuel as the predominant heating fuel type shall be assumed. For the case of a proposed design without a proposed water heater, a 40-gallon storage-type water heater with the prevailing federal minimum efficiency for the same fuel as the predominant heating fuel type shall be assumed for both the proposed design and standard reference design.

TABLE 404.5.2(2)
DEFAULT DISTRIBUTION SYSTEM EFFICIENCIES FOR PROPOSED DESIGNS^a

DISTRIBUTION SYSTEM CONFIGURATION AND CONDITION:	FORCED AIR SYSTEMS	HYDRONIC SYSTEMS^b
Distribution system components located in unconditioned space	0.80	0.95
Distribution systems entirely located in conditioned space ^c	0.88	1.00
Proposed "reduced leakage" with entire air distribution system located in the conditioned space ^d	0.96	—
Proposed "reduced leakage" air distribution system with components located in the unconditioned space	0.88	—
"Ductless" systems ^e	1.00	—

For SI: 1 cubic foot per minute = 0.47 L/s; 1 square foot = 0.093 m²; 1 pound per square inch = 6895 Pa; 1 inch water gauge = 1250 Pa.

- a. Default values given by this table are for untested distribution systems, which must still meet minimum requirements for duct system insulation.
- b. Hydronic Systems shall mean those systems that distribute heating and cooling energy directly to individual spaces using liquids pumped through closed loop piping and that do not depend on ducted, forced air flows to maintain space temperatures.
- c. Entire system in conditioned space shall mean that no component of the distribution system, including the air handler unit, is located outside of the conditioned space.
- d. Proposed "reduced leakage" shall mean leakage to outdoors not greater than 3 cfm per 100 ft² of conditioned floor area and total leakage not greater than 9 cfm per 100 ft² of conditioned floor area at a pressure differential of 0.02 inches w.g. (25 Pa) across the entire system, including the manufacturer's air handler enclosure. Total leakage of not greater than 3 cfm per 100 ft² of conditioned floor area at a pressure difference of 0.02 inches w.g. (25 Pa) across the entire system, including the manufacturer's air handler enclosure, shall be deemed to meet this requirement without measurement of leakage to outdoors. This performance shall be specified as required in the construction documents and confirmed through field-testing of installed systems as documented by an approved independent party.
- e. Ductless systems may have forced airflow across a coil but shall not have any ducted airflows external to the manufacturer's air handler enclosure.

CHAPTER 5

COMMERCIAL ENERGY EFFICIENCY

This chapter has been reformatted; some deletions are not marked.

SECTION 501 GENERAL

501.1 Scope. The requirements contained in this chapter are applicable to commercial buildings, or portions of commercial buildings. These commercial buildings shall meet either the requirements of ASHRAE/IESNA Standard 90.1, *Energy Standard for Buildings Except for Low-Rise Residential Buildings*, or the requirements contained in this chapter.

501.2 Application. The requirements in Sections 502 (Building envelope), 503 (Building mechanical systems), 504 (Service water heating) and 505 (Lighting) shall each be satisfied on an individual basis. Where one or more of these sections is not satisfied, compliance for that section(s) shall be demonstrated in accordance with the applicable provisions of ASHRAE/IESNA 90.1.

Exception: Buildings conforming to Section 506, provided Sections 502.4, 502.5, 503.2, 504, 505.2, 505.3, 505.4, 505.6 and 505.7 are each satisfied.

SECTION 502 BUILDING ENVELOPE REQUIREMENTS

502.1 General. (Prescriptive).

502.1.1 Insulation and fenestration criteria. The building thermal envelope shall meet the requirements of Tables 502.2(1) and 502.3 based on the climate zone specified in Chapter 3. Buildings with a vertical fenestration area or skylight area that exceeds that allowed in Table 502.3 shall comply with the building envelope provisions of ASHRAE/IESNA 90.1.

502.2 Specific insulation requirements (Prescriptive). Opaque assemblies shall comply with Table 502.2(1).

502.2.1 Roof assembly. The minimum thermal resistance (R -value) of the insulating material installed either between the roof framing or continuously on the roof assembly shall be as specified in Table 502.2(1), based on construction materials used in the roof assembly.

Exception: Continuously insulated roof assemblies where the thickness of insulation varies 1 inch (25.4 mm) or less and where the area weighted U -factor is equivalent to the same assembly with the R -value specified in Table 502.2(1).

Insulation installed on a suspended ceiling with removable ceiling tiles shall not be considered part of the minimum thermal resistance of the roof insulation.

502.2.2 Classification of walls. Walls associated with the building envelope shall be classified in accordance with Section 502.2.2.1 or 502.2.2.2.

502.2.2.1 Above-grade walls. Above-grade walls are those walls covered by Section 502.2.3 on the exterior of

the building and completely above grade or walls that are more than 15 percent above grade.

502.2.2.2 Below-grade walls. Below-grade walls covered by Section 502.2.4 are basement or first-story walls associated with the exterior of the building that are at least 85 percent below grade.

502.2.3 Above-grade walls. The minimum thermal resistance (R -value) of the insulating material(s) installed in the wall cavity between the framing members and continuously on the walls shall be as specified in Table 502.2(1), based on framing type and construction materials used in the wall assembly. The R -value of integral insulation installed in concrete masonry units (CMU) shall not be used in determining compliance with Table 502.2(1). "Mass walls" shall include walls weighing at least (1) 35 pounds per square foot (170 kg/m^2) of wall surface area or (2) 25 pounds per square foot (120 kg/m^2) of wall surface area if the material weight is not more than 120 pounds per cubic foot ($1,900 \text{ kg/m}^3$).

502.2.4 Below-grade walls. The minimum thermal resistance (R -value) of the insulating material installed in, or continuously on, the below-grade walls shall be as specified in Table 502.2(1), and shall extend to a depth of 10 feet (3048 mm) below the outside finish ground level, or to the level of the floor, whichever is less.

502.2.5 Floors over outdoor air or unconditioned space. The minimum thermal resistance (R -value) of the insulating material installed either between the floor framing or continuously on the floor assembly shall be as specified in Table 502.2(1), based on construction materials used in the floor assembly.

"Mass floors" shall include floors weighing at least (1) 35 pounds per square foot (170 kg/m^2) of floor surface area or (2) 25 pounds per square foot (120 kg/m^2) of floor surface area if the material weight is not more than 12 pounds per cubic foot ($1,900 \text{ kg/m}^3$).

502.2.6 Slabs on grade. The minimum thermal resistance (R -value) of the insulation around the perimeter of unheated or heated slab-on-grade floors shall be as specified in Table 502.2(1). The insulation shall be placed on the outside of the foundation or on the inside of a foundation wall. The insulation shall extend downward from the top of the slab for a minimum distance as shown in the table or to the top of the footing, whichever is less, or downward to at least the bottom of the slab and then horizontally to the interior or exterior for the total distance shown in the table.

502.2.7 Opaque doors. Opaque doors (doors having less than 50 percent glass area) shall meet the applicable requirements for doors as specified in Table 502.2(1) and be considered as part of the gross area of above-grade walls that are part of the building envelope.

TABLE 502.2(1)
BUILDING ENVELOPE REQUIREMENTS – OPAQUE ASSEMBLIES

CLIMATE ZONE	1	2	3	4 except Marine	5 and Marine 4	6	7	8
Roofs								
Insulation entirely above deck	R-15 ci	R-15 ci	R-15 ci	R-15 ci	R-20 ci	R-20 ci	R-25 ci	R-25 ci
Metal buildings (with R-5 thermal blocks ^a) ^b	R-19 + R-10	R-19	R-19	R-19	R-19	R-19	R-19 + R-10	R-19 + R-10
Attic and other	R-30	R-30	R-30	R-30	R-30	R-30	R-38	R-38
Walls, Above Grade								
Mass	NR	NR	R-5.7 ci ^{c, e}	R-5.7 ci ^c	R-7.6 ci	R-9.5 ci	R-11.4 ci	R-13.3 ci
Metal building ^b	R-13	R-13	R-13	R-13	R-13 + R-13	R-13 + R-13	R-13 + R-13	R-13 + R-13
Metal framed	R-13	R-13	R-13	R-13	R-13 + R-3.8 ci	R-13 + R-3.8 ci	R-13 + R-7.5 ci	R-13 + R-7.5 ci
Wood framed and other	R-13	R-13	R-13	R-13	R-13	R-13	R-13	R-13 + R-7.5 ci
Walls, Below Grade								
Below grade wall ^d	NR	NR	NR	NR	NR	NR	R-7.5 ci	R-7.5 ci
Floors								
Mass	NR	R-5 ci	R-5 ci	R-10 ci	R-10 ci	R-10 ci	R-15 ci	R-15 ci
Joist/Framing	NR	R-19	R-19	R-19	R-19	R-30	R-30	R-30
Slab-on-Grade Floors								
Unheated slabs	NR	NR	NR	NR	NR	NR	NR	R-10 for 24 in. below
Heated slabs	R-7.5 for 12 in. below	R-7.5 for 12 in. below	R-7.5 for 12 in. below	R-7.5 for 12 in. below	R-7.5 for 24 in. below	R-10 for 36 in. below	R-10 for 36 in. below	R-10 for 48 in. below
Opaque Doors								
Swinging	U – 0.70	U – 0.70	U – 0.70	U – 0.70	U – 0.70	U – 0.70	U – 0.70	U – 0.50
Roll-up or sliding	U – 1.45	U – 1.45	U – 1.45	U – 1.45	U – 1.45	U – 0.50	U – 0.50	U – 0.50

For SI: 1 inch = 25.4 mm.

ci – Continuous Insulation

NR – No Requirement

a. Thermal blocks are a minimum R-5 of rigid insulation, which extends 1-inch beyond the width of the purlin on each side, perpendicular to the purlin.

b. Assembly descriptions can be found in Table 502.2(2).

c. R-5.7 ci may be substituted with concrete block walls complying with ASTM C 90, ungrouted or partially grouted at 32 in. or less on center vertically and 48 in. or less on center horizontally, with ungrouted cores filled with material having a maximum thermal conductivity of 0.44 Btu-in./h·ft²·F.

d. When heated slabs are placed below grade, below grade walls must meet the exterior insulation requirements for perimeter insulation according to the heated slab-on-grade construction.

e. Insulation is not required for mass walls in Climate Zone 3A located below the “Warm-Humid” line, and in Zone 3B.

TABLE 502.2(2)
METAL BUILDING ASSEMBLY DESCRIPTIONS

ROOFS	DESCRIPTION	REFERENCE
R-19 + R-10	<p>Filled cavity roof.</p> <p>Thermal blocks are a minimum, R-5 of rigid insulation, which extends 1 in. beyond the width of the purlin on each side, perpendicular to the purlin.</p> <p>This construction is R-10 insulation batts draped perpendicularly over the purlins, with enough looseness to allow R-19 batt to be laid above it, parallel to the purlins. Thermal blocks are then placed above the purlin/batt, and the roof deck is secured to the purlins. In the metal building industry, this is known as the "sag and bag" insulation system.</p>	ASHRAE/IESNA 90.1 Table A2.3
R-19	<p>Standing seam with single insulation layer.</p> <p>Thermal blocks are a minimum R-5 of rigid insulation, which extends 1 in. beyond the width of the purlin on each side, perpendicular to the purlin.</p> <p>This construction R-19 insulation batts draped perpendicularly over the purlins. Thermal blocks are then placed above the purlin/batt, and the roof deck is secured to the purlins.</p>	ASHRAE/IESNA 90.1 Table A2.3
Walls		
R-13	<p>Single insulation layer</p> <p>The first layer of R-13 insulation batts is installed continuously perpendicular to the girts and is compressed as the metal skin is attached to the girts.</p>	ASHRAE/IESNA 90.1 Table A3.2
R-13 + R-13	<p>Double insulation layer</p> <p>The first layer of R-13 insulation batts is installed continuously perpendicular to the girts, and is compressed as the metal skin is attached to the girts. The second layer of R-13 insulation batts is installed within the framing cavity.</p>	ASHRAE/IESNA 90.1 Table A3.2

For SI: 1 inch = 25.4 mm.

502.3 Fenestration. (Prescriptive). Fenestration shall comply with Table 502.3.

502.3.1 Maximum area. The vertical fenestration area (not including opaque doors) shall not exceed the percentage of the gross wall area specified in Table 502.3. The skylight area shall not exceed the percentage of the gross roof area specified in Table 502.3.

502.3.2 Maximum U-factor and SHGC. For vertical fenestration, the maximum U-factor and solar heat gain coefficient (SHGC) shall be as specified in Table 502.3, based on the window projection factor. For skylights, the maximum U-factor and solar heat gain coefficient (SHGC) shall be as specified in Table 502.3.

The window projection factor shall be determined in accordance with Equation 5-1.

$$PF = A/B \quad \text{(Equation 5-1)}$$

where:

PF = Projection factor (decimal).

A = Distance measured horizontally from the furthest continuous extremity of any overhang, eave, or permanently attached shading device to the vertical surface of the glazing.

B = Distance measured vertically from the bottom of the glazing to the underside of the overhang, eave, or permanently attached shading device.

Where different windows or glass doors have different PF values, they shall each be evaluated separately, or an area-weighted PF value shall be calculated and used for all windows and glass doors.

502.4 Air leakage. (Mandatory).

502.4.1 Window and door assemblies. The air leakage of window and sliding or swinging door assemblies that are part of the building envelope shall be determined in accordance with AAMA/WDMA/CSA 101/1.S.2/A440, or NFRC 400 by an accredited, independent laboratory, and labeled and certified by the manufacturer and shall not exceed the values in Section 402.4.2.

Exception: Site-constructed windows and doors that are weatherstripped or sealed in accordance with Section 502.4.3.

502.4.2 Curtain wall, storefront glazing and commercial entrance doors. Curtain wall, storefront glazing and commercial-glazed swinging entrance doors and revolving doors shall be tested for air leakage at 1.57 pounds per square foot (psf) (75 Pa) in accordance with ASTM E 283.

TABLE 502.3
BUILDING ENVELOPE REQUIREMENTS: FENESTRATION

CLIMATE ZONE	1	2	3	4 except Marine	5 and Marine 4	6	7	8
Vertical Fenestration (40% maximum of above-grade wall)								
U-Factor								
Framing materials other than metal with or without metal reinforcement or cladding								
U-Factor	1.20	0.75	0.65	0.40	0.35	0.35	0.35	0.35
Metal framing with or without thermal break								
Curtain Wall/Storefront U-Factor	1.20	0.70	0.60	0.50	0.45	0.45	0.45	0.45
Entrance Door U-Factor	1.20	1.10	0.90	0.85	0.80	0.80	0.80	0.80
All Other U-Factor ^a	1.20	0.75	0.65	0.55	0.55	0.55	0.50	0.50
SHGC-All Frame Types								
SHGC: PF < 0.25	0.25	0.25	0.25	0.40	0.40	0.40	NR	NR
SHGC: 0.25 ≤ PF < 0.5	0.33	0.33	0.33	NR	NR	NR	NR	NR
SHGC: PF ≥ 0.5	0.40	0.40	0.40	NR	NR	NR	NR	NR
Skylights (3% maximum)								
Glass								
U-Factor	1.60	1.05	0.90	0.60	0.60	0.60	0.60	0.60
SHGC	0.40	0.40	0.40	0.40	0.40	0.40	NR	NR
Plastic								
U-Factor	1.90	1.90	1.30	1.30	1.30	0.90	0.90	0.60
SHGC	0.35	0.35	0.35	0.62	0.62	0.62	NR	NR

NR = No requirement.

PF = Projection factor (See Section 502.3.2)

a. All others includes operable windows, fixed windows and non-entrance doors.

For curtain walls and storefront glazing, the maximum air leakage rate shall be 0.3 cubic foot per minute per square foot (cfm/ft²) (5.5 m³/h × m²) of fenestration area. For commercial glazed swinging entrance doors and revolving doors, the maximum air leakage rate shall be 1.00 cfm/ft² (18.3 m³/h × m²) of door area when tested in accordance with ASTM E 283.

502.4.3 Sealing of the building envelope. Openings and penetrations in the building envelope shall be sealed with caulking materials or closed with gasketing systems compatible with the construction materials and location. Joints and seams shall be sealed in the same manner or taped or covered with a moisture vapor-permeable wrapping material. Sealing materials spanning joints between construction materials shall allow for expansion and contraction of the construction materials.

502.4.4 Outdoor air intakes and exhaust openings. Stair and elevator shaft vents and other outdoor air intakes and exhaust openings integral to the building envelope shall be equipped with not less than a Class I motorized, leakage-rated damper with a maximum leakage rate of 4 cfm per

square foot (6.8 L/s · C m²) at 1.0 inch water gauge (w.g.) (1250 Pa) when tested in accordance with AMCA 500D.

Exception: Gravity (nonmotorized) dampers are permitted to be used in buildings less than three stories in height above grade.

502.4.5 Loading dock weatherseals. Cargo doors and loading dock doors shall be equipped with weatherseals to restrict infiltration when vehicles are parked in the doorway.

502.4.6 Vestibules. A door that separates conditioned space from the exterior shall be protected with an enclosed vestibule, with all doors opening into and out of the vestibule equipped with self-closing devices. Vestibules shall be designed so that in passing through the vestibule it is not necessary for the interior and exterior doors to open at the same time.

Exceptions:

1. Buildings in Climate Zones 1 and 2 as indicated in Figure 301.1 and Table 301.1.
2. Doors not intended to be used as a building entrance door, such as doors to mechanical or electrical equipment rooms.

3. Doors opening directly from a sleeping unit or dwelling unit.
4. Doors that open directly from a space less than 3,000 square feet (298 m²) in area.
5. Revolving doors.
6. Doors used primarily to facilitate vehicular movement or material handling and adjacent personnel doors.

502.4.7 Recessed luminaires. When installed in the building envelope, recessed luminaires shall meet one of the following requirements:

1. Type IC rated, manufactured with no penetrations between the inside of the recessed fixture and ceiling cavity and sealed or gasketed to prevent air leakage into the unconditioned space.
2. Type IC or non-IC rated, installed inside a sealed box constructed from a minimum 0.5-inch-thick (12.7 mm) gypsum wallboard or constructed from a pre-formed polymeric vapor barrier, or other air-tight assembly manufactured for this purpose, while maintaining required clearances of not less than 0.5 inch (12.7 mm) from combustible material and not less than 3 inches (76 mm) from insulation material.
3. Type IC rated, in accordance with ASTM E 283 admitting no more than 2.0 cubic feet per minute (cfm) (0.944 L/s) of air movement from the conditioned space to the ceiling cavity. The luminaire shall be tested at 1.57 psf (75 Pa) pressure difference and shall be labeled.

502.5 Moisture control. (Mandatory). All framed walls, floors and ceilings not ventilated to allow moisture to escape shall be provided with an approved vapor retarder having a permeance rating of 1 perm (5.7×10^{-11} kg/Pa · s · m²) or less, when tested in accordance with the dessicant method using Procedure A of ASTM E 96. The vapor retarder shall be installed on the warm-in-winter side of the insulation.

Exceptions:

1. Buildings located in Climate Zones 1 through 3 as indicated in Figure 301.1 and Table 301.1.
2. In construction where moisture or its freezing will not damage the materials.
3. Where other approved means to avoid condensation in unventilated framed wall, floor, roof and ceiling cavities are provided.

SECTION 503 BUILDING MECHANICAL SYSTEMS

503.1 General. Mechanical systems and equipment serving the building heating, cooling or ventilating needs shall comply with Section 503.2 (referred to as the mandatory provisions) and either:

1. Section 503.3 (Simple systems), or
2. Section 503.4 (Complex systems).

503.2 Provisions applicable to all mechanical systems. (Mandatory).

503.2.1 Calculation of heating and cooling loads. Design loads shall be determined in accordance with the procedures described in the ASHRAE *Fundamentals Handbook*. Heating and cooling loads shall be adjusted to account for load reductions that are achieved when energy recovery systems are utilized in the HVAC system in accordance with the ASHRAE *HVAC Systems and Equipment Handbook*. Alternatively, design loads shall be determined by an approved equivalent computation procedure, using the design parameters specified in Chapter 3.

503.2.2 Equipment and system sizing. Equipment and system sizing. Heating and cooling equipment and systems capacity shall not exceed the loads calculated in accordance with Section 503.2.1. A single piece of equipment providing both heating and cooling must satisfy this provision for one function with the capacity for the other function as small as possible, within available equipment options.

Exceptions:

1. Required standby equipment and systems provided with controls and devices that allow such systems or equipment to operate automatically only when the primary equipment is not operating.
2. Multiple units of the same equipment type with combined capacities exceeding the design load and provided with controls that have the capability to sequence the operation of each unit based on load.

503.2.3 HVAC equipment performance requirements. Equipment shall meet the minimum efficiency requirements of Tables 503.2.3(1), 503.2.3(2), 503.2.3(3), 503.2.3(4), 503.2.3(5), 503.2.3(6), 503.2.3(7), 503.2.3(8), 503.2.3(9), 503.2.3(10) and 503.2.3(11) when tested and rated in accordance with the applicable test procedure. The efficiency shall be verified through certification under an approved certification program or, if no certification program exists, the equipment efficiency ratings shall be supported by data furnished by the manufacturer. Where multiple rating conditions or performance requirements are provided, the equipment shall satisfy all stated requirements. Where components, such as indoor or outdoor coils, from different manufacturers are used, calculations and supporting data shall be furnished by the designer that demonstrate that the combined efficiency of the specified components meets the requirements herein.

Exception: Equipment listed in Table 503.2.3(7) not designed for operation at ARI Standard test conditions of 44°F (7°C) leaving chilled water temperature and 85°F (29°C) entering condenser water temperature shall have a minimum full load COP and IPLV rating as shown in Tables 503.2.3(8) through 503.2.3(10) as applicable. The table values are only applicable over the following full load design ranges:

Leaving Chilled	
Water Temperature:	40 to 48°F (4 to 9°C)
Entering Condenser	
Water Temperature:	75 to 85°F (24 to 29°C)

Condensing Water
Temperature Rise: 5 to 15°F ($\Delta 3$ to $\Delta 8^{\circ}\text{C}$)

Chillers designed to operate outside of these ranges are not covered by this code.

503.2.4 HVAC system controls. Each heating and cooling system shall be provided with thermostatic controls as required in Section 503.2.4.1, 503.2.4.2, 503.2.4.3, 503.2.4.4, 503.4.1, 503.4.2, 503.4.3 or 503.4.4.

503.2.4.1 Thermostatic controls. The supply of heating and cooling energy to each zone shall be controlled by individual thermostatic controls capable of responding to temperature within the zone. Where humidification or dehumidification or both is provided, at least one humidity control device shall be provided for each humidity control system.

Exception: Independent perimeter systems that are designed to offset only building envelope heat losses or gains or both serving one or more perimeter zones also served by an interior system provided:

1. The perimeter system includes at least one thermostatic control zone for each building exposure having exterior walls facing only one orientation (within ± 45 degrees) (0.8 rad) for more than 50 contiguous feet (15.2 m); and

2. The perimeter system heating and cooling supply is controlled by a thermostat(s) located within the zone(s) served by the system.

503.2.4.1.1 Heat pump supplementary heat. Heat pumps having supplementary electric resistance heat shall have controls that, except during defrost, prevent supplementary heat operation when the heat pump can meet the heating load.

503.2.4.2 Set point overlap restriction. Where used to control both heating and cooling, zone thermostatic controls shall provide a temperature range or deadband of at least 5°F (2.8°C) within which the supply of heating and cooling energy to the zone is capable of being shut off or reduced to a minimum.

Exception: Thermostats requiring manual change-over between heating and cooling modes.

503.2.4.3 Off-hour controls. Each zone shall be provided with thermostatic setback controls that are controlled by either an automatic time clock or programmable control system.

Exceptions:

1. Zones that will be operated continuously.
2. Zones with a full HVAC load demand not exceeding 6,800 Btu/h (2 kW) and having a readily accessible manual shutoff switch.

TABLE 503.2.3(1)
UNITARY AIR CONDITIONERS AND CONDENSING UNITS,
ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Air conditioners, Air cooled	< 65,000 Btu/h ^d	Split system	10.0 SEER	ARI 210/240
		Single package	9.7 SEER	
	$\geq 65,000$ Btu/h and < 135,000 Btu/h	Split system and single package	10.3 EER ^c	ARI 340/360
	$\geq 135,000$ Btu/h and < 240,000 Btu/h	Split system and single package	9.7 EER ^c	
	$\geq 240,000$ Btu/h and < 760,000 Btu/h	Split system and single package	9.5 EER ^c 9.7 IPLV ^c	
Air conditioners, Water and evaporatively cooled	$\geq 760,000$ Btu/h	Split system and single package	9.2 EER ^c 9.4 IPLV ^c	ARI 210/240
	< 65,000 Btu/h	Split system and single package	12.1 EER	
	$\geq 65,000$ Btu/h and < 135,000 Btu/h	Split system and single package	11.5 EER ^c	ARI 340/360
	$\geq 135,000$ Btu/h and < 240,000 Btu/h	Split system and single package	11.0 EER ^c	
	$\geq 240,000$ Btu/h	Split system and single package	11.0 EER ^c 10.3 IPLV ^c	

For SI: 1 British thermal unit per hour = 0.2931 W.

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. IPLVs are only applicable to equipment with capacity modulation.

c. Deduct 0.2 from the required EERs and IPLVs for units with a heating section other than electric resistance heat.

d. Single-phase air-cooled air conditioners < 65,000 Btu/h are regulated by the National Appliance Energy Conservation Act of 1987 (NAECA), SEER values are those set by NAECA.

TABLE 503.2.3(2)
UNITARY AND APPLIED HEAT PUMPS, ELECTRICALLY
OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Air cooled (Cooling mode)	< 65,000 Btu/h ^d	Split system	10.0 SEER	ARI 210/240
		Single package	9.7 SEER	
	≥ 65,000 Btu/h and < 135,000 Btu/h	Split system and single package	10.1 EER ^c	ARI 340/360
	≥ 135,000 Btu/h and < 240,000 Btu/h	Split system and single package	9.3 EER ^c	
	≥ 240,000 Btu/h	Split system and single package	9.0 EER ^c 9.2 IPLV ^c	
Water source (Cooling mode)	< 17,000 Btu/h	86°F entering water	11.2 EER	ARI/ASHRAE-13256-1
	≥ 17,000 Btu/h and < 135,000 Btu/h	86°F entering water	12.0 EER	ARI/ASHRAE-13256-1
Groundwater source (Cooling mode)	< 135,000 Btu/h	59°F entering water	16.2 EER	ARI/ASHRAE-13256-1
Ground source (Cooling mode)	< 135,000 Btu/h	77°F entering water	13.4 EER	ARI/ASHRAE 13256-1
Air cooled (Heating mode)	< 65,000 Btu/h ^d (Cooling capacity)	Split system	6.8 HSPF	ARI 210/240
		Single package	6.6 HSPF	
	≥ 65,000 Btu/h and < 135,000 Btu/h (Cooling capacity)	47°F db/43°F wb outdoor air	3.2 COP	ARI 340/360
	≥ 135,000 Btu/h (Cooling capacity)	47°F db/43°F wb outdoor air	3.1 COP	
Water source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	68°F entering water	4.2 COP	ARI/ASHRAE-13256-1
Groundwater source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	50°F entering water	3.6 COP	ARI/ASHRAE-13256-1
Ground Source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	32°F entering water	3.1 COP	ARI/ASHRAE-13256-1

For SI: °C = [(°F) - 32] / 1.8, 1 British thermal unit per hour = 0.2931 W.

db = dry-bulb temperature, °F; wb = wet-bulb temperature, °F

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. IPLVs and Part load rating conditions are only applicable to equipment with capacity modulation.

c. Deduct 0.2 from the required EERs and IPLVs for units with a heating section other than electric resistance heat.

d. Single-phase air-cooled heat pumps < 65,000 Btu/h are regulated by the National Appliance Energy Conservation Act of 1987 (NAECA). SEER and HSPF values are those set by NAECA.

TABLE 503.2.3(3)
PACKAGED TERMINAL AIR CONDITIONERS AND
PACKAGED TERMINAL HEAT PUMPS

EQUIPMENT TYPE	SIZE CATEGORY (INPUT)	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
PTAC (Cooling mode) New construction	All capacities	95°F db outdoor air	12.5 - (0.213 · Cap/1000) EER	ARI 310/380
PTAC (Cooling mode) Replacements ^c	All capacities	95°F db outdoor air	10.9 - (0.213 · Cap/1000) EER	
PTHP (Cooling mode) New construction	All capacities	95°F db outdoor air	12.3 - (0.213 · Cap/1000) EER	
PTHP (Cooling mode) Replacements ^c	All capacities	95°F db outdoor air	10.8 - (0.213 · Cap/1000) EER	
PTHP (Heating mode) New construction	All capacities	—	3.2 - (0.026 · Cap/1000) COP	
PTHP (Heating mode) Replacements ^c	All capacities	—	2.9 - (0.026 · Cap/1000) COP	

For SI: °C - [(°F) - 32] / 1.8, 1 British thermal unit per hour - 0.2931 W

db = dry-bulb temperature, °F

wb = wet-bulb temperature, °F

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. Cap means the rated cooling capacity of the product in Btu/h. If the unit's capacity is less than 7,000 Btu/h, use 7,000 Btu/h in the calculation. If the unit's capacity is greater than 15,000 Btu/h, use 15,000 Btu/h in the calculation.

c. Replacement units must be factory labeled as follows: "MANUFACTURED FOR REPLACEMENT APPLICATIONS ONLY: NOT TO BE INSTALLED IN NEW CONSTRUCTION PROJECTS." Replacement efficiencies apply only to units with existing sleeves less than 16 inches (406 mm) high and less than 42 inches (1067 mm) wide.

TABLE 503.2.3(4)
WARM AIR FURNACES AND COMBINATION WARM AIR FURNACES/AIR-CONDITIONING UNITS,
WARM AIR DUCT FURNACES AND UNIT HEATERS, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY (INPUT)	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^{d, e}	TEST PROCEDURE ^a
Warm air furnaces, gas fired	< 225,000 Btu/h	—	78% AFUE or 80% E_t^c	DOE 10 CFR Part 430 or ANSI Z21.47
	≥ 225,000 Btu/h	Maximum capacity ^c	80% E_t^f	ANSI Z21.47
Warm air furnaces, oil fired	< 225,000 Btu/h	—	78% AFUE or 80% E_t^c	DOE 10 CFR Part 430 or UL 727
	≥ 225,000 Btu/h	Maximum capacity ^b	81% E_t^g	UL 727
Warm air duct furnaces, gas fired	All capacities	Maximum capacity ^b	80% E_c	ANSI Z83.8
Warm air unit heaters, gas fired	All capacities	Maximum capacity ^b	80% E_c	ANSI Z83.8
Warm air unit heaters, oil fired	All capacities	Maximum capacity ^b	80% E_c	UL 731

For SI: 1 British thermal unit per hour = 0.2931 W.

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. Minimum and maximum ratings as provided for and allowed by the unit's controls.

c. Combination units not covered by the National Appliance Energy Conservation Act of 1987 (NAECA) (3-phase power or cooling capacity greater than or equal to 65,000 Btu/h [19 kW]) shall comply with either rating.

d. E_t = Thermal efficiency. See test procedure for detailed discussion.

e. E_c = Combustion efficiency (100% less flue losses). See test procedure for detailed discussion.

f. E_c = Combustion efficiency. Units must also include an IID, have jackets not exceeding 0.75 percent of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.

g. E_t = Thermal efficiency. Units must also include an IID, have jacket losses not exceeding 0.75 percent of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.

TABLE 503.2.3(5)
BOILERS, GAS- AND OIL-FIRED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE ^f	SIZE CATEGORY (INPUT)	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^{c, d, e}	TEST PROCEDURE ^a
Boilers, Gas fired	< 300,000 Btu/h	Hot water	80% AFUE	DOE 10 CFR Part 430
		Steam	75% AFUE	
	≥ 300,000 Btu/h and ≤ 2,500,000 Btu/h	Minimum capacity ^b	75% E_t	H.I. HBS
	>2,500,000 Btu/h ^f	Hot water	80% E_c	
		Steam	80% E_c	
Boilers, Oil fired	< 300,000 Btu/h	—	80% AFUE	DOE 10 CFR Part 430
	≥ 300,000 Btu/h and ≤ 2,500,000 Btu/h	Minimum capacity ^b	78% E_t	H.I. HBS
	> 2,500,000 Btu/h ^f	Hot water	83% E_c	
		Steam	83% E_c	
	Boilers, Oil fired (Residual)	≥ 300,000 Btu/h and ≤ 2,500,000 Btu/h	Minimum capacity ^b	78% E_t
> 2,500,000 Btu/h ^f		Hot water	83% E_c	
		Steam	83% E_c	

For SI: 1 British thermal unit per hour = 0.2931 W.

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. Minimum ratings as provided for and allowed by the unit's controls.

c. E_c = Combustion efficiency (100 percent less flue losses). See reference document for detailed information.

d. E_t = Thermal efficiency. See reference document for detailed information.

e. Alternative test procedures used at the manufacturer's option are ASME PTC-4.1 for units greater than 5,000,000 Btu/h input, or ANSI Z21.13 for units greater than or equal to 300,000 Btu/h and less than or equal to 2,500,000 Btu/h input.

f. These requirements apply to boilers with rated input of 8,000,000 Btu/h or less that are not packaged boilers, and to all packaged boilers. Minimum efficiency requirements for boilers cover all capacities of packaged boilers.

TABLE 503.2.3(6)
CONDENSING UNITS, ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Condensing units, air cooled	≥ 135,000 Btu/h	10.1 EER 11.2 IPLV	ARI 365
Condensing units, water or evaporatively cooled	≥ 135,000 Btu/h	13.1 EER 13.1 IPLV	

For SI: 1 British thermal unit per hour = 0.2931 W.

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. IPLVs are only applicable to equipment with capacity modulation.

TABLE 503.2.3(7)
WATER CHILLING PACKAGES, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Air cooled, with condenser, electrically operated	< 150 tons	2.80 COP 2.80 IPLV	ARI 550/590
	≥ 150 tons	2.50 COP 2.50 IPLV	
Air cooled, without condenser, electrically operated	All capacities	3.10 COP 3.10 IPLV	ARI 550/590
Water cooled, electrically operated, positive displacement (reciprocating)	All capacities	4.20 COP 4.65 IPLV	
Water cooled, electrically operated, positive displacement (rotary screw and scroll)	< 150 tons	4.45 COP 4.50 IPLV	ARI 550/590
	≥ 150 tons and < 300 tons	4.90 COP 4.95 IPLV	
	≥ 300 tons	5.50 COP 5.60 IPLV	
Water cooled, electrically operated, centrifugal	< 150 tons	5.00 COP 5.00 IPLV	ARI 550/590
	≥ 150 tons and < 300 tons	5.55 COP 5.55 IPLV	
	≥ 300 tons	6.10 COP 6.10 IPLV	
Air cooled, absorption single effect	All capacities	0.60 COP	ARI 560
Water cooled, absorption single effect	All capacities	0.70 COP	
Absorption double effect, indirect-fired	All capacities	1.00 COP 1.05 IPLV	
Absorption double effect, direct-fired	All capacities	1.00 COP 1.00 IPLV	

For SI: 1 ton = 3.517 kW. °C = [(°F) - 32]/1.8.

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. The chiller equipment requirements do not apply for chillers used in low temperature applications where the design leaving fluid temperature is less than or equal to 40°F.

TABLE 503.2.3(8)
COPs AND IPLVs FOR NONSTANDARD CENTRIFUGAL CHILLERS < 150 TONS

CENTRIFUGAL CHILLERS < 150 TONS COP _{std} = 5.4								
Leaving chilled water temperature (°F)	Entering condenser water temperature (°F)	Lift ^a (°F)	Condenser flow rate					
			2 gpm/ton	2.5 gpm/ton	3 gpm/ton	4 gpm/ton	5 gpm/ton	6 gpm/ton
			Required COP and IPLV					
46	75	29	6.00	6.27	6.48	6.80	7.03	7.20
45	75	30	5.92	6.17	6.37	6.66	6.87	7.02
44	75	31	5.84	6.08	6.26	6.53	6.71	6.86
43	75	32	5.75	5.99	6.16	6.40	6.58	6.71
42	75	33	5.67	5.90	6.06	6.29	6.45	6.57
41	75	34	5.59	5.82	5.98	6.19	6.34	6.44
46	80	34	5.59	5.82	5.98	6.19	6.34	6.44
40	75	35	5.50	5.74	5.89	6.10	6.23	6.33
45	80	35	5.50	5.74	5.89	6.10	6.23	6.33
44	80	36	5.41	5.66	5.81	6.01	6.13	6.22
43	80	37	5.31	5.57	5.73	5.92	6.04	6.13
42	80	38	5.21	5.48	5.64	5.84	5.95	6.04
41	80	39	5.09	5.39	5.56	5.76	5.87	5.95
46	85	39	5.09	5.39	5.56	5.76	5.87	5.95
40	80	40	4.96	5.29	5.47	5.67	5.79	5.86
45	85	40	4.96	5.29	5.47	5.67	5.79	5.86
44	85	41	4.83	5.18	5.40	5.59	5.71	5.78
43	85	42	4.68	5.07	5.28	5.50	5.62	5.70
42	85	43	4.51	4.94	5.17	5.41	5.54	5.62
41	85	44	4.33	4.80	5.05	5.31	5.45	5.53
40	85	45	4.13	4.65	4.92	5.21	5.35	5.44
Condenser ΔT ^b			14.04	11.23	9.36	7.02	5.62	4.68

For SI: °C = [(°F) - 32] / 1.8, 1 gallon per minute = 3.785 L/min., 1 ton = 12,000 British thermal units per hour = 3.517 kW.

a. Lift = Entering condenser water temperature (°F) - Leaving chilled water temperature (°F).

b. Condenser ΔT = Leaving condenser water temperature (°F) - Entering condenser water temperature (°F).

$$K_{adj} = 6.1507 - 0.30244(X) + 0.0062692(X)^2 - 0.000045595(X)$$

where: X = Condenser ΔT + Lift

$$COP_{adj} = K_{adj} \times COP_{std}$$

TABLE 503.2.3(9)
COPs AND IPLVs FOR NONSTANDARD CENTRIFUGAL CHILLERS ≥ 150 TONS, ≤ 300 TONS

CENTRIFUGAL CHILLERS ≥ 150 Tons, ≤ 300 Tons COP _{std} = 5.55								
Leaving chilled water temperature (°F)	Entering condenser water temperature (°F)	Lift ^a (°F)	Condenser flow rate					
			2 gpm/ton	2.5 gpm/ton	3 gpm/ton	4 gpm/ton	5 gpm/ton	6 gpm/ton
			Required COP and IPLV					
46	75	29	6.17	6.44	6.66	6.99	7.23	7.40
45	75	30	6.08	6.34	6.54	6.84	7.06	7.22
44	75	31	6.00	6.24	6.43	6.71	6.90	7.05
43	75	32	5.91	6.15	6.33	6.58	6.76	6.89
42	75	33	5.83	6.07	6.23	6.47	6.63	6.75
41	75	34	5.74	5.98	6.14	6.36	6.51	6.62
46	80	34	5.74	5.98	6.14	6.36	6.51	6.62
40	75	35	5.65	5.90	6.05	6.26	6.40	6.51
45	80	35	5.65	5.90	6.05	6.26	6.40	6.51
44	80	36	5.56	5.81	5.97	6.17	6.30	6.40
43	80	37	5.46	5.73	5.89	6.08	6.21	6.30
42	80	38	5.35	5.64	5.8	6.00	6.12	6.20
41	80	39	5.23	5.54	5.71	5.91	6.03	6.11
46	85	39	5.23	5.54	5.71	5.91	6.03	6.11
40	80	40	5.10	5.44	5.62	5.83	5.95	6.03
45	85	40	5.10	5.44	5.62	5.83	5.95	6.03
44	85	41	4.96	5.33	5.55	5.74	5.86	5.94
43	85	42	4.81	5.21	5.42	5.66	5.78	5.86
42	85	43	4.63	5.08	5.31	5.56	5.69	5.77
41	85	44	4.45	4.93	5.19	5.46	5.60	5.69
40	85	45	4.24	4.77	5.06	5.35	5.50	5.59
Condenser ΔT ^b			14.04	11.23	9.36	7.02	5.62	4.68

For SI: °C = [(°F) - 32] / 1.8, 1 gallon per minute = 3.785 L/min., 1 ton = 12,000 British thermal units per hour = 3.517 kW.

a. Lift = Entering condenser water temperature (°F) - Leaving chilled water temperature (°F).

b. Condenser ΔT = Leaving condenser water temperature (°F) - Entering condenser water temperature (°F).

$$K_{adj} = 6.1507 - 0.30244(X) + 0.0062692(X)^2 - 0.000045595(X)$$

$$\text{where: } X = \text{Condenser } \Delta T + \text{Lift} \quad \text{COP}_{adj} = K_{adj} \times \text{COP}_{std}$$

TABLE 503.2.3(10)
COPs AND IPLVs FOR NONSTANDARD CENTRIFUGAL CHILLERS > 300 TONS

CENTRIFUGAL CHILLERS > 300 Tons COP _{std} = 6.1								
Leaving chilled water temperature (°F)	Entering condenser water temperature (°F)	Lift ^a (°F)	Condenser flow rate					
			2 gpm/ton	2.5 gpm/ton	3 gpm/ton	4 gpm/ton	5 gpm/ton	6 gpm/ton
			Required COP and IPLV					
46	75	29	6.80	7.11	7.35	7.71	7.97	8.16
45	75	30	6.71	6.99	7.21	7.55	7.78	7.96
44	75	31	6.61	6.89	7.09	7.40	7.61	7.77
43	75	32	6.52	6.79	6.98	7.26	7.45	7.60
42	75	33	6.43	6.69	6.87	7.13	7.31	7.44
41	75	34	6.33	6.60	6.77	7.02	7.18	7.30
46	80	34	6.33	6.60	6.77	7.02	7.18	7.30
40	75	35	6.23	6.50	6.68	6.91	7.06	7.17
45	80	35	6.23	6.50	6.68	6.91	7.06	7.17
44	80	36	6.13	6.41	6.58	6.81	6.95	7.05
43	80	37	6.02	6.31	6.49	6.71	6.85	6.94
42	80	38	5.90	6.21	6.40	6.61	6.75	6.84
41	80	39	5.77	6.11	6.30	6.52	6.65	6.74
46	85	39	5.77	6.11	6.30	6.52	6.65	6.74
40	80	40	5.63	6.00	6.20	6.43	6.56	6.65
45	85	40	5.63	6.00	6.20	6.43	6.56	6.65
44	85	41	5.47	5.87	6.10	6.33	6.47	6.55
43	85	42	5.30	5.74	5.98	6.24	6.37	6.46
42	85	43	5.11	5.60	5.86	6.13	6.28	6.37
41	85	44	4.90	5.44	5.72	6.02	6.17	6.27
40	85	45	4.68	5.26	5.58	5.90	6.07	6.17
Condenser ΔT ^b			14.04	11.23	9.36	7.02	5.62	4.68

For SI: °C = [(°F) - 32] / 1.8, 1 gallon per minute = 3.785 L/min., 1 ton = 12,000 British thermal units per hour = 3.517 kW.

a. Lift = Entering condenser water temperature (°F) - Leaving chilled water temperature (°F).

b. Condenser ΔT = Leaving condenser water temperature (°F) - Entering condenser water temperature (°F).

$$K_{adj} = 6.1507 - 0.030244(X) + 0.0062692(X)^2 - 0.000045595(X)$$

where: X = Condenser ΔT + Lift

$$COP_{adj} = K_{adj} \times COP_{std}$$

TABLE 503.2.3(11)
PERFORMANCE REQUIREMENTS FOR HEAT REJECTION EQUIPMENT

EQUIPMENT TYPE	TOTAL SYSTEM HEAT REJECTION CAPACITY AT RATED CONDITIONS	SUBCATEGORY OR RATING CONDITION	PERFORMANCE REQUIRED ^{a, b}	TEST PROCEDURE ^c
Propeller or axial fan cooling towers	All	95°F entering water 85°F leaving water 75°F wb outdoor air	≥ 38.2 gpm/hp	CTI ATC-105 and CTI STD-201
Centrifugal fan cooling towers	All	95°F entering water 85°F leaving water 75°F wb outdoor air	≥ 20.0 gpm/hp	CTI ATC-105 and CTI STD-201
Air cooled condensers	All	125°F condensing temperature R-22 test fluid 190°F entering gas temperature 15°F subcooling 95°F entering db	≥ 176,000 Btu/h · hp (69 COP)	ARI 460

For SI: °C = [(°F) - 32] / 1.8, 1 British thermal unit per hour = 0.2931 W, 1 gallon per minute per horsepower = 0.846 L/s · kW.

wb = wet-bulb temperature, °F

- a. For purposes of this table, cooling tower performance is defined as the maximum flow rating of the tower units (gpm) divided by the fan nameplate rated motor power units (hp).
- b. For purposes of this table, air-cooled condenser performance is defined as the heat rejected from the refrigerant units (Btu/h) divided by the fan nameplate rated motor power units (hp).
- c. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

503.2.4.3.1 Thermostatic setback capabilities.

Thermostatic setback controls shall have the capability to set back or temporarily operate the system to maintain zone temperatures down to 55°F (13°C) or up to 85°F (29°C).

503.2.4.3.2 Automatic setback and shutdown capabilities.

Automatic time clock or programmable controls shall be capable of starting and stopping the system for seven different daily schedules per week and retaining their programming and time setting during a loss of power for at least 10 hours. Additionally, the controls shall have a manual override that allows temporary operation of the system for up to 2 hours; a manually operated timer capable of being adjusted to operate the system for up to 2 hours; or an occupancy sensor.

503.2.4.4 Shutoff damper controls. Both outdoor air supply and exhaust ducts shall be equipped with motorized dampers that will automatically shut when the systems or spaces served are not in use.

Exceptions:

- Gravity dampers shall be permitted in buildings less than three stories in height.
- Gravity dampers shall be permitted for buildings of any height located in climate zones 1, 2, and 3.
- Gravity dampers shall be permitted for outside air intake or exhaust airflows of 300 cfm (.14 m³/s) or less.

503.2.5 Ventilation. Ventilation, either natural or mechanical, shall be provided in accordance with Chapter 4 of the *International Mechanical Code*. Where mechanical venti-

lation is provided, the system shall provide the capability to reduce the outdoor air supply to the minimum required by Chapter 4 of the *International Mechanical Code*.

503.2.6 Energy recovery ventilation systems. Individual fan systems that have both a design supply air capacity of 5,000 cfm (2.36 m³/s) or greater and a minimum outside air supply of 70 percent or greater of the design supply air quantity shall have an energy recovery system that provides a change in the enthalpy of the outdoor air supply of 50 percent or more of the difference between the outdoor air and return air at design conditions. Provision shall be made to bypass or control the energy recovery system to permit cooling with outdoor air where cooling with outdoor air is required.

Exception: An energy recovery ventilation system shall not be required in any of the following conditions:

- Where energy recovery systems are prohibited by the *International Mechanical Code*.
- Laboratory fume hood systems with a total exhaust rate of 15,000 cfm (7.08 m³/s) or less.
- Laboratory fume hood systems with a total exhaust rate greater than 15,000 cfm (7.08 m³/s) that include at least one of the following features:
 - Variable-air-volume hood exhaust and room supply systems capable of reducing exhaust and makeup air volume to 50 percent or less of design values.
 - Direct makeup (auxiliary) air supply equal to at least 75 percent of the exhaust rate, heated no warmer than 2°F (1.1°C) below room set point, cooled to no cooler than 3°F (1.7°C) above room set point, no humidification

added, and no simultaneous heating and cooling used for dehumidification control.

4. Systems serving spaces that are not cooled and are heated to less than 60°F (15.5°C).
5. Where more than 60 percent of the outdoor heating energy is provided from site-recovered or site solar energy.
6. Heating systems in climates with less than 3600 HDD.
7. Cooling systems in climates with a 1 percent cooling design wet-bulb temperature less than 64°F (17.7°C).
8. Systems requiring dehumidification that employ series-style energy recovery coils wrapped around the cooling coil.

503.2.7 Duct and plenum insulation and sealing. All supply and return air ducts and plenums shall be insulated with a minimum of R-5 insulation when located in unconditioned spaces and with a minimum of R-8 insulation when located outside the building. When located within a building envelope assembly, the duct or plenum shall be separated from the building exterior or unconditioned or exempt spaces by a minimum of R-8 insulation.

Exceptions:

1. When located within equipment.
2. When the design temperature difference between the interior and exterior of the duct or plenum does not exceed 15°F (8°C).

All joints, longitudinal and transverse seams and connections in ductwork, shall be securely fastened and sealed with welds, gaskets, mastics (adhesives), mastic-plus-embedded-fabric systems or tapes. Tapes and mastics used to seal ductwork shall be listed and labeled in accordance with UL 181A and shall be marked "181A-P" for pressure-sensitive tape, "181A-M" for mastic or "181A-H" for heat-sensitive tape. Tapes and mastics used to seal flexible air ducts and flexible air connectors shall comply with UL 181B and shall be marked "181B-FX" for pressure-sensitive tape or "181B-M" for mastic. Duct connections to flanges of air distribution system equipment shall be sealed and mechanically fastened. Mechanical fasteners for use with flexible nonmetallic air ducts shall comply with UL 181B and shall be marked 181B-C. Unlisted duct tape is not permitted as a sealant on any duct.

503.2.7.1 Duct construction. Ductwork shall be constructed and erected in accordance with the *International Mechanical Code*.

503.2.7.1.1 Low-pressure duct systems. All longitudinal and transverse joints, seams and connections of supply and return ducts operating at a static pressure less than or equal to 2 inches w.g. (500 Pa) shall be securely fastened and sealed with welds, gaskets, mastics (adhesives), mastic-plus-embedded-fabric systems or tapes installed in accordance with the manufacturer's installation instructions. Pressure classifications specific to the duct system shall be clearly

indicated on the construction documents in accordance with the *International Mechanical Code*.

Exception: Continuously welded and locking-type longitudinal joints and seams on ducts operating at static pressures less than 2 inches w.g. (500 Pa) pressure classification.

503.2.7.1.2 Medium-pressure duct systems. All ducts and plenums designed to operate at a static pressure greater than 2 inches w.g. (500 Pa) but less than 3 inches w.g. (750 Pa) shall be insulated and sealed in accordance with Section 503.2.7. Pressure classifications specific to the duct system shall be clearly indicated on the construction documents in accordance with the *International Mechanical Code*.

503.2.7.1.3 High-pressure duct systems. Ducts designed to operate at static pressures in excess of 3 inches w.g. (746 Pa) shall be insulated and sealed in accordance with Section 503.2.7. In addition, ducts and plenums shall be leak-tested in accordance with the SMACNA *HVAC Air Duct Leakage Test Manual* with the rate of air leakage (*CL*) less than or equal to 6.0 as determined in accordance with Equation 5-2.

$$CL = F \times P^{0.65} \quad \text{(Equation 5-2)}$$

where:

F = The measured leakage rate in cfm per 100 square feet of duct surface.

P = The static pressure of the test.

Documentation shall be furnished by the designer demonstrating that representative sections totaling at least 25 percent of the duct area have been tested and that all tested sections meet the requirements of this section.

503.2.8 Piping insulation. All piping serving as part of a heating or cooling system shall be thermally insulated in accordance with Table 503.2.8.

Exceptions:

1. Factory-installed piping within HVAC equipment tested and rated in accordance with a test procedure referenced by this code.
2. Piping that conveys fluids that have a design operating temperature range between 55°F (13°C) and 105°F (41°C).
3. Piping that conveys fluids that have not been heated or cooled through the use of fossil fuels or electric power.
4. Runout piping not exceeding 4 feet (1219 mm) in length and 1 inch (25 mm) in diameter between the control valve and HVAC coil.

TABLE 503.2.8
MINIMUM PIPE INSULATION^a
(thickness in inches)

FLUID	NOMINAL PIPE DIAMETER	
	≤ 1.5"	> 1.5"
Steam	1½	3
Hot water	1	2
Chilled water, brine or refrigerant	1	1½

For SI: 1 inch = 25.4 mm, British thermal unit per inch/h · ft² · °F = W per 25 mm/K · m²

a. Based on insulation having a conductivity (k) not exceeding 0.27 Btu per inch/h · ft² · °F.

503.2.9 HVAC system completion. Prior to the issuance of a certificate of occupancy, the design professional shall provide evidence of system completion in accordance with Sections 503.2.9.1 through 503.2.9.3.

503.2.9.1 Air system balancing. Each supply air outlet and zone terminal device shall be equipped with means for air balancing in accordance with the requirements of Chapter 6 of the *International Mechanical Code*. Discharge dampers are prohibited on constant volume fans and variable volume fans with motors 25 hp (18.6 kW) and larger.

503.2.9.2 Hydronic system balancing. Individual hydronic heating and cooling coils shall be equipped with means for balancing and pressure test connections.

503.2.9.3 Manuals. The construction documents shall require that an operating and maintenance manual be provided to the building owner by the mechanical contractor. The manual shall include, at least, the following:

1. Equipment capacity (input and output) and required maintenance actions.
2. Equipment operation and maintenance manuals.
3. HVAC system control maintenance and calibration information, including wiring diagrams, schematics, and control sequence descriptions. Desired or field-determined setpoints shall be permanently recorded on control drawings, at control devices or, for digital control systems, in programming comments.
4. A complete written narrative of how each system is intended to operate.

503.3 Simple HVAC systems and equipment. (Prescriptive). This section applies to buildings served by unitary or packaged HVAC equipment listed in Tables 503.2.3(1) through 503.2.3(5), each serving one zone and controlled by a single thermostat in the zone served. It also applies to two-pipe heating systems serving one or more zones, where no cooling system is installed.

This section does not apply to fan systems serving multiple zones, nonunitary or nonpackaged HVAC equipment and systems or hydronic or steam heating and hydronic cooling equip-

ment and distribution systems that provide cooling or cooling and heating which are covered by Section 503.4.

503.3.1 Economizers. Supply air economizers shall be provided on each cooling system as shown in Table 503.3.1(1).

Economizers shall be capable of providing 100-percent outdoor air, even if additional mechanical cooling is required to meet the cooling load of the building. Systems shall provide a means to relieve excess outdoor air during economizer operation to prevent overpressurizing the building. The relief air outlet shall be located to avoid recirculation into the building. Where a single room or space is supplied by multiple air systems, the aggregate capacity of those systems shall be used in applying this requirement.

Exceptions:

1. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(1) or 503.2.3(2) and meets or exceeds the minimum cooling efficiency requirement (EER) by the percentages shown in Table 503.3.1(2).
2. Systems with air or evaporatively cooled condensers and which serve spaces with open case refrigeration or that require filtration equipment in order to meet the minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.

TABLE 503.3.1(1)
ECONOMIZER REQUIREMENTS

CLIMATE ZONES	ECONOMIZER REQUIREMENT
1A, 1B, 2A, 3A, 4A, 7, 8	No requirement
2B, 3B, 3C, 4B, 4C, 5B, 5C, 6B	Economizers on all cooling systems ≥ 54,000 Btu/h
5A, 6A	Economizers on all cooling systems ≥ 135,000 Btu/h

For SI: 1 British thermal unit per hour = 0.293 W.

TABLE 503.3.1(2)
EQUIPMENT EFFICIENCY PERFORMANCE
EXCEPTION FOR ECONOMIZERS

CLIMATE ZONES	COOLING EQUIPMENT PERFORMANCE IMPROVEMENT (EER OR IPLV)
2B	10% Efficiency Improvement
3B	15% Efficiency Improvement
4B	20% Efficiency Improvement

503.3.2 Hydronic system controls. Hydronic systems of at least 300,000 Btu/h (87,930 W) design output capacity supplying heated and chilled water to comfort conditioning systems shall include controls that meet the requirements of Section 503.4.3.

503.4 Complex HVAC systems and equipment. (Prescriptive). This section applies to buildings served by HVAC equipment and systems not covered in Section 503.3.

503.4.1 Economizers. Supply air economizers shall be provided on each cooling system according to Table 503.3.1(1). Economizers shall be capable of operating at 100 percent outside air, even if additional mechanical cooling is required to meet the cooling load of the building.

Exceptions:

1. Systems utilizing water economizers that are capable of cooling supply air by direct or indirect evaporation or both and providing 100 percent of the expected system cooling load at outside air temperatures of 50°F (10°C) dry bulb/45°F (7°C) wet bulb and below.
2. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(1), 503.2.3(2), or 503.2.3(6) and meets or exceeds the minimum EER by the percentages shown in Table 503.3.1(2)
3. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(7) and meets or exceeds the minimum integrated part load value (IPLV) by the percentages shown in Table 503.3.1(2).

503.4.2 Variable air volume (VAV) fan control. Individual VAV fans with motors of 10 horsepower (7.5 kW) or greater shall be:

1. Driven by a mechanical or electrical variable speed drive; or
2. The fan motor shall have controls or devices that will result in fan motor demand of no more than 30 percent of their design wattage at 50 percent of design air flow when static pressure set point equals one-third of the total design static pressure, based on manufacturer's certified fan data.

For systems with direct digital control of individual zone boxes reporting to the central control panel, the static pressure set point shall be reset based on the zone requiring the most pressure, i.e., the set point is reset lower until one zone damper is nearly wide open.

503.4.3 Hydronic systems controls. The heating of fluids that have been previously mechanically cooled and the cooling of fluids that have been previously mechanically heated shall be limited in accordance with Sections 503.4.3.1 through 503.4.3.3. Hydronic heating systems comprised of multiple-packaged boilers and designed to deliver conditioned water or steam into a common distribution system shall include automatic controls capable of sequencing operation of the boilers. Hydronic heating systems comprised of a single boiler and greater than 500,000 Btu/h input design capacity shall include either a multistaged or modulating burner.

503.4.3.1 Three-pipe system. Hydronic systems that use a common return system for both hot water and chilled water are prohibited.

503.4.3.2 Two-pipe changeover system. Systems that use a common distribution system to supply both heated and chilled water shall be designed to allow a dead band between changeover from one mode to the other of at least 15°F (8.3°C) outside air temperatures; be designed to and provided with controls that will allow operation in one mode for at least 4 hours before changing over to the other mode; and be provided with controls that allow heating and cooling supply temperatures at the change-over point to be no more than 30°F (16.7°C) apart.

503.4.3.3 Hydronic (water loop) heat pump systems. Hydronic heat pumps connected to a common heat pump water loop with central devices for heat rejection and heat addition shall have controls that are capable of providing a heat pump water supply temperature dead band of at least 20°F (11.1°C) between initiation of heat rejection and heat addition by the central devices. For Climate Zones 3 through 8 as indicated in Figure 301.1 and Table 301.1, if a closed-circuit cooling tower is used, either an automatic valve shall be installed to bypass all but a minimal flow of water around the tower, or lower leakage positive closure dampers shall be provided. If an open-circuit tower is used directly in the heat pump loop, an automatic valve shall be installed to bypass all heat pump water flow around the tower. If an open-circuit cooling tower is used in conjunction with a separate heat exchanger to isolate the cooling tower from the heat pump loop, then heat loss shall be controlled by shutting down the circulation pump on the cooling tower loop. Each hydronic heat pump on the hydronic system having a total pump system power exceeding 10 horsepower (hp) (7.5 kW) shall have a two-position valve.

Exception: Where a system loop temperature optimization controller is installed and can determine the most efficient operating temperature based on real time conditions of demand and capacity, dead bands of less than 20°F (11.1°C) shall be permitted.

503.4.3.4 Part load controls. Hydronic systems greater than or equal to 300,000 Btu/h (87,930 W) in design output capacity supplying heated or chilled water to comfort conditioning systems shall include controls that have the capability to:

1. Automatically reset the supply-water temperatures using zone-return water temperature, building-return water temperature, or outside air temperature as an indicator of building heating or cooling demand. The temperature shall be capable of being reset by at least 25 percent of the design supply-to-return water temperature difference; or
2. Reduce system pump flow by at least 50 percent of design flow rate utilizing adjustable speed drive(s) on pump(s), or multiple-staged pumps where at least one-half of the total pump horsepower is capable of being automatically turned off or control valves designed to modulate or step down, and close, as a function of load, or other approved means.

503.4.3.5 Pump isolation. Chilled water plants including more than one chiller shall have the capability to reduce flow automatically through the chiller plant when a chiller is shut down. Chillers piped in series for the purpose of increased temperature differential, shall be considered as one chiller.

Boiler plants including more than one boiler shall have the capability to reduce flow automatically through the boiler plant when a boiler is shut down.

503.4.4 Heat rejection equipment fan speed control. Each fan powered by a motor of 7.5 hp (5.6 kW) or larger shall have the capability to operate that fan at two-thirds of full speed or less, and shall have controls that automatically change the fan speed to control the leaving fluid temperature or condensing temperature/pressure of the heat rejection device.

Exception: Factory-installed heat rejection devices within HVAC equipment tested and rated in accordance with Tables 503.2.3(6) through 503.2.3(11).

503.4.5 Requirements for complex mechanical systems serving multiple zones. Sections 503.4.5.1 through 503.4.5.3 shall apply to complex mechanical systems serving multiple zones. Supply air systems serving multiple zones shall be VAV systems which, during periods of occupancy, are designed and capable of being controlled to reduce primary air supply to each zone to one of the following before reheating, recooling or mixing takes place:

1. Thirty percent of the maximum supply air to each zone.
2. Three hundred cfm (142 L/s) or less where the maximum flow rate is less than 10 percent of the total fan system supply airflow rate.
3. The minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.

Exception: The following define when individual zones or when entire air distribution systems are exempted from the requirement for VAV control:

1. Zones where special pressurization relationships or cross-contamination requirements are such that VAV systems are impractical.
2. Zones or supply air systems where at least 75 percent of the energy for reheating or for providing warm air in mixing systems is provided from a site-recovered or site-solar energy source.
3. Zones where special humidity levels are required to satisfy process needs.
4. Zones with a peak supply air quantity of 300 cfm (142 L/s) or less and where the flow rate is less than 10 percent of the total fan system supply airflow rate.
5. Zones where the volume of air to be reheated, recooled or mixed is no greater than the volume of outside air required to meet the minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.

6. Zones or supply air systems with thermostatic and humidistatic controls capable of operating in sequence the supply of heating and cooling energy to the zone(s) and which are capable of preventing reheating, recooling, mixing or simultaneous supply of air that has been previously cooled, either mechanically or through the use of economizer systems, and air that has been previously mechanically heated.

503.4.5.1 Single duct variable air volume (VAV) systems, terminal devices. Single duct VAV systems shall use terminal devices capable of reducing the supply of primary supply air before reheating or recooling takes place.

503.4.5.2 Dual duct and mixing VAV systems, terminal devices. Systems that have one warm air duct and one cool air duct shall use terminal devices which are capable of reducing the flow from one duct to a minimum before mixing of air from the other duct takes place.

503.4.5.3 Single fan dual duct and mixing VAV systems, economizers. Individual dual duct or mixing heating and cooling systems with a single fan and with total capacities greater than 90,000 Btu/h [(26 375 W) 7.5 tons] shall not be equipped with air economizers.

503.4.6 Heat recovery for service water heating. Condenser heat recovery shall be installed for heating or reheating of service hot water provided the facility operates 24 hours a day, the total installed heat capacity of water-cooled systems exceeds 6,000,000 Btu/hr of heat rejection, and the design service water heating load exceeds 1,000,000 Btu/h.

The required heat recovery system shall have the capacity to provide the smaller of:

1. Sixty percent of the peak heat rejection load at design conditions; or
2. The preheating required to raise the peak service hot water draw to 85°F (29°C).

Exceptions:

1. Facilities that employ condenser heat recovery for space heating or reheat purposes with a heat recovery design exceeding 30 percent of the peak water-cooled condenser load at design conditions.
2. Facilities that provide 60 percent of their service water heating from site solar or site recovered energy or from other sources.

SECTION 504 SERVICE WATER HEATING (Mandatory)

504.1 General. This section covers the minimum efficiency of, and controls for, service water-heating equipment and insulation of service hot water piping.

504.2 Service water-heating equipment performance efficiency. Water-heating equipment and hot water storage tanks shall meet the requirements of Table 504.2. The efficiency shall be verified through data furnished by the manufacturer or through certification under an approved certification program.

504.3 Temperature controls. Service water-heating equipment shall be provided with controls to allow a setpoint of 110°F (43°C) for equipment serving dwelling units and 90°F (32°C) for equipment serving other occupancies. The outlet temperature of lavatories in public facility rest rooms shall be limited to 110°F (43°C).

504.4 Heat traps. Water-heating equipment not supplied with integral heat traps and serving noncirculating systems shall be provided with heat traps on the supply and discharge piping associated with the equipment.

504.5 Pipe insulation. For automatic-circulating hot water systems, piping shall be insulated with 1 inch (25 mm) of insulation having a conductivity not exceeding 0.27 Btu per inch/h \times ft² \times °F (1.53 W per 25 mm/m² \times K). The first 8 feet (2438 mm) of piping in noncirculating systems served by equipment without integral heat traps shall be insulated with 0.5 inch (12.7 mm) of material having a conductivity not exceeding 0.27 Btu per inch/h \times ft² \times °F (1.53 W per 25 mm/m² \times K).

504.6 Hot water system controls. Automatic-circulating hot water system pumps or heat trace shall be arranged to be conveniently turned off automatically or manually when the hot water system is not in operation.

504.7 Pools. Pools shall be provided with energy conserving measures in accordance with Sections 504.7.1 through 504.7.3.

504.7.1 Pool heaters. All pool heaters shall be equipped with a readily accessible on-off switch to allow shutting off the heater without adjusting the thermostat setting. Pool heaters fired by natural gas shall not have continuously burning pilot lights.

504.7.2 Time switches. Time switches that can automatically turn off and on heaters and pumps according to a preset schedule shall be installed on swimming pool heaters and pumps.

Exceptions:

1. Where public health standards require 24-hour pump operation.
2. Where pumps are required to operate solar-and waste-heat-recovery pool heating systems.

504.7.3 Pool covers. Heated pools shall be equipped with a vapor retardant pool cover on or at the water surface. Pools heated to more than 90°F (32°C) shall have a pool cover with a minimum insulation value of R-12.

Exception: Pools deriving over 60 percent of the energy for heating from site-recovered energy or solar energy source.

SECTION 505 ELECTRICAL POWER AND LIGHTING SYSTEMS (Mandatory)

505.1 General. (Mandatory). This section covers lighting system controls, the connection of ballasts, the maximum lighting power for interior applications, and minimum acceptable lighting equipment for exterior applications.

Exception: Lighting within dwelling units.

505.2 Lighting controls. (Mandatory). Lighting systems shall be provided with controls as required in Sections 505.2.1, 505.2.2, 505.2.3 and 505.2.4.

505.2.1 Interior lighting controls. Each area enclosed by walls or floor-to-ceiling partitions shall have at least one manual control for the lighting serving that area. The required controls shall be located within the area served by the controls or be a remote switch that identifies the lights served and indicates their status.

Exceptions:

1. Areas designated as security or emergency areas that must be continuously lighted.
2. Lighting in stairways or corridors that are elements of the means of egress.

505.2.2 Additional controls. Each area that is required to have a manual control shall have additional controls that meet the requirements of Sections 505.2.2.1 and 505.2.2.2.

505.2.2.1 Light reduction controls. Each area that is required to have a manual control shall also allow the occupant to reduce the connected lighting load in a reasonably uniform illumination pattern by at least 50 percent. Lighting reduction shall be achieved by one of the following or other approved method:

1. Controlling all lamps or luminaires;
2. Dual switching of alternate rows of luminaires, alternate luminaires or alternate lamps;
3. Switching the middle lamp luminaires independently of the outer lamps; or
4. Switching each luminaire or each lamp.

Exceptions:

1. Areas that have only one luminaire.
2. Areas that are controlled by an occupant-sensing device.
3. Corridors, storerooms, restrooms or public lobbies.
4. Sleeping unit (see Section 505.2.3).
5. Spaces that use less than 0.6 watts per square foot (6.5 W/m²).

TABLE 504.2
MINIMUM PERFORMANCE OF WATER-HEATING EQUIPMENT

EQUIPMENT TYPE	SIZE CATEGORY (input)	SUBCATEGORY OR RATING CONDITION	PERFORMANCE REQUIRED ^{a, b}	TEST PROCEDURE
Water heaters, Electric	≤ 12 kW	Resistance	0.97 - 0.00132V, EF	DOE 10 CFR Part 430
	> 12 kW	Resistance	1.73V + 155 SL, Btu/h	ANSI Z21.10.3
	≤ 24 amps and ≤ 250 volts	Heat pump	0.93 - 0.00132V, EF	DOE 10 CFR Part 430
Storage water heaters, Gas	≤ 75,000 Btu/h	≥ 20 gal	0.67 - 0.0019V, EF	DOE 10 CFR Part 430
	> 75,000 Btu/h and ≤ 155,000 Btu/h	< 4,000 Btu/h/gal	$80\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	ANSI Z21.10.3
	> 155,000 Btu/h	< 4,000 Btu/h/gal	$80\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	
Instantaneous water heaters, Gas	> 50,000 Btu/h and < 200,000 Btu/h ^c	≥ 4,000 (Btu/h)/gal and < 2 gal	0.62 - 0.0019V, EF	DOE 10 CFR Part 430
	≥ 200,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	80% E_t	ANSI Z21.10.3
	≥ 200,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$80\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	
Storage water heaters, Oil	≤ 105,000 Btu/h	≥ 20 gal	0.59 - 0.0019V, EF	DOE 10 CFR Part 430
	> 105,000 Btu/h	< 4,000 Btu/h/gal	$78\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	ANSI Z21.10.3
Instantaneous water heaters, Oil	≤ 210,000 Btu/h	≥ 4,000 Btu/h/gal and < 2 gal	0.59 - 0.0019V, EF	DOE 10 CFR Part 430
	> 210,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	80% E_t	ANSI Z21.10.3
	> 210,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$78\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	
Hot water supply boilers, Gas and Oil	≥ 300,000 Btu/h and < 12,500,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	80% E_t	ANSI Z21.10.3
Hot water supply boilers, Gas	≥ 300,000 Btu/h and < 12,500,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$80\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	
Hot water supply boilers, Oil	> 300,000 Btu/h and < 12,500,000 Btu/h	> 4,000 Btu/h/gal and > 10 gal	$78\% E_t$ $(Q / 800 + 110\sqrt{V})$ SL, Btu/h	
Pool heaters, Gas and Oil	All	—	78% E_t	ASHRAE 146
Heat pump pool heaters	All	—	4.0 COP	ARI 1160
Unfired storage tanks	All	—	Minimum insulation requirement R-12.5 (h- ft ² -°F)/Btu	(none)

For SI: °C = [(°F) - 32] / 1.8, 1 British thermal unit per hour = 0.2931 W, 1 gallon = 3.785 L, 1 British thermal unit per hour per gallon = 0.078 W/L.

a. Energy factor (EF) and thermal efficiency (E_t) are minimum requirements. In the EF equation, V is the rated volume in gallons.

b. Standby loss (SL) is the maximum Btu/h based on a nominal 70°F temperature difference between stored water and ambient requirements. In the SL equation, Q is the nameplate input rate in Btu/h. In the SL equation for electric water heaters, V is the rated volume in gallons. In the SL equation for oil and gas water heaters and boilers, V is the rated volume in gallons.

c. Instantaneous water heaters with input rates below 200,000 Btu/h must comply with these requirements if the water heater is designed to heat water to temperatures 180°F or higher.

505.2.2.2 Automatic lighting shutoff. Buildings larger than 5,000 square feet (465 m²) shall be equipped with an automatic control device to shut off lighting in those areas. This automatic control device shall function on either:

1. A scheduled basis, using time-of-day, with an independent program schedule that controls the interior lighting in areas that do not exceed 25,000 square feet (2323 m²) and are not more than one floor; or
2. An occupant sensor that shall turn lighting off within 30 minutes of an occupant leaving a space; or
3. A signal from another control or alarm system that indicates the area is unoccupied.

Exception: The following shall not require an automatic control device:

1. Sleeping unit (see Section 505.2.3).
2. Lighting in spaces where patient care is directly provided.
3. Spaces where an automatic shutoff would endanger occupant safety or security.

505.2.2.2.1 Occupant override. Where an automatic time switch control device is installed to comply with Section 505.2.2.2, Item 1, it shall incorporate an override switching device that:

1. Is readily accessible.
2. Is located so that a person using the device can see the lights or the area controlled by that switch, or so that the area being lit is annunciated.
3. Is manually operated.
4. Allows the lighting to remain on for no more than 2 hours when an override is initiated.
5. Controls an area not exceeding 5,000 square feet (465 m²).

Exceptions:

1. In malls and arcades, auditoriums, single-tenant retail spaces, industrial facilities and arenas, where captive-key override is utilized, override time may exceed 2 hours.
2. In malls and arcades, auditoriums, single-tenant retail spaces, industrial facilities and arenas, the area controlled may not exceed 20,000 square feet (1860 m²).

505.2.2.2.2 Holiday scheduling. If an automatic time switch control device is installed in accordance with Section 505.2.2.2, Item 1, it shall incorporate an automatic holiday scheduling feature that turns off all loads for at least 24 hours, then resumes the normally scheduled operation.

Exception: Retail stores and associated malls, restaurants, grocery stores, places of religious worship and theaters.

505.2.3 Sleeping unit. Sleeping units in hotels, motels, boarding houses or similar buildings shall have at least one master switch at the main entry door that controls all permanently wired luminaires and switched receptacles, except those in the bathroom(s). Suites shall have a control meeting these requirements at the entry to each room or at the primary entry to the suite.

505.2.4 Exterior lighting controls. Lighting for all exterior applications shall have automatic controls capable of turning off exterior lighting when sufficient daylight is available or when the lighting is not required during nighttime hours. Lighting not designated for dusk-to-dawn operation shall be controlled by an astronomical time switch. Lighting designated for dusk-to-dawn operation shall be controlled by an astronomical time switch or photosensor. Astronomical time switches shall be capable of retaining programming and the time setting during loss of power for a period of at least 10 hours.

Exception: Lighting for covered vehicle entrances or exits from buildings or parking structures where required for safety, security, or eye adaptation.

505.3 Tandem wiring. (Mandatory). The following luminaires located within the same area shall be tandem wired:

1. Fluorescent luminaires equipped with one, three or odd-numbered lamp configurations, that are recess-mounted within 10 feet (3048 mm) center-to-center of each other.
2. Fluorescent luminaires equipped with one, three or any other odd-numbered lamp configuration, that are pendant- or surface-mounted within 1 foot (305 mm) edge-to-edge of each other.

Exceptions:

1. Where electronic high-frequency ballasts are used.
2. Luminaires on emergency circuits.
3. Luminaires with no available pair in the same area.

505.4 Exit signs. (Mandatory). Internally illuminated exit signs shall not exceed 5 Watts per side.

505.5 Interior lighting power requirements. (Prescriptive). A building complies with this section if its total connected lighting power calculated under Section 505.5.1 is no greater than the interior lighting power calculated under Section 505.5.2.

505.5.1 Total connected interior lighting power. The total connected interior lighting power (watts) shall be the sum of the watts of all interior lighting equipment as determined in accordance with Sections 505.5.1.1 through 505.5.1.4.

Exceptions: The connected power associated with the following lighting equipment is not included in calculating total connected lighting power.

1. Specialized medical, dental and research lighting.
2. Professional sports arena playing field lighting.

3. Display lighting for exhibits in galleries, museums and monuments.
4. Sleeping unit lighting in hotels, motels, boarding houses or similar buildings.
5. Emergency lighting automatically off during normal building operation.

505.5.1.1 Screw lamp holders. The wattage shall be the maximum labeled wattage of the luminaire.

505.5.1.2 Low-voltage lighting. The wattage shall be the specified wattage of the transformer supplying the system.

505.5.1.3 Other luminaires. The wattage of all other lighting equipment shall be the wattage of the lighting equipment verified through data furnished by the manufacturer or other approved sources.

505.5.1.4 Line-voltage lighting track and plug-in busway. The wattage shall be the greater of the wattage of the luminaires determined in accordance with Sections 505.5.1.1 through 505.5.1.3 or 30 W/linear foot (98W/lin m).

505.5.2 Interior lighting power. The total interior lighting power (watts) is the sum of all interior lighting powers for all areas in the building covered in this permit. The interior lighting power is the floor area for each building area type listed in Table 505.5.2 times the value from Table 505.5.2 for that area. For the purposes of this method, an "area" shall be defined as all contiguous spaces that accommodate or are associated with a single building area type as listed in Table 505.5.2. When this method is used to calculate the total interior lighting power for an entire building, each building area type shall be treated as a separate area.

505.6 Exterior lighting. (Mandatory). When the power for exterior lighting is supplied through the energy service to the building, all exterior lighting, other than low-voltage landscape lighting, shall comply with Sections 505.6.1 and 505.6.2.

Exception: Where approved because of historical, safety, signage or emergency considerations.

505.6.1 Exterior building grounds lighting. All exterior building grounds luminaires that operate at greater than 100 watts shall contain lamps having a minimum efficacy of 60 lumens per watt unless the luminaire is controlled by a motion sensor or qualifies for one of the exceptions under Section 505.6.2.

**TABLE 505.5.2
INTERIOR LIGHTING POWER ALLOWANCES**

LIGHTING POWER DENSITY	
Building Area Type ^a	(W/ft ²)
Automotive Facility	0.9
Convention Center	1.2
Court House	1.2
Dining: Bar Lounge/Leisure	1.3
Dining: Cafeteria/Fast Food	1.4
Dining: Family	1.6
Dormitory	1.0
Exercise Center	1.0
Gymnasium	1.1
Healthcare-Clinic	1.0
Hospital	1.2
Hotel	1.0
Library	1.3
Manufacturing Facility	1.3
Motel	1.0
Motion Picture Theater	1.2
Multi-Family	0.7
Museum	1.1
Office	1.0
Parking Garage	0.3
Penitentiary	1.0
Performing Arts Theater	1.6
Police/Fire Station	1.0
Post Office	1.1
Religious Building	1.3
Retail ^b	1.5
School/University	1.2
Sports Arena	1.1
Town Hall	1.1
Transportation	1.0
Warehouse	0.8
Workshop	1.4

For SI: 1 foot = 304.8 mm, 1 watt per square foot = W/0.0929 m².

- a. In cases where both a general building area type and a more specific building area type are listed, the more specific building area type shall apply.
- b. Where lighting equipment is specified to be installed to highlight specific merchandise in addition to lighting equipment specified for general lighting and is switched or dimmed on circuits different from the circuits for general lighting, the smaller of the actual wattage of the lighting equipment installed specifically for merchandise, or 1.6 W/ft² times the area of the specific display but not to exceed 50% of the floor area, or 3.9 W/ft² times the actual case or shelf area for displaying and selling jewelry, china or silver, shall be added to the interior lighting power determined in accordance with this line item.

505.6.2 Exterior building lighting power. The total exterior lighting power allowance for all exterior building applications is the sum of the individual lighting power allowances based on the densities permitted in Table 505.6.2 for these applications plus an additional unrestricted allowance of 5 percent of that sum. Tradeoffs are allowed only among exterior lighting applications listed in Table 505.6.2 in the Tradable Surfaces section. Exterior lighting for all applications (except those included in the exceptions to Section 505.6.2) shall comply with the requirements of Section 505.6.1.

Exceptions: Lighting used for the following exterior applications is exempt when equipped with a control device independent of the control of the nonexempt lighting:

1. Specialized signal, directional, and marker lighting associated with transportation;
2. Advertising signage or directional signage;
3. Integral to equipment or instrumentation and is installed by its manufacturer;
4. Theatrical purposes, including performance, stage, film production and video production;
5. Athletic playing areas;
6. Temporary lighting;
7. Industrial production, material handling, transportation sites, and associated storage areas;
8. Theme elements in theme/amusement parks; and
9. Used to highlight features of public monuments and registered historic landmark structures or buildings.

TABLE 505.6.2
LIGHTING POWER DENSITIES FOR BUILDING EXTERIORS

APPLICATIONS	LIGHTING POWER DENSITIES
Tradable Surfaces (Lighting Power Densities for uncovered parking areas, building grounds, building entrances and exits, canopies and overhangs, and outdoor sales areas may be traded.)	
Uncovered Parking Areas	
Parking Lots and drives	0.15 W/ft ²
Building Grounds	
Walkways less than 10 feet wide	1.0 watts/linear foot
Walkways 10 feet wide or greater, plaza areas and special feature areas	0.2 W/ft ²
Stairways	1.0 W/ft ²
Building Entrances and Exits	
Main entries	30 watts/linear foot of door width
Other doors	20 watts/linear foot of door width
Canopies and Overhangs	
Canopies (free standing & attached and overhangs)	1.25 W/ft ²
Outdoor Sales	
Open areas (including vehicle sales lots)	0.5 W/ft ²
Street frontage for vehicle sales lots in addition to "open area" allowance	20 watts/linear foot
Nontradable Surfaces (Lighting Power Density calculations for the following applications can be used only for the specific application and cannot be traded between surfaces or with other exterior lighting. The following allowances are in addition to any allowance otherwise permitted in the Tradable Surfaces section of this table.)	
Building facades	0.2 W/ft ² for each illuminated wall or surface or 5.0 Watts/linear foot for each illuminated wall or surface length
Automated teller machines and night depositories	270 watts per location plus 90 watts per additional ATM per location
Entrances and gatehouse inspection stations at guarded facilities	1.25 W/ft ² of uncovered area (covered areas are included in the Canopies and Overhangs section of Tradable Surfaces)
Loading areas for law enforcement, fire, ambulance and other emergency service vehicles	0.5 W/ft ² of uncovered area (covered areas are included in the Canopies and Overhangs section of Tradable Surfaces)
Drive-up windows at fast food restaurants	400 watts per drive-through
Parking near 24-hour retail entrances	800 watts per main entry

For SI: 1 foot = 304.8 mm, 1 watt per square foot = W/0.0929 m².

505.7 Electrical energy consumption. (Mandatory). In buildings having individual dwelling units, provisions shall be made to determine the electrical energy consumed by each tenant by separately metering individual dwelling units.

SECTION 506 TOTAL BUILDING PERFORMANCE

506.1 General. The proposed design complies with this section provided that:

1. Sections 502.4, 502.5, 503.2, 504 505.2, 505.3, 505.4 505.6 and 505.7 are each satisfied, and
2. Annual energy costs of the proposed design as determined in accordance with Section 506.3 do not exceed those of the standard design as determined in accordance with Section 506.4.

506.2 Analysis procedures. Sections 506.2.1 through 506.2.8 shall be applied in determining total building performance.

506.2.1 Energy analysis. Annual (8,760 hours) energy costs for the standard design and the proposed design shall each be determined using the same approved energy analysis simulation tool.

506.2.2 Climate data. The climate data used in the energy analysis shall cover a full calendar year (8,760 hours) and shall reflect approved coincident hourly data for temperature, solar radiation, humidity and wind speed for the building location.

506.2.3 Energy rates. The annual energy costs shall be estimated using energy rates published by the serving energy supplier and which would apply to the actual building or *DOE State-Average Energy Prices* published by DOE's Energy Information Administration and which would apply to the actual building.

506.2.4 Nondepletable energy. Nondepletable energy collected off site shall be treated and priced the same as purchased energy. Energy from nondepletable energy sources collected on site shall be omitted from the annual energy cost of the proposed design. The analysis and performance of any nondepletable energy system shall be determined in accordance with accepted engineering practice using approved methods.

506.2.5 Building operation. Building operation shall be simulated for a full calendar year (8,760 hours). Operating schedules shall include hourly profiles for daily operation and shall account for variations between weekdays, weekends, holidays, and any seasonal operation. Schedules shall model the time-dependent variations of occupancy, illumination, receptacle loads, thermostat settings, mechanical ventilation, HVAC equipment availability, service hot water usage, and any process loads.

506.2.6 Simulated loads. The following systems and loads shall be modeled in determining total building performance: heating systems, cooling systems, fan systems, lighting power, receptacle loads, and process loads that exceed 1.0 W/ft² (W/0.0929 m²) of floor area of the room or space in which the process loads are located.

Exception: Systems and loads serving required emergency power only.

506.2.7 Service water-heating systems. Service water-heating systems that are other than combined service hot water/space-heating systems shall be omitted from the energy analysis provided all requirements in Section 504 have been met.

506.2.8 Exterior lighting. Exterior lighting systems shall be the same as in the standard and proposed designs.

506.3 Determining energy costs for the proposed design. Building systems and loads shall be simulated in the proposed design in accordance with Sections 506.3.1 and 506.3.2.

506.3.1 HVAC and service water-heating equipment. All HVAC and service water-heating equipment shall be simulated in the proposed design using capacities, rated efficiencies and part-load performance data for the proposed equipment as provided by the equipment manufacturer.

506.3.2 Features not documented at time of permit. If any feature of the proposed design is not included in the building permit application, the energy performance of that feature shall be assumed to be that of the corresponding feature used in the calculations required in Section 506.4.

506.4 Determining energy costs for the standard design. Sections 506.4.1 through 506.4.7 shall be used in determining the annual energy costs of the standard design.

506.4.1 Equipment efficiency. The space-heating, space-cooling, service water-heating, and ventilation systems and equipment shall meet, but not exceed, the minimum efficiency requirements of Sections 503 and 504.

506.4.2 HVAC system capacities. HVAC system capacities in the standard design shall be established such that no smaller number of unmet heating and cooling load hours and no larger heating and cooling capacity safety factors are provided than in the proposed design.

506.4.3 Envelope. The thermal envelope of the standard design shall comply with the prescriptive requirements of Sections 502.1 through 502.3, as well as the mandatory provisions of Sections 502.4 through 502.5.

506.4.4 Identical characteristics. The heating/cooling system zoning, the orientation of each building feature, the number of floors and the gross envelope areas of the standard design shall be the same as those of the proposed design except as modified by Section 506.4.5 or 506.4.6.

Exception: Permanent fixed or movable external shading devices for windows and glazed doors shall be excluded from the standard design.

506.4.5 Window area. The window area of the standard design shall be the same as the proposed design, or 35 percent of the above-grade wall area, whichever is less, and shall be distributed in a uniform pattern equally over each building facade.

506.4.6 Skylight area. The skylight area of the standard design shall be the same as the proposed design, or 3 percent of the gross area of the roof assembly, whichever is less.

506.4.7 Interior lighting. The lighting power for the standard design shall be the maximum allowed in accordance with Section 505.5. Where the occupancy of the building is not known, the lighting power density shall be 1.5 Watts per square foot (16.1 W/m²).

506.5 Documentation. The energy analysis and supporting documentation shall be prepared by a registered design professional where required by the statutes of the jurisdiction in which the project is to be constructed. The information documenting compliance shall be submitted in accordance with Sections 506.5.1 through 506.5.4.

506.5.1 Annual energy use and associated costs. The annual energy use and costs by energy source of the standard design and the proposed design shall be clearly indicated.

506.5.2 Energy-related features. A list of the energy-related features that are included in the proposed design and on which compliance with the provisions of the code are claimed shall be provided to the code official. This list shall include and prominently indicate all features that differ from those set forth in Section 506.4 and used in the energy analysis between the standard design and the proposed design.

506.5.3 Input and output report(s). Input and output report(s) from the energy analysis simulation program containing the complete input and output files, as applicable. The output file shall include energy use totals and energy use by energy source and end-use served, total hours that space conditioning loads are not met and any errors or warning messages generated by the simulation tool as applicable.

506.5.4 Written explanation(s). An explanation of any error or warning messages appearing in the simulation tool output shall be provided in a written, narrative format.

CHAPTER 6

REFERENCED STANDARDS

This chapter lists the standards that are referenced in various sections of this document. The standards are listed herein by the promulgating agency of the standard, the standard identification, the effective date and title, and the section or sections of this document that reference the standard. The application of the referenced standards shall be as specified in Section 107.

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> <h2 style="margin: 0;">AAMA</h2> </div> <div> <p>American Architectural Manufacturers Association 1827 Walden Office Square Suite 550 Schaumburg, IL 60173-4268</p> </div> </div>		
Standard reference number	Title	Referenced in code section number
AAMA/WDMA/CSA 101/I.S.2/a440—05	Specifications for Windows, Doors and Unit Skylights	402.4.2, 502.4.1

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> <h2 style="margin: 0;">AMCA</h2> </div> <div> <p>Air Movement and Control Association International 30 West University Drive Arlington Heights, IL 60004-1806</p> </div> </div>		
Standard reference number	Title	Referenced in code section number
500D—98	Laboratory Methods for Testing Dampers for Rating	502.4.4

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> <h2 style="margin: 0;">ANSI</h2> </div> <div> <p>American National Standards Institute 25 West 43rd Street Fourth Floor New York, NY 10036</p> </div> </div>		
Standard reference number	Title	Referenced in code section number
Z21.10.3—01	Gas Water Heaters, Volume III - Storage Water Heaters with Input Ratings Above 75,000 Btu per Hour. Circulating Tank and Instantaneous—with Addenda Z21.10.3a-2003 and Z21.10.3b-2004.	Table 504.2
Z21.13—04	Gas-Fired Low Pressure Steam and Hot Water Boilers	Table 503.2.3(5)
Z21.47—03	Gas-Fired Central Furnaces.	Table 503.2.3(4)
Z83.8—02	Gas Unit Heaters and Gas-Fired Duct Furnaces—with Addendum Z83.8a-2003	Table 503.2.3(4)

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> <h2 style="margin: 0;">ARI</h2> </div> <div> <p>Air Conditioning and Refrigeration Institute 4301 North Fairfax Drive Suite 200 Arlington, VA 22203</p> </div> </div>		
Standard reference number	Title	Referenced in code section number
210/240—03	Unitary Air-Conditioning and Air-Source Heat Pump Equipment	Table 503.2.3(1), Table 503.2.3(2)
310/380—93	Standard for Packaged Terminal Air-Conditioners and Heat Pumps	Table 503.2.3(3)
340/360—2000	Commercial and Industrial Unitary Air-conditioning and Heat Pump Equipment	Table 503.2.3(1), Table 503.2.3(2)
365—02	Commercial and Industrial Unitary Air-Conditioning Condensing Units	Table 503.2.3(6)
460-00	Remote Mechanical-Draft Air-Cooled Refrigerant Condensers.	Table 503.2.3(11)
550/590—98	Water Chilling Packages Using the Vapor Compression Cycle—with Addenda.	Table 503.2.3(7)
560—00	Absorption Water Chilling and Water Heating Packages	Table 503.2.3(7)
13256-1 (2004)	Water-source Heat Pumps—Testing and Rating for Performance—Part I: Water-to-air and Brine-to-air Heat Pumps	Table 503.2.3(2)
1160—2004	Performance Rating of Heat Pump Pool Heaters	Table 504.2

REFERENCED STANDARDS

ASHRAE

American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.
1791 Tullie Circle, NE
Atlanta, GA 30329-2305

Standard reference number	Title	Referenced in code section number
119—88 (RA 1994)	Air Leakage Performance for Detached Single-family Residential Buildings	Table 404.5.2(1)
146-1998	Testing and Rating Pool Heaters.	Table 504.2
13256-1 (2004)	Water-source Heat Pumps—Testing and Rating for Performance—Part 1: Water-to-air and Brine-to-air Heat Pumps (ANSI/ASHRAE/IESNA 90.1-2004).	Table 503.2.3(2)
90.1—2004	Energy Standard for Buildings Except Low-rise Residential Buildings (ANSI/ASHRAE/IESNA 90.1-2004)	501.1, 501.2, 502.1.1, Table 502.2(2)
ASHRAE—2001	ASHRAE Handbook of Fundamentals-2004	402.1.4, Table 404.5.2(1), 503.2.1
ASHRAE—2004	ASHRAE HVAC Systems and Equipment Handbook-2004.	503.2.1

ASME

American Society of Mechanical Engineers
Three Park Avenue
New York, NY 10016-5990

Standard reference number	Title	Referenced in code section number
PTC 4.1 - 1964	Steam Generating Units	Table 503.2.3(5)

ASTM

ASTM International
100 Barr Harbor Drive
West Conshohocken, PA 19428-2859

Standard reference number	Title	Referenced in code section number
C 90—03	Specification for Load-bearing Concrete Masonry Units	Table 502.2(1)
E 96—00e01	Standard Test Methods for Water Vapor Transmission of Materials	202, 502.5
E 283—04	Test Method for Determining the Rate of Air Leakage Through Exterior Windows, Curtain Walls and Doors Under Specified Pressure Differences Across the Specimen	402.4.3, 502.4.2, 502.4.7

CSA

Canadian Standards Association
5060 Spectrum Way
Mississauga, Ontario, Canada L4W 5N6

Standard reference number	Title	Referenced in code section number
101/I.S.2/A440—05	Specifications for Windows, Doors and Unit Skylights	402.4.2, 502.4.1

CTI

Cooling Technology Institute
2611 FM 1960 West, Suite H-200
Houston, TX 77068-3730

Standard reference number	Title	Referenced in code section number
ATC-105 (2000)	Acceptance Test Code	Table 503.2.3(11)
STD-201 (2002)	Certification Standard for Commercial Water Cooling Towers	Table 503.2.3(11)

DOE

U.S. Department of Energy
c/o Superintendent of Documents
U.S. Government Printing Office
Washington, DC 20402-9325

Standard reference number	Title	Referenced in code section number
10 CFR Part 430, Subpart B, Appendix E (1998)	Uniform Test Method for Measuring the Energy Consumption of Water Heaters	Table 504.2
10 CFR Part 430, Subpart B, Appendix N (1998)	Uniform Test Method for Measuring the Energy Consumption of Furnaces and Boilers	Table 503.2.3(4), Table 503.2.3(5)
DOE/EIA—0376 (Current Edition)	State Energy Prices and Expenditure Report	404.3, 506.2.3

HI

Hydronics Institute, Division of the Gas Appliance Manufacturers Association
P.O. Box 218
Berkeley Heights, NJ 07054

Standard reference number	Title	Referenced in code section number
HBS	I=B=R—Testing and Rating Standard for Heating Boilers, 1989 Ed.	Table 503.2.3(5)

ICC

International Code Council, Inc.
500 New Jersey Avenue, NW
6th Floor
Washington, D.C. 20001

Standard reference number	Title	Referenced in code section number
IBC—06	International Building Code®	102.2, 201.3
ICC EC—06	ICC Electrical Code®	201.3
IFC—06	International Fire Code®	201.3
IFGC—06	International Fuel Gas Code®	201.3
IMC—06	International Mechanical Code®	503.2.5, 503.2.6, 503.2.7.1, 503.2.7.1.1, 503.2.7.1.2, 503.2.9.1, 503.3.1, 503.4.5
IPC—06	International Plumbing Code®	201.3
IRC—06	International Residential Code®	201.3, 403.2.2, 403.6, 404.6.1, Table 404.5.2(1)

IESNA

Illuminating Engineering Society of North America
120 Wall Street, 17th Floor
New York, NY 10005-4001

Standard reference number	Title	Referenced in code section number
90.1-2004	Energy Standard for Buildings Except Low-rise Residential Buildings	501.1, 501.2, 502.1.1, Table 502.2(2)

NFRC

National Fenestration Rating Council, Inc.
8484 Georgia Avenue
Suite 320
Silver Spring, MD 20910

Standard reference number	Title	Referenced in code section number
100—01	Procedure for Determining Fenestration Product U-Factors—Second Edition	102.1.3
200—01	Procedure for Determining Fenestration Product Solar Heat Gain Coefficients and Visible Transmittance at Normal Incidence—Second Edition	102.1.3
400—01	Procedure for Determining Fenestration Product Air Leakage—Second Edition	402.4.2, 502.4.1

REFERENCED STANDARDS

SMACNA

Sheet Metal and Air Conditioning Contractors National Association, Inc.
4021 Lafayette Center Drive
Chantilly, VA 20151-1209

Standard reference number	Title	Referenced in code section number
SMACNA—85	HVAC Air Duct Leakage Test Manual	503.2.7.1.3

UL

Underwriters Laboratories Inc.
333 Pfingsten Road
Northbrook, IL 60062-2096

Standard reference number	Title	Referenced in code section number
181A—98	Closure Systems for Use with Rigid Air Ducts and Air Connectors — with Revisions through December 1998.	503.2.7
181B—95	Closure Systems for Use with Flexible Air Ducts and Air Connectors —with Revisions through August 2003	503.2.7
727—98	Oil-Fired Central Furnaces—with Revisions through January 2001	Table 503.2.3(4)
731—95	Oil-Fired Unit Heaters—with Revisions through January 1999.	Table 503.2.3(4)

WDMA

Window and Door Manufacturers Association
1400 East Touhy Avenue, Suite 470
Des Plaines, IL 60018

Standard reference number	Title	Referenced in code section number
101/I.S.2/A440—05	Specifications for Windows, Doors and Unit Skylights	402.4.2, 502.4.1

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EDITORIAL CHANGES – SECOND PRINTING

Page 24, Table 404.5.2(1): column 2, row 10, line 11 now reads . . . SHGC: From Table 402.1.1 except that for climates with

EDITORIAL CHANGES – THIRD PRINTING

Page 24, Table 404.5.2(1): column 2, row 9, lines 10 and 11 now read . . . U-factor: from Table 402.1.3; SHGC: From Table 402.1.1 except that for climates with

Page 56, ASHRAE: column 1, row 7 now reads . . . ASHRAE—2001

Page 57, IESNA: column 1, now reads . . . 90.1-2004

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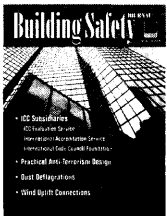


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